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09/698363  
U.S. PRO

CASE DOCKET NO. SMP-004-4-1

Dear Sir/Madam:

This is a request for a divisional application under 37 C.F.R. 1.53(b), of pending prior application Serial No. 09/028,901 filed on February 24, 1998, of Toivo T. Kodas of 11102 San Rafael Dr. NE, Albuquerque, NM 87122, Mark J. Hampden-Smith of 2901 Maximillian NW, Albuquerque, NM 87104, James Caruso of 7721 Cedar Canyon Court NE, Albuquerque, NM 87122, Daniel J. Skamser of 1409 Roper Mountain Rd., Apt. #257, Greenville, SC 29615, Quint H. Powell of 14336 Grand Avenue NE, Albuquerque, NM 87123 and Clive D. Chandler of 10706 SW Capital Highway No. 44, Portland, OR 97219 for "GOLD POWDERS, METHODS FOR PRODUCING POWDERS AND DEVICES FABRICATED FROM SAME".

1. [X] Enclosed is a copy of the prior application, including specification, claims, drawings, and abstract, as well as a copy of the Oath, as originally filed.
2. [X] The filing fee is calculated below (claims as filed, less any claims canceled by amendment below):

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:					\$355.00	OR		\$710.00	
TOTAL CLAIMS:	64	-	20	44	X \$9 =	\$	OR	X \$18 = \$792.00	
INDEP. CLAIMS:	4	-	3	1	X \$40 =	\$	OR	X \$80 = \$80.00	
MULTIPLE DEPENDENT CLAIMS					+ \$135 =	\$	OR	+ \$270 = \$	
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2.					TOTAL:	\$0.00		\$1582.00	

FILING FEE IS NOT ENCLOSED AT THIS TIME.

Enclosed is a check in the amount of \$ 1582.00 to cover the filing fee. Please credit any overpayment or debit any underpayment to Deposit Account No. 13-2496.

Please charge Deposit Account No. 13-2496 in the Amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-2496. A duplicate copy of this sheet is enclosed.

Cancel in this Application original Claim(s) 1-103 and 168-175 of the prior application before calculating the filing fee. (At Least One Original Independent Claim must Be Retained for Filing Purposes.)

Amend the Specification by inserting before the first line the sentence: "This is a Division of Application Serial No. 09/028,901, filed February 24, 1998".

The prior application is assigned to Superior MicroPowders LLC.

Priority under 35 U.S.C. 119 of the following foreign application is claimed in \_\_\_\_\_, has been assigned Serial No. \_\_\_\_\_ and was filed on \_\_\_\_\_.

The Power of Attorney:

- a. ☒ Is in the original papers of the prior application.
- b. ☐ Does not appear in the original papers and a copy of the power of attorney in the prior application is enclosed.

"EXPRESS MAIL" MAILING LABEL NUMBER: EE410536148  
DATE OF DEPOSIT: October 27, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C.


TYPED OR PRINTED NAME: Janelle East

SIGNATURE: Janelle Fast

- [X] A Preliminary Amendment is enclosed.
- [X] A Petition and Fee has been filed to extend the term in the pending prior application until October 27, 2000.
- [X] Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application.
- [ ] Small entity status is still proper and is requested based upon the verified statement in the prior application.
- [X] I hereby verify that the attached papers are a true copy of prior application serial no. 09/028,901 as originally filed on February 24, 1998.

The undersigned declares further that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The Commissioner is hereby authorized to submit all correspondence relating to this case to the below named attorney or agent.

By:   
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Date: October 27, 2000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Kodas et al.

Serial No.:

Filed: Herewith

Atty. File No.: SMP-004-4-1

For: "GOLD POWDERS, METHODS  
FOR PRODUCING POWDERS  
AND DEVICES FABRICATED  
FROM SAME"

) Group Art Unit:

) Examiner:

) PRELIMINARY AMENDMENT

) "EXPRESS MAIL" MAILING LABEL NUMBER: EE410536148  
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) COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

) TYPED OR PRINTED NAME: Janella Fast

) SIGNATURE: Janella Fast

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to the examination of the enclosed U.S. Divisional  
Patent Application, please amend the application as follows:

IN THE CLAIMS:

Please cancel Claims 1-103 and 168-175.

REMARKS

Applicants request entry of this amendment cancelling Claims  
1-103 and 168-175 prior to substantive examination of the  
application.

Respectfully submitted,

McINTOSH & DOCKERY LLC

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Date: October 27, 2000

# **GOLD POWDERS, METHODS FOR PRODUCING POWDERS AND DEVICES FABRICATED FROM SAME**

## **BACKGROUND OF THE INVENTION**

### **1. Field of the Invention**

5 The present invention relates to gold powders and to methods for producing such powders, as well as intermediate products and devices incorporating the powders. In particular, the present invention is directed to powder batches of gold metal particles with a small average particle size, well controlled particle size distribution, spherical morphology and high crystallinity.

### **10 2. Description of Related Art**

Many product applications require metal-containing powders with one or more of the following properties: high purity; high crystallinity; small average particle size; narrow particle size distribution; spherical particle morphology; controlled surface chemistry; reduced agglomeration of particles; and high density (low porosity). Examples of metal powders  
15 requiring such characteristics include, but are not limited to, those useful in microelectronic applications, such as for conductive paths interconnecting discrete components in multi-chip modules, or the like. Gold metal has particular applicability for applications requiring high reliability.

Gold metal powders are commonly used in hybrid microelectronic components where  
20 high reliability and high performance over an extended length of time are critical. Such uses include military applications, medical devices and aerospace applications. Typically, the gold metal powder is dispersed into a paste which is screen printed onto a circuit board and



sintered to produce a conductive line. Gold metal has a higher reliability over extended periods of time than most other metals. Gold is also malleable and ductile. Gold does not substantially oxidize and therefore can be heated in air to temperatures up to its melting point. Gold is also resistant to corrosion in a variety of conditions.

5 Gold metal powder is typically dispersed into a thick film paste for most microelectronic applications. U.S. Patent No. 5,039,552 by Riemer discloses an improved method for producing a gold conductor on a substrate in the manufacture of a thick film hybrid circuit. To improve adherence to the substrate, the gold conductor is formed from a thick film paste that includes the resinate of a metal that can form an alloy with the gold and  
10 that can also form an oxide at the firing temperature. Examples of such resinates include bismuth and cadmium resinate.

U.S. Patent No. 5,167,869 by Nebe et al. discloses a gold thick film conductor composition which includes 75 to 95 percent gold wherein at least 90 percent by weight of the gold particles have an aspect ratio of no greater than 2. The paste further includes a  
15 cadmium borosilicate glass and a spinel forming metal oxide. The thick film paste is useful in electronic packaging for forming conductor patterns on ceramic based substrates, particularly patterns onto which metallic components are bonded by brazing. It is disclosed that the gold particles should be spherical in shape and should have a size of from about 0.4 to about 5  $\mu\text{m}$ .

20 U.S. Patent No. 5,429,670 by Miyoshi discloses a gold thick film paste for use in the manufacture of ceramic circuit boards. The paste includes 84 to 94 weight percent gold with an average particle size of 0.3 to 0.7  $\mu\text{m}$ . The paste also includes vanadium oxide and copper oxide to improve adhesion of the gold conductor to the substrate.

Gold metal particles are also useful in dental compositions such as dental blended

golds for application to a dental prosthesis. The prosthesis is formed from a base metal which is fired and produces an oxide on the surface of the base metal. A layer of gold is then applied to the dental prosthesis before application of the dental porcelain thereto. An example of this application is illustrated in U.S. Patent No. 4,326,889 by Sperner. This patent  
5 discloses the use of spherical gold particles having an average particle size of from 0.8 to 1.2  $\mu\text{m}$ .

Thus, there is a demand for gold powders having a small particle size for such applications. It would be beneficial if the powders had a narrow particle size distribution and spherical morphology. This demand is driven by the increasing complexity of the  
10 microelectronic components, including a reduction in size of the different components. However, methods for producing such powders on a commercial basis have not been disclosed in the prior art. Most methods for producing gold metal powders are based on liquid precipitation which can produce gold powders having impurities and having low crystallinity, among other problems.

15 U.S. Patent No. 3,717,481 by Short discloses a method for the production of gold powder having a spherical morphology and a diameter in the range of 1 to 10  $\mu\text{m}$ . The powder is produced by precipitating the gold from a gold chloride solution using a reducing agent. The gold powder must be washed to remove sulfite and sulfate ions produced by the process.

20 U.S. Patent No. 3,725,035 by Short et al. discloses a process for producing precipitated gold powder by reducing a gold salt solution with a reducing agent in the presence of a protective colloid at an elevated temperature. The gold powder can include gold flakes, gold spheres or mixtures of the two morphologies. The powder must be washed with methanol and or water to remove impurities therefrom.

U.S. Patent No. 3,768,994 by Daiga discloses a gold powder useful for the formation of thick film pastes. The gold powder is formed by dissolving a gold bearing material in aqua regia (HCl and HNO<sub>3</sub>) and adding an emulsifying agent to the solution. It is disclosed that the average particle size is less than about 20  $\mu\text{m}$ .

5 U.S. Patent No. 3,816,097 by Daiga discloses a multi component metal powder which includes gold. Examples include silver-palladium-gold mixtures. It is disclosed that the powder is substantially free of silver chloride or cyanide impurities.

U.S. Patent No. 3,885,955 by Lutz et al. discloses a gold powder formed by the precipitation of chloroauric acid with ammonia from an aqueous hydrochloric acid solution  
10 and reducing the precipitate with a reducing agent. It is disclosed that gold powder can be produced having a spherical shape and an average size of from about 3 to 10  $\mu\text{m}$ .

Silvert et al., in an article entitled "Synthesis of Monodisperse Submicronic Gold Particles by the Polyol Process", Solid State Ionics Vol. 82, pages 53-60 (1995), disclose the production of gold particles having a size range of 0.1 to 0.4  $\mu\text{m}$ . The gold particles are  
15 produced by the reduction of tetrachloroauric acid in a PVP-ethylene glycol solution. It is disclosed that gold particles having an average size of about 0.4  $\mu\text{m}$  have an average crystallite size of about 47 nanometers.

Spray pyrolysis is not in common use for the production of metal powders containing small particles, such as those having a size of from about 0.2  $\mu\text{m}$  to 5  $\mu\text{m}$ . This is believed  
20 to be due to the high processing costs and low production rates associated with spray pyrolysis. Further, spray pyrolysis methods often produce hollow particles that are not sufficiently densified for most applications.

Generally, spray pyrolysis methods include the generation of liquid droplets wherein the liquid is a solution of a particle precursor. The droplets are then heated to evaporate the

liquid, react the precursors, and form solid particles. U.S. Patent No. 5,616,165 by Glicksman et al. discloses a method for making gold powders by an aerosol decomposition process. It is disclosed that substantially phase pure spherical gold powder can be produced by heating the aerosol solution to above 750°C. The gold powder is substantially spherical  
5 and has a wide particle size distribution.

It has also been proposed to form composite particles including gold metal. Composite particles can have enhanced properties, such as corrosion resistance or sintering resistance. For example, U.S. Patent No. 3,966,463 by Fraioli et al. discloses metal powders including small amounts of refractory oxides to increase the oxidation resistance and sintering  
10 properties of the metal powders. In one example, it is disclosed that a gold metal powder was produced that included 0.5 weight percent titania. The powder is formed by a liquid precipitation route.

U.S. Patent No. 4,274,877 by Collier et al. discloses a metal-refractory composite powders having improved high-temperature properties. Examples include gold metal  
15 incorporating an alumina second phase.

U.S. Patent No. 4,477,296 by Naier discloses a method for activating a surface of finally divided particles of conductive metals, including gold metal, using a thin surface coating of an oxide of the metal. The process includes treating the surface with an aqueous solution of a reducing agent, washing the particles and drying the particles to obtain the final  
20 metal product.

Despite the foregoing, there remains a need for gold-based metal powders having a combination of improved properties such as a small particle size, narrow particle size distribution, high crystallinity (large crystals) and spherical morphology. It would be particularly advantageous if such gold metal powders could be produced in large quantities

on a substantially continuous basis.

## SUMMARY OF THE INVENTION

According to one embodiment of the present invention, a powder batch comprising  
5 gold particles is provided. The particles are substantially spherical, have a weight average particle size of not greater than about 5  $\mu\text{m}$  and a narrow particle size distribution and high crystallinity.

According to another embodiment of the present invention, a powder batch of metal alloy particles comprising gold metal is provided wherein the particles have a small particle  
10 size and a narrow particle size distribution. According to yet another embodiment of the present invention, a powder batch of coated gold metal particles is provided. According to yet another embodiment of the present invention, a powder batch of metal composite particles which include gold metal and a non-metallic phase is provided.

The present invention also provides thick film paste compositions including gold  
15 particles, including coated gold metal particles and composite gold metal particles. The present invention is also directed to microelectronic devices such as multichip modules which incorporate the gold metal particles of the present invention.

The present invention is further directed to a method for the production of gold particles which generally includes generating an aerosol of droplets including a gold metal  
20 precursor and moving the droplets through a heating zone to form gold particles. The method of the present invention is applicable to the formation of metal alloy particles, composite particles and coated particles.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a process block diagram showing one embodiment of the process of the present invention.

Fig. 2 is a side view of a furnace and showing one embodiment of the present invention for sealing the end of a furnace tube.

5 Fig. 3 is a view of the side of an end cap that faces away from the furnace shown in Fig. 2.

Fig. 4 is a view of the side of an end cap that faces toward the furnace shown in Fig. 2.

Fig. 5 is a side view in cross section of one embodiment of aerosol generator of the  
10 present invention.

Fig. 6 is a top view of a transducer mounting plate showing a 49 transducer array for use in an aerosol generator of the present invention.

Fig. 7 is a top view of a transducer mounting plate for a 400 transducer array for use in an ultrasonic generator of the present invention.

15 Fig. 8 is a side view of the transducer mounting plate shown in Fig. 7.

Fig. 9 is a partial side view showing the profile of a single transducer mounting receptacle of the transducer mounting plate shown in Fig. 7.

Fig. 10 is a partial side view in cross-section showing an alternative embodiment for mounting an ultrasonic transducer.

20 Fig. 11 is a top view of a bottom retaining plate for retaining a separator for use in an aerosol generator of the present invention.

Fig. 12 is a top view of a liquid feed box having a bottom retaining plate to assist in retaining a separator for use in an aerosol generator of the present invention.

Fig. 13 is a side view of the liquid feed box shown in Fig. 8.

Fig. 14 is a side view of a gas tube for delivering gas within an aerosol generator of the present invention.

Fig. 15 shows a partial top view of gas tubes positioned in a liquid feed box for distributing gas relative to ultrasonic transducer positions for use in an aerosol generator of  
5 the present invention.

Fig. 16 shows one embodiment for a gas distribution configuration for the aerosol generator of the present invention.

Fig. 17 shows another embodiment for a gas distribution configuration for the aerosol generator of the present invention.

10 Fig. 18 is a top view of one embodiment of a gas distribution plate/gas tube assembly of the aerosol generator of the present invention.

Fig. 19 is a side view of one embodiment of the gas distribution plate/gas tube assembly shown in Fig. 18.

15 Fig. 20 shows one embodiment for orienting a transducer in the aerosol generator of the present invention.

Fig. 21 is a top view of a gas manifold for distributing gas within an aerosol generator of the present invention.

Fig. 22 is a side view of the gas manifold shown in Fig. 21.

20 Fig. 23 is a top view of a generator lid of a hood design for use in an aerosol generator of the present invention.

Fig. 24 is a side view of the generator lid shown in Fig. 23.

Fig. 25 is a process block diagram of one embodiment in the present invention including an aerosol concentrator.

Fig. 26 is a top view in cross section of a virtual impactor that may be used for

concentrating an aerosol according to the present invention.

Fig. 27 is a front view of an upstream plate assembly of the virtual impactor shown in Fig. 26.

Fig. 28 is a top view of the upstream plate assembly shown in Fig. 27.

5 Fig. 29 is a side view of the upstream plate assembly shown in Fig. 27.

Fig. 30 is a front view of a downstream plate assembly of the virtual impactor shown in Fig. 26.

Fig. 31 is a top view of the downstream plate assembly shown in Fig. 30.

Fig. 32 is a side view of the downstream plate assembly shown in Fig. 30.

10 Fig. 33 is a process block diagram of one embodiment of the process of the present invention including a droplet classifier.

Fig. 34 is a top view in cross section of an impactor of the present invention for use in classifying an aerosol.

Fig. 35 is a front view of a flow control plate of the impactor shown in Fig. 34.

15 Fig. 36 is a front view of a mounting plate of the impactor shown in Fig. 34.

Fig. 37 is a front view of an impactor plate assembly of the impactor shown in Fig. 34.

Fig. 38 is a side view of the impactor plate assembly shown in Fig. 37.

Fig. 39 shows a side view in cross section of a virtual impactor in combination with an impactor of the present invention for concentrating and classifying droplets in an aerosol.

20 Fig. 40 is a process block diagram of one embodiment of the present invention including a particle cooler.

Fig. 41 is a top view of a gas quench cooler of the present invention.

Fig. 42 is an end view of the gas quench cooler shown in Fig. 41.

Fig. 43 is a side view of a perforated conduit of the quench cooler shown in Fig. 41.



Fig. 44 is a side view showing one embodiment of a gas quench cooler of the present invention connected with a cyclone.

Fig. 45 is a process block diagram of one embodiment of the present invention including a particle coater.

5 Fig. 46 is a block diagram of one embodiment of the present invention including a particle modifier.

Fig. 47 shows cross sections of various particle morphologies of some composite particles manufacturable according to the present invention.

Fig. 48 shows a side view of one embodiment of apparatus of the present invention  
10 including an aerosol generator, an aerosol concentrator, a droplet classifier, a furnace, a particle cooler, and a particle collector.

Fig. 49 is a block diagram of one embodiment of the process of the present invention including the addition of a dry gas between the aerosol generator and the furnace.

Fig. 50 illustrates a schematic view of a microelectronic device according to an  
15 embodiment of the present invention.

Fig. 51 illustrates a top view of a microelectronic device according to an embodiment of the present invention.

Fig. 52 illustrates a photomicrograph of a gold metal powder according to an embodiment of the present invention.

20 Fig. 53 illustrates a photomicrograph of a gold metal powder according to an embodiment of the present invention.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention is generally directed to gold metal powders and methods for

producing the powders. The invention is also directed to novel intermediate products and devices fabricated using the gold metal powders. As used herein, gold powders or gold particles are those that include gold or a gold-based compound such as pure gold metal, gold metal alloys, other gold compounds, composite particles, coated particles and the like.

5 In one aspect, the present invention provides a method for preparing a particulate product. A feed of liquid-containing, flowable medium, including at least one precursor for the desired particulate product, is converted to aerosol form, with droplets of the medium being dispersed in and suspended by a carrier gas. Liquid from the droplets in the aerosol is then removed to permit formation in a dispersed state of the desired particles. Typically, the  
10 feed precursor is pyrolyzed in a furnace to make the particles. In one embodiment, the particles are subjected, while still in a dispersed state, to compositional or structural modification, if desired. Compositional modification may include, for example, coating the particles. Structural modification may include, for example, crystallization, recrystallization or morphological alteration of the particles. The term powder is often used herein to refer to  
15 the particulate product of the present invention. The use of the term powder does not indicate, however, that the particulate product must be dry or in any particular environment. Although the particulate product is typically manufactured in a dry state, the particulate product may, after manufacture, be placed in a wet environment, such as in a paste or slurry.

The process of the present invention is particularly well suited for the production of  
20 particulate products of finely divided particles having a small weight average size. In addition to making particles within a desired range of weight average particle size, with the present invention the particles may be produced with a desirably narrow size distribution, thereby providing size uniformity that is desired for many applications.

In addition to control over particle size and size distribution, the method of the present

invention provides significant flexibility for producing particles of varying composition, crystallinity and morphology. For example, the present invention may be used to produce homogeneous particles involving only a single phase or multi-phase particles including multiple phases. In the case of multi-phase particles, the phases may be present in a variety  
5 of morphologies. For example, one phase may be uniformly dispersed throughout a matrix of another phase. Alternatively, one phase may form an interior core while another phase forms a coating that surrounds the core. Other morphologies are also possible, as discussed more fully below.

Referring now to Fig. 1, one embodiment of the process of the present invention is  
10 described. A liquid feed 102, including at least one precursor for the desired particles, and a carrier gas 104 are fed to an aerosol generator 106 where an aerosol 108 is produced. The aerosol 108 is then fed to a furnace 110 where liquid in the aerosol 108 is removed to produce particles 112 that are dispersed in and suspended by gas exiting the furnace 110. The particles 112 are then collected in a particle collector 114 to produce a particulate  
15 product 116.

As used herein, the liquid feed 102 is a feed that includes one or more flowable liquids as the major constituent(s), such that the feed is a flowable medium. The liquid feed 102 need not comprise only liquid constituents. The liquid feed 102 may comprise only constituents in one or more liquid phase, or it may also include particulate material  
20 suspended in a liquid phase. The liquid feed 102 must, however, be capable of being atomized to form droplets of sufficiently small size for preparation of the aerosol 108. Therefore, if the liquid feed 102 includes suspended particles, those particles should be relatively small in relation to the size of droplets in the aerosol 108. Such suspended particles should typically be smaller than about 1  $\mu\text{m}$  in size, preferably smaller than about 0.5  $\mu\text{m}$  in

size, and more preferably smaller than about 0.3  $\mu\text{m}$  in size and most preferably smaller than about 0.1  $\mu\text{m}$  in size. Most preferably, the suspended particles should be able to form a colloid. The suspended particles could be finely divided particles, or could be agglomerate masses comprised of agglomerated smaller primary particles. For example, 0.5  $\mu\text{m}$  particles  
5 could be agglomerates of nanometer-sized primary particles. When the liquid feed 102 includes suspended particles, the particles typically comprise no greater than about 25 to 50 weight percent of the liquid feed.

As noted, the liquid feed 102 includes at least one precursor for preparation of the particles 112. The precursor may be a substance in either a liquid or solid phase of the liquid  
10 feed 102. Frequently, the precursor will be a material, such as a salt, dissolved in a liquid solvent of the liquid feed 102. The precursor may undergo one or more chemical reactions in the furnace 110 to assist in production of the particles 112. Alternatively, the precursor material may contribute to formation of the particles 112 without undergoing chemical reaction. This could be the case, for example, when the liquid feed 102 includes, as a  
15 precursor material, suspended particles that are not chemically modified in the furnace 110. In any event, the particles 112 comprise at least one component originally contributed by the precursor.

The liquid feed 102 may include multiple precursor materials, which may be present together in a single phase or separately in multiple phases. For example, the liquid feed 102  
20 may include multiple precursors in solution in a single liquid vehicle. Alternatively, one precursor material could be in a solid particulate phase and a second precursor material could be in a liquid phase. Also, one precursor material could be in one liquid phase and a second precursor material could be in a second liquid phase, such as could be the case when the liquid feed 102 comprises an emulsion. Different components contributed by different

precursors may be present in the particles together in a single material phase, or the different components may be present in different material phases when the particles 112 are composites of multiple phases. Specific examples of preferred precursor materials are discussed more fully below.

5           The carrier gas 104 may comprise any gaseous medium in which droplets produced from the liquid feed 102 may be dispersed in aerosol form. Also, the carrier gas 104 may be inert, in that the carrier gas 104 does not participate in formation of the particles 112. Alternatively, the carrier gas may have one or more active component(s) that contribute to formation of the particles 112. In that regard, the carrier gas may include one or more  
10 reactive components that react in the furnace 110 to contribute to formation of the particles 112. Preferred carrier gas compositions are discussed more fully below.

          The aerosol generator 106 atomizes the liquid feed 102 to form droplets in a manner to permit the carrier gas 104 to sweep the droplets away to form the aerosol 108. The droplets comprise liquid from the liquid feed 102. The droplets may, however, also include  
15 nonliquid material, such as one or more small particles held in the droplet by the liquid. For example, when the particles 112 are composite, or multi-phase, particles, one phase of the composite may be provided in the liquid feed 102 in the form of suspended precursor particles and a second phase of the composite may be produced in the furnace 110 from one or more precursors in the liquid phase of the liquid feed 102. Furthermore the precursor  
20 particles could be included in the liquid feed 102, and therefore also in droplets of the aerosol 108, for the purpose only of dispersing the particles for subsequent compositional or structural modification during or after processing in the furnace 110.

          An important aspect of the present invention is generation of the aerosol 108 with droplets of a small average size, narrow size distribution. In this manner, the particles 112

may be produced at a desired small size with a narrow size distribution, which are advantageous for many applications.

The aerosol generator 106 is capable of producing the aerosol 108 such that it includes droplets having a weight average size in a range having a lower limit of about 1  $\mu\text{m}$  and preferably about 2  $\mu\text{m}$ ; and an upper limit of about 10  $\mu\text{m}$ ; preferably about 7  $\mu\text{m}$ , more preferably about 5  $\mu\text{m}$  and most preferably about 4  $\mu\text{m}$ . A weight average droplet size in a range of from about 2  $\mu\text{m}$  to about 4  $\mu\text{m}$  is more preferred for most applications, with a weight average droplet size of about 3  $\mu\text{m}$  being particularly preferred for some applications. The aerosol generator is also capable of producing the aerosol 108 such that it includes droplets in a narrow size distribution. Preferably, the droplets in the aerosol are such that at least about 70 percent (more preferably at least about 80 weight percent and most preferably at least about 85 weight percent) of the droplets are smaller than about 10  $\mu\text{m}$  and more preferably at least about 70 weight percent (more preferably at least about 80 weight percent and most preferably at least about 85 weight percent) are smaller than about 5  $\mu\text{m}$ . Furthermore, preferably no greater than about 30 weight percent, more preferably no greater than about 25 weight percent and most preferably no greater than about 20 weight percent, of the droplets in the aerosol 108 are larger than about twice the weight average droplet size.

Another important aspect of the present invention is that the aerosol 108 may be generated without consuming excessive amounts of the carrier gas 104. The aerosol generator 106 is capable of producing the aerosol 108 such that it has a high loading, or high concentration, of the liquid feed 102 in droplet form. In that regard, the aerosol 108 preferably includes greater than about  $1 \times 10^6$  droplets per cubic centimeter of the aerosol 108, more preferably greater than about  $5 \times 10^6$  droplets per cubic centimeter, still more preferably greater than about  $1 \times 10^7$  droplets per cubic centimeter, and most preferably

greater than about  $5 \times 10^7$  droplets per cubic centimeter. That the aerosol generator 106 can produce such a heavily loaded aerosol 108 is particularly surprising considering the high quality of the aerosol 108 with respect to small average droplet size and narrow droplet size distribution. Typically, droplet loading in the aerosol is such that the volumetric ratio of liquid feed 102 to carrier gas 104 in the aerosol 108 is larger than about 0.04 milliliters of liquid feed 102 per liter of carrier gas 104 in the aerosol 108, preferably larger than about 0.083 milliliters of liquid feed 102 per liter of carrier gas 104 in the aerosol 108, more preferably larger than about 0.167 milliliters of liquid feed 102 per liter of carrier gas 104, still more preferably larger than about 0.25 milliliters of liquid feed 102 per liter of carrier gas 104, and most preferably larger than about 0.333 milliliters of liquid feed 102 per liter of carrier gas 104.

This capability of the aerosol generator 106 to produce a heavily loaded aerosol 108 is even more surprising given the high droplet output rate of which the aerosol generator 106 is capable, as discussed more fully below. It will be appreciated that the concentration of liquid feed 102 in the aerosol 108 will depend upon the specific components and attributes of the liquid feed 102 and, particularly, the size of the droplets in the aerosol 108. For example, when the average droplet size is from about  $2 \mu\text{m}$  to about  $4 \mu\text{m}$ , the droplet loading is preferably larger than about 0.15 milliliters of aerosol feed 102 per liter of carrier gas 104, more preferably larger than about 0.2 milliliters of liquid feed 102 per liter of carrier gas 104, even more preferably larger than about 0.2 milliliters of liquid feed 102 per liter of carrier gas 104, and most preferably larger than about 0.3 milliliters of liquid feed 102 per liter of carrier gas 104. When reference is made herein to liters of carrier gas 104, it refers to the volume that the carrier gas 104 would occupy under conditions of standard temperature and pressure.

The furnace 110 may be any suitable device for heating the aerosol 108 to evaporate liquid from the droplets of the aerosol 108 and thereby permit formation of the particles 112. The maximum average stream temperature, or reaction temperature, refers to the maximum average temperature that an aerosol stream attains while flowing through the furnace. This  
5 is typically determined by a temperature probe inserted into the furnace. Preferred reaction temperatures according to the present invention are discussed more fully below.

Although longer residence times are possible, for many applications, residence time in the heating zone of the furnace 110 of shorter than about 4 seconds is typical, with shorter than about 2 seconds being preferred, shorter than about 1 second being more preferred,  
10 shorter than about 0.5 second being even more preferred, and shorter than about 0.2 second being most preferred. The residence time should be long enough, however, to assure that the particles 112 attain the desired maximum stream temperature for a given heat transfer rate. In that regard, with extremely short residence times, higher furnace temperatures could be used to increase the rate of heat transfer so long as the particles 112 attain a maximum  
15 temperature within the desired stream temperature range. That mode of operation, however, is not preferred. Also, it is preferred that, in most cases, the maximum stream temperature not be attained in the furnace 110 until substantially at the end of the heating zone in the furnace 110. For example, the heating zone will often include a plurality of heating sections that are each independently controllable. The maximum stream temperature should typically not be  
20 attained until the final heating section, and more preferably until substantially at the end of the last heating section. This is important to reduce the potential for thermophoretic losses of material. Also, it is noted that as used herein, residence time refers to the actual time for a material to pass through the relevant process equipment. In the case of the furnace, this includes the effect of increasing velocity with gas expansion due to heating.



Typically, the furnace 110 will be a tube-shaped furnace, so that the aerosol 108 moving into and through the furnace does not encounter sharp edges on which droplets could collect. Loss of droplets to collection at sharp surfaces results in a lower yield of particles 112. More important, however, the accumulation of liquid at sharp edges can result in re-  
5 release of undesirably large droplets back into the aerosol 108, which can cause contamination of the particulate product 116 with undesirably large particles. Also, over time, such liquid collection at sharp surfaces can cause fouling of process equipment, impairing process performance.

The furnace 110 may include a heating tube made of any suitable material. The tube  
10 material may be a ceramic material, for example, mullite, silica or alumina. Alternatively, the tube may be metallic. Advantages of using a metallic tube are low cost, ability to withstand steep temperature gradients and large thermal shocks, machinability and weldability, and ease of providing a seal between the tube and other process equipment. Disadvantages of using a metallic tube include limited operating temperature and increased reactivity in some  
15 reaction systems.

When a metallic tube is used in the furnace 110, it is preferably a high nickel content stainless steel alloy, such as a 330 stainless steel, or a nickel-based super alloy. As noted, one of the major advantages of using a metallic tube is that the tube is relatively easy to seal with other process equipment. In that regard, flange fittings may be welded directly to the tube  
20 for connecting with other process equipment. Metallic tubes are generally preferred for making particles that do not require a maximum tube wall temperature of higher than about 1100°C during particle manufacture.

When higher temperatures are required, ceramic tubes are typically used. One major problem with ceramic tubes, however, is that the tubes can be difficult to seal with other

process equipment, especially when the ends of the tubes are maintained at relatively high temperatures, as is often the case with the present invention.

One configuration for sealing a ceramic tube is shown in Figs 2, 3 and 4. The furnace 110 includes a ceramic tube 374 having an end cap 376 fitted to each end of the tube 374, with a gasket 378 disposed between corresponding ends of the ceramic tube 374 and the end caps 376. The gasket may be of any suitable material for sealing at the temperature encountered at the ends of the tubes 374. Examples of gasket materials for sealing at temperatures below about 250°C include silicone, TEFLON™ and VITON™. Examples of gasket materials for higher temperatures include graphite, ceramic paper, thin sheet metal, and combinations thereof.

Tension rods 380 extend over the length of the furnace 110 and through rod holes 382 through the end caps 376. The tension rods 380 are held in tension by the force of springs 384 bearing against bearing plates 386 and the end caps 376. The tube 374 is, therefore, in compression due to the force of the springs 384. The springs 384 may be compressed any desired amount to form a seal between the end caps 376 and the ceramic tube 374 through the gasket 378. As will be appreciated, by using the springs 384, the tube 374 is free to move to some degree as it expands upon heating and contracts upon cooling. To form the seal between the end caps 376 and the ceramic tube 374, one of the gaskets 378 is seated in a gasket seat 388 on the side of each end cap 376 facing the tube 374. A mating face 390 on the side of each of the end caps 376 faces away from the tube 374, for mating with a flange surface for connection with an adjacent piece of equipment.

Also, although the present invention is described with primary reference to a furnace reactor, which is preferred, it should be recognized that, except as noted, any other thermal reactor, including a flame reactor or a plasma reactor, could be used instead. A furnace

reactor is, however, preferred, because of the generally even heating characteristic of a furnace for attaining a uniform stream temperature.

The particle collector 114, may be any suitable apparatus for collecting particles 112 to produce the particulate product 116. One preferred embodiment of the particle collector 114 uses one or more filter to separate the particles 112 from gas. Such a filter may be of any type, including a bag filter. Another preferred embodiment of the particle collector uses one or more cyclone to separate the particles 112. Other apparatus that may be used in the particle collector 114 includes an electrostatic precipitator. Also, collection should normally occur at a temperature above the condensation temperature of the gas stream in which the particles 112 are suspended. Also, collection should normally be at a temperature that is low enough to prevent significant agglomeration of the particles 112.

Of significant importance to the operation of the process of the present invention is the aerosol generator 106, which must be capable of producing a high quality aerosol with high droplet loading, as previously noted. With reference to Fig. 5, one embodiment of an aerosol generator 106 of the present invention is described. The aerosol generator 106 includes a plurality of ultrasonic transducer discs 120 that are each mounted in a transducer housing 122. The transducer housings 122 are mounted to a transducer mounting plate 124, creating an array of the ultrasonic transducer discs 120. Any convenient spacing may be used for the ultrasonic transducer discs 120. Center-to-center spacing of the ultrasonic transducer discs 120 of about 4 centimeters is often adequate. The aerosol generator 106, as shown in Fig. 5, includes forty-nine transducers in a 7 x 7 array. The array configuration is as shown in Fig. 6, which depicts the locations of the transducer housings 122 mounted to the transducer mounting plate 124.

With continued reference to Fig. 5, a separator 126, in spaced relation to the

transducer discs 120, is retained between a bottom retaining plate 128 and a top retaining plate 130. Gas delivery tubes 132 are connected to gas distribution manifolds 134, which have gas delivery ports 136. The gas distribution manifolds 134 are housed within a generator body 138 that is covered by generator lid 140. A transducer driver 144, having  
5 circuitry for driving the transducer discs 120, is electronically connected with the transducer discs 120 via electrical cables 146.

During operation of the aerosol generator 106, as shown in Fig. 5, the transducer discs 120 are activated by the transducer driver 144 via the electrical cables 146. The transducers preferably vibrate at a frequency of from about 1 MHz to about 5 MHz, more preferably from  
10 about 1.5 MHz to about 3 MHz. Frequently used frequencies are at about 1.6 MHz and about 2.4 MHz. Furthermore, all of the transducer discs 110 should be operating at substantially the same frequency when an aerosol with a narrow droplet size distribution is desired. This is important because commercially available transducers can vary significantly in thickness, sometimes by as much as 10%. It is preferred, however, that the transducer  
15 discs 120 operate at frequencies within a range of 5% above and below the median transducer frequency, more preferably within a range of 2.5%, and most preferably within a range of 1%. This can be accomplished by careful selection of the transducer discs 120 so that they all preferably have thicknesses within 5% of the median transducer thickness, more preferably within 2.5%, and most preferably within 1%.

20 Liquid feed 102 enters through a feed inlet 148 and flows through flow channels 150 to exit through feed outlet 152. An ultrasonically transmissive fluid, typically water, enters through a water inlet 154 to fill a water bath volume 156 and flow through flow channels 158 to exit through a water outlet 160. A proper flow rate of the ultrasonically transmissive fluid is necessary to cool the transducer discs 120 and to prevent overheating of the ultrasonically

transmissive fluid. Ultrasonic signals from the transducer discs 120 are transmitted, via the ultrasonically transmissive fluid, across the water bath volume 156, and ultimately across the separator 126, to the liquid feed 102 in flow channels 150.

The ultrasonic signals from the ultrasonic transducer discs 120 cause atomization  
5 cones 162 to develop in the liquid feed 102 at locations corresponding with the transducer discs 120. Carrier gas 104 is introduced into the gas delivery tubes 132 and delivered to the vicinity of the atomization cones 162 via gas delivery ports 136. Jets of carrier gas exit the gas delivery ports 136 in a direction so as to impinge on the atomization cones 162, thereby sweeping away atomized droplets of the liquid feed 102 that are being generated from the  
10 atomization cones 162 and creating the aerosol 108, which exits the aerosol generator 106 through an aerosol exit opening 164.

Efficient use of the carrier gas 104 is an important aspect of the aerosol generator 106. The embodiment of the aerosol generator 106 shown in Fig. 5 includes two gas exit ports per atomization cone 162, with the gas ports being positioned above the liquid medium 102 over  
15 troughs that develop between the atomization cones 162, such that the exiting carrier gas 104 is horizontally directed at the surface of the atomization cones 162, thereby efficiently distributing the carrier gas 104 to critical portions of the liquid feed 102 for effective and efficient sweeping away of droplets as they form about the ultrasonically energized atomization cones 162. Furthermore, it is preferred that at least a portion of the opening of  
20 each of the gas delivery ports 136, through which the carrier gas exits the gas delivery tubes, should be located below the top of the atomization cones 162 at which the carrier gas 104 is directed. This relative placement of the gas delivery ports 136 is very important to efficient use of carrier gas 104. Orientation of the gas delivery ports 136 is also important. Preferably, the gas delivery ports 136 are positioned to horizontally direct jets of the carrier gas 104 at

the atomization cones 162. The aerosol generator 106 permits generation of the aerosol 108 with heavy loading with droplets of the carrier liquid 102, unlike aerosol generator designs that do not efficiently focus gas delivery to the locations of droplet formation.

Another important feature of the aerosol generator 106, as shown in Fig. 5, is the use of the separator 126, which protects the transducer discs 120 from direct contact with the liquid feed 102, which is often highly corrosive. The height of the separator 126 above the top of the transducer discs 120 should normally be kept as small as possible, and is often in the range of from about 1 centimeter to about 2 centimeters. The top of the liquid feed 102 in the flow channels above the tops of the ultrasonic transducer discs 120 is typically in a range of from about 2 centimeters to about 5 centimeters, whether or not the aerosol generator includes the separator 126, with a distance of about 3 to 4 centimeters being preferred. Although the aerosol generator 106 could be made without the separator 126, in which case the liquid feed 102 would be in direct contact with the transducer discs 120, the highly corrosive nature of the liquid feed 102 can often cause premature failure of the transducer discs 120. The use of the separator 126, in combination with use of the ultrasonically transmissive fluid in the water bath volume 156 to provide ultrasonic coupling, significantly extending the life of the ultrasonic transducers 120. One disadvantage of using the separator 126, however, is that the rate of droplet production from the atomization cones 162 is reduced, often by a factor of two or more, relative to designs in which the liquid feed 102 is in direct contact with the ultrasonic transducer discs 102. Even with the separator 126, however, the aerosol generator 106 used with the present invention is capable of producing a high quality aerosol with heavy droplet loading, as previously discussed. Suitable materials for the separator 126 include, for example, polyamides (such as Kapton™ membranes from DuPont) and other polymer materials, glass, and plexiglass. The main requirements for the

separator 126 are that it be ultrasonically transmissive, corrosion resistant and impermeable.

One alternative to using the separator 126 is to bind a corrosion-resistant protective coating onto the surface of the ultrasonic transducer discs 120, thereby preventing the liquid feed 102 from contacting the surface of the ultrasonic transducer discs 120. When the ultrasonic transducer discs 120 have a protective coating, the aerosol generator 106 will typically be constructed without the water bath volume 156 and the liquid feed 102 will flow directly over the ultrasonic transducer discs 120. Examples of such protective coating materials include platinum, gold, TEFLON™, epoxies and various plastics. Such coating typically significantly extends transducer life. Also, when operating without the separator 126, the aerosol generator 106 will typically produce the aerosol 108 with a much higher droplet loading than when the separator 126 is used.

One surprising finding with operation of the aerosol generator 106 of the present invention is that the droplet loading in the aerosol may be affected by the temperature of the liquid feed 102. It has been found that when the liquid feed 102 includes an aqueous liquid at an elevated temperature, the droplet loading increases significantly. The temperature of the liquid feed 102 is preferably higher than about 30°C, more preferably higher than about 35°C and most preferably higher than about 40°C. If the temperature becomes too high, however, it can have a detrimental effect on droplet loading in the aerosol 108. Therefore, the temperature of the liquid feed 102 from which the aerosol 108 is made should generally be lower than about 50°C, and preferably lower than about 45°C. The liquid feed 102 may be maintained at the desired temperature in any suitable fashion. For example, the portion of the aerosol generator 106 where the liquid feed 102 is converted to the aerosol 108 could be maintained at a constant elevated temperature. Alternatively, the liquid feed 102 could be delivered to the aerosol generator 106 from a constant temperature bath maintained

separate from the aerosol generator 106. When the ultrasonic generator 106 includes the separator 126, the ultrasonically transmissive fluid adjacent the ultrasonic transducer disks 120 are preferably also at an elevated temperature in the ranges just discussed for the liquid feed 102.

5        The design for the aerosol generator 106 based on an array of ultrasonic transducers is versatile and is easily modified to accommodate different generator sizes for different specialty applications. The aerosol generator 106 may be designed to include a plurality of ultrasonic transducers in any convenient number. Even for smaller scale production, however, the aerosol generator 106 preferably has at least nine ultrasonic transducers, more  
10 preferably at least 16 ultrasonic transducers, and even more preferably at least 25 ultrasonic transducers. For larger scale production, however, the aerosol generator 106 includes at least 40 ultrasonic transducers, more preferably at least 100 ultrasonic transducers, and even more preferably at least 400 ultrasonic transducers. In some large volume applications, the aerosol generator may have at least 1000 ultrasonic transducers.

15        Figs. 7-24 show component designs for an aerosol generator 106 including an array of 400 ultrasonic transducers. Referring first to Figs. 7 and 8, the transducer mounting plate 124 is shown with a design to accommodate an array of 400 ultrasonic transducers, arranged in four subarrays of 100 ultrasonic transducers each. The transducer mounting plate 124 has integral vertical walls 172 for containing the ultrasonically transmissive fluid, typically water,  
20 in a water bath similar to the water bath volume 156 described previously with reference to Fig. 5.

As shown in Figs. 7 and 8, four hundred transducer mounting receptacles 174 are provided in the transducer mounting plate 124 for mounting ultrasonic transducers for the desired array. With reference to Fig. 9, the profile of an individual transducer mounting



receptacle 174 is shown. A mounting seat 176 accepts an ultrasonic transducer for mounting, with a mounted ultrasonic transducer being held in place via screw holes 178. Opposite the mounting receptacle 176 is a flared opening 180 through which an ultrasonic signal may be transmitted for the purpose of generating the aerosol 108, as previously described with  
5 reference to Fig. 5.

A preferred transducer mounting configuration, however, is shown in Fig. 10 for another configuration for the transducer mounting plate 124. As seen in Fig. 10, an ultrasonic transducer disc 120 is mounted to the transducer mounting plate 124 by use of a compression screw 177 threaded into a threaded receptacle 179. The compression screw 177 bears  
10 against the ultrasonic transducer disc 120, causing an o-ring 181, situated in an o-ring seat 182 on the transducer mounting plate, to be compressed to form a seal between the transducer mounting plate 124 and the ultrasonic transducer disc 120. This type of transducer mounting is particularly preferred when the ultrasonic transducer disc 120 includes a protective surface coating, as discussed previously, because the seal of the o-ring to the  
15 ultrasonic transducer disc 120 will be inside of the outer edge of the protective seal, thereby preventing liquid from penetrating under the protective surface coating from the edges of the ultrasonic transducer disc 120.

Referring now to Fig. 11, the bottom retaining plate 128 for a 400 transducer array is shown having a design for mating with the transducer mounting plate 124 (shown in Figs. 7-  
20 8). The bottom retaining plate 128 has eighty openings 184, arranged in four subgroups 186 of twenty openings 184 each. Each of the openings 184 corresponds with five of the transducer mounting receptacles 174 (shown in Figs. 7 and 8) when the bottom retaining plate 128 is mated with the transducer mounting plate 124 to create a volume for a water bath between the transducer mounting plate 124 and the bottom retaining plate 128. The

openings 184, therefore, provide a pathway for ultrasonic signals generated by ultrasonic transducers to be transmitted through the bottom retaining plate.

Referring now to Figs. 12 and 13, a liquid feed box 190 for a 400 transducer array is shown having the top retaining plate 130 designed to fit over the bottom retaining plate 128 (shown in Fig. 11), with a separator 126 (not shown) being retained between the bottom retaining plate 128 and the top retaining plate 130 when the aerosol generator 106 is assembled. The liquid feed box 190 also includes vertically extending walls 192 for containing the liquid feed 102 when the aerosol generator is in operation. Also shown in Figs. 12 and 13 is the feed inlet 148 and the feed outlet 152. An adjustable weir 198 determines the level of liquid feed 102 in the liquid feed box 190 during operation of the aerosol generator 106.

The top retaining plate 130 of the liquid feed box 190 has eighty openings 194 therethrough, which are arranged in four subgroups 196 of twenty openings 194 each. The openings 194 of the top retaining plate 130 correspond in size with the openings 184 of the bottom retaining plate 128 (shown in Fig. 11). When the aerosol generator 106 is assembled, the openings 194 through the top retaining plate 130 and the openings 184 through the bottom retaining plate 128 are aligned, with the separator 126 positioned therebetween, to permit transmission of ultrasonic signals when the aerosol generator 106 is in operation.

Referring now to Figs. 12-14, a plurality of gas tube feed-through holes 202 extend through the vertically extending walls 192 to either side of the assembly including the feed inlet 148 and feed outlet 152 of the liquid feed box 190. The gas tube feed-through holes 202 are designed to permit insertion therethrough of gas tubes 208 of a design as shown in Fig. 14. When the aerosol generator 106 is assembled, a gas tube 208 is inserted through each of the gas tube feed-through holes 202 so that gas delivery ports 136 in the gas tube 208

will be properly positioned and aligned adjacent the openings 194 in the top retaining plate 130 for delivery of gas to atomization cones that develop in the liquid feed box 190 during operation of the aerosol generator 106. The gas delivery ports 136 are typically holes having a diameter of from about 1.5 millimeters to about 3.5 millimeters.

5 Referring now to Fig. 15, a partial view of the liquid feed box 190 is shown with gas tubes 208A, 208B and 208C positioned adjacent to the openings 194 through the top retaining plate 130. Also shown in Fig. 15 are the relative locations that ultrasonic transducer discs 120 would occupy when the aerosol generator 106 is assembled. As seen in Fig. 15, the gas tube 208A, which is at the edge of the array, has five gas delivery ports 136. Each of  
10 the gas delivery ports 136 is positioned to divert carrier gas 104 to a different one of atomization cones that develop over the array of ultrasonic transducer discs 120 when the aerosol generator 106 is operating. The gas tube 208B, which is one row in from the edge of the array, is a shorter tube that has ten gas delivery ports 136, five each on opposing sides of the gas tube 208B. The gas tube 208B, therefore, has gas delivery ports 136 for delivering  
15 gas to atomization cones corresponding with each of ten ultrasonic transducer discs 120. The third gas tube, 208C, is a longer tube that also has ten gas delivery ports 136 for delivering gas to atomization cones corresponding with ten ultrasonic transducer discs 120. The design shown in Fig. 15, therefore, includes one gas delivery port per ultrasonic transducer disc 120. Although this is a lower density of gas delivery ports 136 than for the embodiment of the  
20 aerosol generator 106 shown in Fig. 5, which includes two gas delivery ports per ultrasonic transducer disc 120, the design shown in Fig. 15 is, nevertheless, capable of producing a dense, high-quality aerosol without unnecessary waste of gas.

Referring now to Fig. 16, the flow of carrier gas 104 relative to atomization cones 162 during operation of the aerosol generator 106 having a gas distribution configuration to

deliver carrier gas 104 from gas delivery ports on both sides of the gas tubes 208, as was shown for the gas tubes 208A, 208B and 208C in the gas distribution configuration shown in Fig. 14. The carrier gas 104 sweeps both directions from each of the gas tubes 208.

An alternative, and preferred, flow for carrier gas 104 is shown in Fig. 17. As shown in Fig. 17, carrier gas 104 is delivered from only one side of each of the gas tubes 208. This results in a sweep of carrier gas from all of the gas tubes 208 toward a central area 212. This results in a more uniform flow pattern for aerosol generation that may significantly enhance the efficiency with which the carrier gas 104 is used to produce an aerosol. The aerosol that is generated, therefore, tends to be more heavily loaded with liquid droplets.

Another configuration for distributing carrier gas in the aerosol generator 106 is shown in Figs. 18 and 19. In this configuration, the gas tubes 208 are hung from a gas distribution plate 216 adjacent gas flow holes 218 through the gas distribution plate 216. In the aerosol generator 106, the gas distribution plate 216 would be mounted above the liquid feed, with the gas flow holes positioned to each correspond with an underlying ultrasonic transducer. Referring specifically to Fig. 19, when the ultrasonic generator 106 is in operation, atomization cones 162 develop through the gas flow holes 218, and the gas tubes 208 are located such that carrier gas 104 exiting from ports in the gas tubes 208 impinge on the atomization cones and flow upward through the gas flow holes. The gas flow holes 218, therefore, act to assist in efficiently distributing the carrier gas 104 about the atomization cones 162 for aerosol formation. It should be appreciated that the gas distribution plates 218 can be made to accommodate any number of the gas tubes 208 and gas flow holes 218. For convenience of illustration, the embodiment shown in Figs. 18 and 19 shows a design having only two of the gas tubes 208 and only 16 of the gas flow holes 218. Also, it should be appreciated that the gas distribution plate 216 could be used alone, without the gas tubes

208. In that case, a slight positive pressure of carrier gas 104 would be maintained under the gas distribution plate 216 and the gas flow holes 218 would be sized to maintain the proper velocity of carrier gas 104 through the gas flow holes 218 for efficient aerosol generation. Because of the relative complexity of operating in that mode, however, it is not preferred.

5        Aerosol generation may also be enhanced through mounting of ultrasonic transducers at a slight angle and directing the carrier gas at resulting atomization cones such that the atomization cones are tilting in the same direction as the direction of flow of carrier gas. Referring to Fig. 20, an ultrasonic transducer disc 120 is shown. The ultrasonic transducer disc 120 is tilted at a tilt angle 114 (typically less than 10 degrees), so that the atomization  
10 cone 162 will also have a tilt. It is preferred that the direction of flow of the carrier gas 104 directed at the atomization cone 162 is in the same direction as the tilt of the atomization cone 162.

Referring now to Figs. 21 and 22, a gas manifold 220 is shown for distributing gas to the gas tubes 208 in a 400 transducer array design. The gas manifold 220 includes a gas  
15 distribution box 222 and piping stubs 224 for connection with gas tubes 208 (shown in Fig. 14). Inside the gas distribution box 222 are two gas distribution plates 226 that form a flow path to assist in distributing the gas equally throughout the gas distribution box 222, to promote substantially equal delivery of gas through the piping stubs 224. The gas manifold 220, as shown in Figs. 21 and 22, is designed to feed eleven gas tubes 208. For the 400  
20 transducer design, a total of four gas manifolds 220 are required.

Referring now to Figs 23 and 24, the generator lid 140 is shown for a 400 transducer array design. The generator lid 140 mates with and covers the liquid feed box 190 (shown in Figs. 12 and 13). The generator lid 140, as shown in Figs. 23 and 24, has a hood design to permit easy collection of the aerosol 108 without subjecting droplets in the aerosol 108

to sharp edges on which droplets may coalesce and be lost, and possibly interfere with the proper operation of the aerosol generator 106. When the aerosol generator 106 is in operation, the aerosol 108 would be withdrawn via the aerosol exit opening 164 through the generator cover 140.

5           Although the aerosol generator 106 produces a high quality aerosol 108 having a high droplet loading, it is often desirable to further concentrate the aerosol 108 prior to introduction into the furnace 110. Referring now to Fig. 25, a process flow diagram is shown for one embodiment of the present invention involving such concentration of the aerosol 108. As shown in Fig. 25, the aerosol 108 from the aerosol generator 106 is sent to an aerosol  
10   concentrator 236 where excess carrier gas 238 is withdrawn from the aerosol 108 to produce a concentrated aerosol 240, which is then fed to the furnace 110.

          The aerosol concentrator 236 typically includes one or more virtual impactors capable of concentrating droplets in the aerosol 108 by a factor of greater than about 2, preferably by a factor of greater than about 5, and more preferably by a factor of greater than about 10, to  
15   produce the concentrated aerosol 240. According to the present invention, the concentrated aerosol 240 should typically contain greater than about  $1 \times 10^7$  droplets per cubic centimeter, and more preferably from about  $5 \times 10^7$  to about  $5 \times 10^8$  droplets per cubic centimeter. A concentration of about  $1 \times 10^8$  droplets per cubic centimeter of the concentrated aerosol is particularly preferred, because when the concentrated aerosol 240 is loaded more heavily  
20   than that, then the frequency of collisions between droplets becomes large enough to impair the properties of the concentrated aerosol 240, resulting in potential contamination of the particulate product 116 with an undesirably large quantity of over-sized particles. For example, if the aerosol 108 has a concentration of about  $1 \times 10^7$  droplets per cubic centimeter, and the aerosol concentrator 236 concentrates droplets by a factor of 10, then the

concentrated aerosol 240 will have a concentration of about  $1 \times 10^8$  droplets per cubic centimeter. Stated another way, for example, when the aerosol generator generates the aerosol 108 with a droplet loading of about 0.167 milliliters liquid feed 102 per liter of carrier gas 104, the concentrated aerosol 240 would be loaded with about 1.67 milliliters of liquid feed 102 per liter of carrier gas 104, assuming the aerosol 108 is concentrated by a factor of 10.

Having a high droplet loading in aerosol feed to the furnace provides the important advantage of reducing the heating demand on the furnace 110 and the size of flow conduits required through the furnace. Also, other advantages of having a dense aerosol include a reduction in the demands on cooling and particle collection components, permitting significant equipment and operational savings. Furthermore, as system components are reduced in size, powder holdup within the system is reduced, which is also desirable. Concentration of the aerosol stream prior to entry into the furnace 110, therefore, provides a substantial advantage relative to processes that utilize less concentrated aerosol streams.

The excess carrier gas 238 that is removed in the aerosol concentrator 236 typically includes extremely small droplets that are also removed from the aerosol 108. Preferably, the droplets removed with the excess carrier gas 238 have a weight average size of smaller than about  $1.5 \mu\text{m}$ , and more preferably smaller than about  $1 \mu\text{m}$  and the droplets retained in the concentrated aerosol 240 have an average droplet size of larger than about  $2 \mu\text{m}$ . For example, a virtual impactor sized to treat an aerosol stream having a weight average droplet size of about three  $\mu\text{m}$  might be designed to remove with the excess carrier gas 238 most droplets smaller than about  $1.5 \mu\text{m}$  in size. Other designs are also possible. When using the aerosol generator 106 with the present invention, however, the loss of these very small droplets in the aerosol concentrator 236 will typically constitute no more than about 10

percent by weight, and more preferably no more than about 5 percent by weight, of the droplets originally in the aerosol stream that is fed to the concentrator 236. Although the aerosol concentrator 236 is useful in some situations, it is normally not required with the process of the present invention, because the aerosol generator 106 is capable, in most  
5 circumstances, of generating an aerosol stream that is sufficiently dense. So long as the aerosol stream coming out of the aerosol generator 102 is sufficiently dense, it is preferred that the aerosol concentrator not be used. It is a significant advantage of the present invention that the aerosol generator 106 normally generates such a dense aerosol stream that the aerosol concentrator 236 is not needed. Therefore, the complexity of operation of the aerosol  
10 concentrator 236 and accompanying liquid losses may typically be avoided.

It is important that the aerosol stream (whether it has been concentrated or not) that is fed to the furnace 110 have a high droplet flow rate and high droplet loading as would be required for most industrial applications. With the present invention, the aerosol stream fed to the furnace preferably includes a droplet flow of greater than about 0.5 liters per hour,  
15 more preferably greater than about 2 liters per hour, still more preferably greater than about 5 liters per hour, even more preferably greater than about 10 liters per hour, particularly greater than about 50 liters per hour and most preferably greater than about 100 liters per hour; and with the droplet loading being typically greater than about 0.04 milliliters of droplets per liter of carrier gas, preferably greater than about 0.083 milliliters of droplets per  
20 liter of carrier gas 104, more preferably greater than about 0.167 milliliters of droplets per liter of carrier gas 104, still more preferably greater than about 0.25 milliliters of droplets per liter of carrier gas 104, particularly greater than about 0.33 milliliters of droplets per liter of carrier gas 104 and most preferably greater than about 0.83 milliliters of droplets per liter of carrier gas 104.



One embodiment of a virtual impactor that could be used as the aerosol concentrator 236 will now be described with reference to Figs. 26-32. A virtual impactor 246 includes an upstream plate assembly 248 (details shown in Figs. 27-29) and a downstream plate assembly 250 (details shown in Figs. 25-32), with a concentrating chamber 262 located between the  
5 upstream plate assembly 248 and the downstream plate assembly 250.

Through the upstream plate assembly 248 are a plurality of vertically extending inlet slits 254. The downstream plate assembly 250 includes a plurality of vertically extending exit slits 256 that are in alignment with the inlet slits 254. The exit slits 256 are, however, slightly wider than the inlet slits 254. The downstream plate assembly 250 also includes flow  
10 channels 258 that extend substantially across the width of the entire downstream plate assembly 250, with each flow channel 258 being adjacent to an excess gas withdrawal port 260.

During operation, the aerosol 108 passes through the inlet slits 254 and into the concentrating chamber 262. Excess carrier gas 238 is withdrawn from the concentrating  
15 chamber 262 via the excess gas withdrawal ports 260. The withdrawn excess carrier gas 238 then exits via a gas duct port 264. That portion of the aerosol 108 that is not withdrawn through the excess gas withdrawal ports 260 passes through the exit slits 256 and the flow channels 258 to form the concentrated aerosol 240. Those droplets passing across the concentrating chamber 262 and through the exit slits 256 are those droplets of a large enough  
20 size to have sufficient momentum to resist being withdrawn with the excess carrier gas 238.

As seen best in Figs. 27-32, the inlet slits 254 of the upstream plate assembly 248 include inlet nozzle extension portions 266 that extend outward from the plate surface 268 of the upstream plate assembly 248. The exit slits 256 of the downstream plate assembly 250 include exit nozzle extension portions 270 extending outward from a plate surface 272 of the

downstream plate assembly 250. These nozzle extension portions 266 and 270 are important for operation of the virtual impactor 246, because having these nozzle extension portions 266 and 270 permits a very close spacing to be attained between the inlet slits 254 and the exit slits 256 across the concentrating chamber 262, while also providing a relatively large space  
5 in the concentrating chamber 262 to facilitate efficient removal of the excess carrier gas 238.

Also as best seen in Figs. 27-32, the inlet slits 254 have widths that flare outward toward the side of the upstream plate assembly 248 that is first encountered by the aerosol 108 during operation. This flared configuration reduces the sharpness of surfaces encountered by the aerosol 108, reducing the loss of aerosol droplets and potential  
10 interference from liquid buildup that could occur if sharp surfaces were present. Likewise, the exit slits 256 have a width that flares outward towards the flow channels 258, thereby allowing the concentrated aerosol 240 to expand into the flow channels 258 without encountering sharp edges that could cause problems.

As noted previously, both the inlet slits 254 of the upstream plate assembly 248 and  
15 the exit slits 256 of the downstream plate assembly 250 are vertically extending. This configuration is advantageous for permitting liquid that may collect around the inlet slits 254 and the exit slits 256 to drain away. The inlet slits 254 and the exit slits 256 need not, however, have a perfectly vertical orientation. Rather, it is often desirable to slant the slits backward (sloping upward and away in the direction of flow) by about five to ten degrees  
20 relative to vertical, to enhance draining of liquid off of the upstream plate assembly 248 and the downstream plate assembly 250. This drainage function of the vertically extending configuration of the inlet slits 254 and the outlet slits 256 also inhibits liquid build-up in the vicinity of the inlet slits 248 and the exit slits 250, which liquid build-up could result in the release of undesirably large droplets into the concentrated aerosol 240.

As discussed previously, the aerosol generator 106 of the present invention produces a concentrated, high quality aerosol of micro-sized droplets having a relatively narrow size distribution. It has been found, however, that for many applications the process of the present invention is significantly enhanced by further classifying by size the droplets in the aerosol 108 prior to introduction of the droplets into the furnace 110. In this manner, the size and size distribution of particles in the particulate product 116 are further controlled.

Referring now to Fig. 33, a process flow diagram is shown for one embodiment of the process of the present invention including such droplet classification. As shown in Fig. 33, the aerosol 108 from the aerosol generator 106 goes to a droplet classifier 280 where oversized droplets are removed from the aerosol 108 to prepare a classified aerosol 282. Liquid 284 from the oversized droplets that are being removed is drained from the droplet classifier 280. This drained liquid 284 may advantageously be recycled for use in preparing additional liquid feed 102.

Any suitable droplet classifier may be used for removing droplets above a predetermined size. For example, a cyclone could be used to remove over-size droplets. A preferred droplet classifier for many applications, however, is an impactor. One embodiment of an impactor for use with the present invention will now be described with reference to Figs. 34-38.

As seen in Fig. 34, an impactor 288 has disposed in a flow conduit 286 a flow control plate 290 and an impactor plate assembly 292. The flow control plate 290 is conveniently mounted on a mounting plate 294.

The flow control plate 290 is used to channel the flow of the aerosol stream toward the impactor plate assembly 292 in a manner with controlled flow characteristics that are desirable for proper impaction of oversize droplets on the impactor plate assembly 292 for

removal through the drains 296 and 314. One embodiment of the flow control plate 290 is shown in Fig. 35. The flow control plate 290 has an array of circular flow ports 296 for channeling flow of the aerosol 108 towards the impactor plate assembly 292 with the desired flow characteristics.

5         Details of the mounting plate 294 are shown in Fig. 36. The mounting plate 294 has a mounting flange 298 with a large diameter flow opening 300 passing therethrough to permit access of the aerosol 108 to the flow ports 296 of the flow control plate 290 (shown in Fig. 35).

Referring now to Figs. 37 and 38, one embodiment of an impactor plate assembly 292  
10 is shown. The impactor plate assembly 292 includes an impactor plate 302 and mounting brackets 304 and 306 used to mount the impactor plate 302 inside of the flow conduit 286. The impactor plate 302 and the flow channel plate 290 are designed so that droplets larger than a predetermined size will have momentum that is too large for those particles to change flow direction to navigate around the impactor plate 302.

15         During operation of the impactor 288, the aerosol 108 from the aerosol generator 106 passes through the upstream flow control plate 290. Most of the droplets in the aerosol navigate around the impactor plate 302 and exit the impactor 288 through the downstream flow control plate 290 in the classified aerosol 282. Droplets in the aerosol 108 that are too large to navigate around the impactor plate 302 will impact on the impactor plate 302 and  
20 drain through the drain 296 to be collected with the drained liquid 284 (as shown in Fig. 34).

The configuration of the impactor plate 302 shown in Fig. 33 represents only one of many possible configurations for the impactor plate 302. For example, the impactor 288 could include an upstream flow control plate 290 having vertically extending flow slits therethrough that are offset from vertically extending flow slits through the impactor plate

302, such that droplets too large to navigate the change in flow due to the offset of the flow slits between the flow control plate 290 and the impactor plate 302 would impact on the impactor plate 302 to be drained away. Other designs are also possible.

In a preferred embodiment of the present invention, the droplet classifier 280 is typically designed to remove droplets from the aerosol 108 that are larger than about 15  $\mu\text{m}$  in size, more preferably to remove droplets larger than about 10  $\mu\text{m}$  in size, even more preferably to remove droplets of a size larger than about 8  $\mu\text{m}$  in size and most preferably to remove droplets larger than about 5  $\mu\text{m}$  in size. The droplet classification size in the droplet classifier is preferably smaller than about 15  $\mu\text{m}$ , more preferably smaller than about 10  $\mu\text{m}$ , even more preferably smaller than about 8  $\mu\text{m}$  and most preferably smaller than about 5  $\mu\text{m}$ . The classification size, also called the classification cut point, is that size at which half of the droplets of that size are removed and half of the droplets of that size are retained. Depending upon the specific application, however, the droplet classification size may be varied, such as by changing the spacing between the impactor plate 302 and the flow control plate 290 or increasing or decreasing aerosol velocity through the jets in the flow control plate 290. Because the aerosol generator 106 of the present invention initially produces a high quality aerosol 108, having a relatively narrow size distribution of droplets, typically less than about 30 weight percent of liquid feed 102 in the aerosol 108 is removed as the drain liquid 284 in the droplet classifier 288, with preferably less than about 25 weight percent being removed, even more preferably less than about 20 weight percent being removed and most preferably less than about 15 weight percent being removed. Minimizing the removal of liquid feed 102 from the aerosol 108 is particularly important for commercial applications to increase the yield of high quality particulate product 116. It should be noted, however, that because of the superior performance of the aerosol generator 106, it is frequently not required

to use an impactor or other droplet classifier to obtain a desired absence of oversize droplets to the furnace. This is a major advantage, because the added complexity and liquid losses accompanying use of an impactor may often be avoided with the process of the present invention.

5 Sometimes it is desirable to use both the aerosol concentrator 236 and the droplet classifier 280 to produce an extremely high quality aerosol stream for introduction into the furnace for the production of particles of highly controlled size and size distribution. Referring now to Fig. 39, one embodiment of the present invention is shown incorporating both the virtual impactor 246 and the impactor 288. Basic components of the virtual  
10 impactor 246 and the impactor 288, as shown in Fig. 39, are substantially as previously described with reference to Figs. 26-38. As seen in Fig. 39, the aerosol 108 from the aerosol generator 106 is fed to the virtual impactor 246 where the aerosol stream is concentrated to produce the concentrated aerosol 240. The concentrated aerosol 240 is then fed to the impactor 288 to remove large droplets therefrom and produce the classified aerosol 282,  
15 which may then be fed to the furnace 110. Also, it should be noted that by using both a virtual impactor and an impactor, both undesirably large and undesirably small droplets are removed, thereby producing a classified aerosol with a very narrow droplet size distribution. Also, the order of the aerosol concentrator and the aerosol classifier could be reversed, so that the aerosol concentrator 236 follows the aerosol classifier 280.

20 One important feature of the design shown in Fig. 39 is the incorporation of drains 310, 312, 314, 316 and 296 at strategic locations. These drains are extremely important for industrial-scale particle production because buildup of liquid in the process equipment can significantly impair the quality of the particulate product 116 that is produced. In that regard, drain 310 drains liquid away from the inlet side of the first plate assembly 248 of the virtual

impactor 246. Drain 312 drains liquid away from the inside of the concentrating chamber 262 in the virtual impactor 246 and drain 314 removes liquid that deposits out of the excess carrier gas 238. Drain 316 removes liquid from the vicinity of the inlet side of the flow control plate 290 of the impactor, while the drain 296 removes liquid from the vicinity of the impactor plate 302. Without these drains 310, 312, 314, 316 and 296, the performance of the apparatus shown in Fig. 39 would be significantly impaired. All liquids drained in the drains 310, 312, 314, 316 and 296 may advantageously be recycled for use to prepare the liquid feed 102.

With some applications of the process of the present invention, it may be possible to collect the particles 112 directly from the output of the furnace 110. More often, however, it will be desirable to cool the particles 112 exiting the furnace 110 prior to collection of the particles 112 in the particle collector 114. Referring now to Fig. 40, one embodiment of the process of the present invention is shown in which the particles 112 exiting the furnace 110 are sent to a particle cooler 320 to produce a cooled particle stream 322, which is then feed to the particle collector 114. Although the particle cooler 320 may be any cooling apparatus capable of cooling the particles 112 to the desired temperature for introduction into the particle collector 114, traditional heat exchanger designs are not preferred. This is because a traditional heat exchanger design ordinarily directly subjects the aerosol stream, in which the hot particles 112 are suspended, to cool surfaces. In that situation, significant losses of the particles 112 occur due to thermophoretic deposition of the hot particles 112 on the cool surfaces of the heat exchanger. According to the present invention, a gas quench apparatus is provided for use as the particle cooler 320 that significantly reduces thermophoretic losses compared to a traditional heat exchanger.

Referring now to Figs. 41-43, one embodiment of a gas quench cooler 330 is shown.

The gas quench cooler includes a perforated conduit 332 housed inside of a cooler housing 334 with an annular space 336 located between the cooler housing 334 and the perforated conduit 332. In fluid communication with the annular space 336 is a quench gas inlet box 338, inside of which is disposed a portion of an aerosol outlet conduit 340. The perforated  
5 conduit 332 extends between the aerosol outlet conduit 340 and an aerosol inlet conduit 342. Attached to an opening into the quench gas inlet box 338 are two quench gas feed tubes 344. Referring specifically to Fig. 43, the perforated tube 332 is shown. The perforated tube 332 has a plurality of openings 345. The openings 345, when the perforated conduit 332 is assembled into the gas quench cooler 330, permit the flow of quench gas 346 from  
10 the annular space 336 into the interior space 348 of the perforated conduit 332. Although the openings 345 are shown as being round holes, any shape of opening could be used, such as slits. Also, the perforated conduit 332 could be a porous screen. Two heat radiation shields 347 prevent downstream radiant heating from the furnace. In most instances, however, it will not be necessary to include the heat radiation shields 347, because  
15 downstream radiant heating from the furnace is normally not a significant problem. Use of the heat radiation shields 347 is not preferred due to particulate losses that accompany their use.

With continued reference to Figs. 41-43, operation of the gas quench cooler 330 will now be described. During operation, the particles 112, carried by and dispersed in a gas  
20 stream, enter the gas quench cooler 330 through the aerosol inlet conduit 342 and flow into the interior space 348 of perforated conduit 332. Quench gas 346 is introduced through the quench gas feed tubes 344 into the quench gas inlet box 338. Quench gas 346 entering the quench gas inlet box 338 encounters the outer surface of the aerosol outlet conduit 340, forcing the quench gas 346 to flow, in a spiraling, swirling manner, into the annular space



336, where the quench gas 346 flows through the openings 345 through the walls of the perforated conduit 332. Preferably, the gas 346 retains some swirling motion even after passing into the interior space 348. In this way, the particles 112 are quickly cooled with low losses of particles to the walls of the gas quench cooler 330. In this manner, the quench gas 346 enters in a radial direction into the interior space 348 of the perforated conduit 332 around the entire periphery, or circumference, of the perforated conduit 332 and over the entire length of the perforated conduit 332. The cool quench gas 346 mixes with and cools the hot particles 112, which then exit through the aerosol outlet conduit 340 as the cooled particle stream 322. The cooled particle stream 322 can then be sent to the particle collector 114 for particle collection. The temperature of the cooled particle stream 322 is controlled by introducing more or less quench gas. Also, as shown in Fig. 41, the quench gas 346 is fed into the quench cooler 330 in counter flow to flow of the particles. Alternatively, the quench cooler could be designed so that the quench gas 346 is fed into the quench cooler in concurrent flow with the flow of the particles 112. The amount of quench gas 346 fed to the gas quench cooler 330 will depend upon the specific material being made and the specific operating conditions. The quantity of quench gas 346 used, however, must be sufficient to reduce the temperature of the aerosol stream including the particles 112 to the desired temperature. Typically, the particles 112 are cooled to a temperature at least below about 200°C, and often lower. The only limitation on how much the particles 112 are cooled is that the cooled particle stream 322 must be at a temperature that is above the condensation temperature for water as another condensible vapor in the stream. The temperature of the cooled particle stream 322 is often at a temperature of from about 50°C to about 120°C.

Because of the entry of quench gas 346 into the interior space 348 of the perforated conduit 322 in a radial direction about the entire circumference and length of the perforated

conduit 322, a buffer of the cool quench gas 346 is formed about the inner wall of the perforated conduit 332, thereby significantly inhibiting the loss of hot particles 112 due to thermophoretic deposition on the cool wall of the perforated conduit 332. In operation, the quench gas 346 exiting the openings 345 and entering into the interior space 348 should  
5 have a radial velocity (velocity inward toward the center of the circular cross-section of the perforated conduit 332) of larger than the thermophoretic velocity of the particles 112 inside the perforated conduit 332 in a direction radially outward toward the perforated wall of the perforated conduit 332.

As seen in Figs. 41-43, the gas quench cooler 330 includes a flow path for the particles  
10 112 through the gas quench cooler of a substantially constant cross-sectional shape and area. Preferably, the flow path through the gas quench cooler 330 will have the same cross-sectional shape and area as the flow path through the furnace 110 and through the conduit delivering the aerosol 108 from the aerosol generator 106 to the furnace 110. In one embodiment, however, it may be necessary to reduce the cross-sectional area available for  
15 flow prior to the particle collector 114. This is the case, for example, when the particle collector includes a cyclone for separating particles in the cooled particle stream 322 from gas in the cooled particle stream 322. This is because of the high inlet velocity requirements into cyclone separators.

Referring now to Fig. 44, one embodiment of the gas quench cooler 330 is shown in  
20 combination with a cyclone separator 392. The perforated conduit 332 has a continuously decreasing cross-sectional area for flow to increase the velocity of flow to the proper value for the feed to cyclone separator 392. Attached to the cyclone separator 392 is a bag filter 394 for final clean-up of overflow from the cyclone separator 392. Separated particles exit with underflow from the cyclone separator 392 and may be collected in any convenient

container. The use of cyclone separation is particularly preferred for powder having a weight average size of larger than about  $1\ \mu\text{m}$ , although a series of cyclones may sometimes be needed to get the desired degree of separation. Cyclone separation is particularly preferred for powders having a weight average size of larger than about  $1.5\ \mu\text{m}$ . Also, cyclone separation is best suited for high density materials. Preferably, when particles are separated using a cyclone, the particles are of a composition with specific gravity of greater than about 5.

In an additional embodiment, the process of the present invention can also incorporate compositional modification of the particles 112 exiting the furnace. Most commonly, the compositional modification will involve forming on the particles 112 a material phase that is different than that of the particles 112, such as by coating the particles 112 with a coating material. One embodiment of the process of the present invention incorporating particle coating is shown in Fig. 45. As shown in Fig. 45, the particles 112 exiting from the furnace 110 go to a particle coater 350 where a coating is placed over the outer surface of the particles 112 to form coated particles 352, which are then sent to the particle collector 114 for preparation of the particulate product 116. Coating methodologies employed in the particle coater 350 are discussed in more detail below.

With continued reference primarily to Fig. 45, in a preferred embodiment, when the particles 112 are coated according to the process of the present invention, the particles 112 are also manufactured via the aerosol process of the present invention, as previously described. The process of the present invention can, however, be used to coat particles that have been premanufactured by a different process, such as by a liquid precipitation route. When coating particles that have been premanufactured by a different route, such as by liquid precipitation, it is preferred that the particles remain in a dispersed state from the time of

manufacture to the time that the particles are introduced in slurry form into the aerosol generator 106 for preparation of the aerosol 108 to form the dry particles 112 in the furnace 110, which particles 112 can then be coated in the particle coater 350. Maintaining particles in a dispersed state from manufacture through coating avoids problems associated with agglomeration and redispersion of particles if particles must be redispersed in the liquid feed 102 for feed to the aerosol generator 106. For example, for particles originally precipitated from a liquid medium, the liquid medium containing the suspended precipitated particles could be used to form the liquid feed 102 to the aerosol generator 106. It should be noted that the particle coater 350 could be an integral extension of the furnace 110 or could be a separate piece of equipment.

In a further embodiment of the present invention, following preparation of the particles 112 in the furnace 110, the particles 112 may then be structurally modified to impart desired physical properties prior to particle collection. Referring now to Fig. 46, one embodiment of the process of the present invention is shown including such structural particle modification. The particles 112 exiting the furnace 110 go to a particle modifier 360 where the particles are structurally modified to form modified particles 362, which are then sent to the particle collector 114 for preparation of the particulate product 116. The particle modifier 360 is typically a furnace, such as an annealing furnace, which may be integral with the furnace 110 or may be a separate heating device. Regardless, it is important that the particle modifier 360 have temperature control that is independent of the furnace 110, so that the proper conditions for particle modification may be provided separate from conditions required of the furnace 110 to prepare the particles 112. The particle modifier 360, therefore, typically provides a temperature controlled environment and necessary residence time to effect the desired structural modification of the particles 112.

The structural modification that occurs in the particle modifier 360 may be any modification to the crystalline structure or morphology of the particles 112. For example, the particles 112 may be annealed in the particle modifier 360 to densify the particles 112 or to recrystallize the particles 112 into a polycrystalline or single crystalline form. Also, especially  
5 in the case of composite particles 112, the particles may be annealed for a sufficient time to permit redistribution within the particles 112 of different material phases. Particularly preferred parameters for such processes are discussed in more detail below.

The initial morphology of composite particles made in the furnace 110, according to the present invention, could take a variety of forms, depending upon the specified materials  
10 involved and the specific processing conditions. Examples of some possible composite particle morphologies, manufacturable according to the present invention are shown in Fig. 47. These morphologies could be of the particles as initially produced in the furnace 110 or that result from structural modification in the particle modifier 360. Furthermore, the composite particles could include a mixture of the morphological attributes shown in Fig. 47.

Referring now to Fig. 48, an embodiment of the apparatus of the present invention is shown that includes the aerosol generator 106 (in the form of the 400 transducer array design), the aerosol concentrator 236 (in the form of a virtual impactor), the droplet classifier 280 (in the form of an impactor), the furnace 110, the particle cooler 320 (in the form of a gas quench cooler) and the particle collector 114 (in the form of a bag filter). All process  
15 equipment components are connected via appropriate flow conduits that are substantially free of sharp edges that could detrimentally cause liquid accumulations in the apparatus. Also, it should be noted that flex connectors 370 are used upstream and downstream of the aerosol concentrator 236 and the droplet classifier 280. By using the flex connectors 370, it is possible to vary the angle of slant of vertically extending slits in the aerosol concentrator 236  
20

and/or the droplet classifier 280. In this way, a desired slant for the vertically extending slits may be set to optimize the draining characteristics off the vertically extending slits.

Aerosol generation with the process of the present invention has thus far been described with respect to the ultrasonic aerosol generator. Use of the ultrasonic generator is preferred for the process of the present invention because of the extremely high quality and dense aerosol generated. In some instances, however, the aerosol generation for the process of the present invention may have a different design depending upon the specific application. For example, when larger particles are desired, such as those having a weight average size of larger than about 3  $\mu\text{m}$ , a spray nozzle atomizer may be preferred. For smaller-particle applications, however, and particularly for those applications to produce particles smaller than about 3  $\mu\text{m}$ , and preferably smaller than about 2  $\mu\text{m}$  in size, as is generally desired with the particles of the present invention, the ultrasonic generator, as described herein, is particularly preferred. In that regard, the ultrasonic generator of the present invention is particularly preferred for when making particles with a weight average size of from about 0.2  $\mu\text{m}$  to about 3  $\mu\text{m}$ .

Although ultrasonic aerosol generators have been used for medical applications and home humidifiers, use of ultrasonic generators for spray pyrolysis particle manufacture has largely been confined to small-scale, experimental situations. The ultrasonic aerosol generator of the present invention described with reference to Figures 5-24, however, is well suited for commercial production of high quality powders with a small average size and a narrow size distribution. In that regard, the aerosol generator produces a high quality aerosol, with heavy droplet loading and at a high rate of production. Such a combination of small droplet size, narrow size distribution, heavy droplet loading, and high production rate provide significant advantages over existing aerosol generators that usually suffer from at least one of

inadequately narrow size distribution, undesirably low droplet loading, or unacceptably low production rate.

Through the careful and controlled design of the ultrasonic generator of the present invention, an aerosol may be produced typically having greater than about 70 weight percent (and preferably greater than about 80 weight percent) of droplets in the size range of from about 1  $\mu\text{m}$  to about 10  $\mu\text{m}$ , preferably in a size range of from about 1  $\mu\text{m}$  to about 5  $\mu\text{m}$  and more preferably from about 2  $\mu\text{m}$  to about 4  $\mu\text{m}$ . Also, the ultrasonic generator of the present invention is capable of delivering high output rates of liquid feed in the aerosol. The rate of liquid feed, at the high liquid loadings previously described, is preferably greater than about 25 milliliters per hour per transducer, more preferably greater than about 37.5 milliliters per hour per transducer, even more preferably greater than about 50 milliliters per hour per transducer and most preferably greater than about 100 milliliters per hour per transducer. This high level of performance is desirable for commercial operations and is accomplished with the present invention with a relatively simple design including a single precursor bath over an array of ultrasonic transducers. The ultrasonic generator is made for high aerosol production rates at a high droplet loading, and with a narrow size distribution of droplets. The generator preferably produces an aerosol at a rate of greater than about 0.5 liter per hour of droplets, more preferably greater than about 2 liters per hour of droplets, still more preferably greater than about 5 liters per hour of droplets, even more preferably greater than about 10 liters per hour of droplets and most preferably greater than about 40 liters per hour of droplets. For example, when the aerosol generator has a 400 transducer design, as described with reference to Figures 7-24, the aerosol generator is capable of producing a high quality aerosol having high droplet loading as previously described, at a total production rate of preferably greater than about 10 liters per hour of liquid feed, more preferably greater than

about 15 liters per hour of liquid feed, even more preferably greater than about 20 liters per hour of liquid feed and most preferably greater than about 40 liters per hour of liquid feed.

Under most operating conditions, when using such an aerosol generator, total particulate product produced is preferably greater than about 0.5 gram per hour per transducer, more preferably greater than about 0.75 gram per hour per transducer, even more preferably greater than about 1.0 gram per hour per transducer and most preferably greater than about 2.0 grams per hour per transducer.

One significant aspect of the process of the present invention for manufacturing particulate materials is the unique flow characteristics encountered in the furnace relative to laboratory scale systems. The maximum Reynolds number attained for flow in the furnace 110 with the present invention is very high, typically in excess of 500, preferably in excess of 1,000 and more preferably in excess of 2,000. In most instances, however, the maximum Reynolds number for flow in the furnace will not exceed 10,000, and preferably will not exceed 5,000. This is significantly different from lab-scale systems where the Reynolds number for flow in a reactor is typically lower than 50 and rarely exceeds 100.

The Reynolds number is a dimensionless quantity characterizing flow of a fluid which, for flow through a circular cross sectional conduit is defined as:

$$Re = \frac{\rho v d}{\mu}$$

where:  $\rho$  = fluid density;  
 $v$  = fluid mean velocity;  
 $d$  = conduit inside diameter; and  
 $\mu$  = fluid viscosity.

It should be noted that the values for density, velocity and viscosity will vary along the length



of the furnace 110. The maximum Reynolds number in the furnace 110 is typically attained when the average stream temperature is at a maximum, because the gas velocity is at a very high value due to gas expansion when heated.

One problem with operating under flow conditions at a high Reynolds number is that undesirable volatilization of components is much more likely to occur than in systems having flow characteristics as found in laboratory-scale systems. The volatilization problem occurs with the present invention, because the furnace is typically operated over a substantial section of the heating zone in a constant wall heat flux mode, due to limitations in heat transfer capability. This is significantly different than operation of a furnace at a laboratory scale, which typically involves operation of most of the heating zone of the furnace in a uniform wall temperature mode, because the heating load is sufficiently small that the system is not heat transfer limited.

With the present invention, it is typically preferred to heat the aerosol stream in the heating zone of the furnace as quickly as possible to the desired temperature range for particle manufacture. Because of flow characteristics in the furnace and heat transfer limitations, during rapid heating of the aerosol the wall temperature of the furnace can significantly exceed the maximum average target temperature for the stream. This is a problem because, even though the average stream temperature may be within the range desired, the wall temperature may become so hot that components in the vicinity of the wall are subjected to temperatures high enough to undesirably volatilize the components. This volatilization near the wall of the furnace can cause formation of significant quantities of ultrafine particles that are outside of the size range desired.

Therefore, with the present invention, it is preferred that when the flow characteristics in the furnace are such that the Reynolds number through any part of the furnace exceeds

500, more preferably exceeds 1,000, and most preferably exceeds 2,000, the maximum wall temperature in the furnace should be kept at a temperature that is below the temperature at which a desired component of the final particles would exert a vapor pressure not exceeding about 200 millitorr, more preferably not exceeding about 100 millitorr, and most preferably  
5 not exceeding about 50 millitorr. Furthermore, the maximum wall temperature in the furnace should also be kept below a temperature at which an intermediate component, from which a final component is to be at least partially derived, should also have a vapor pressure not exceeding the magnitudes noted for components of the final product.

In addition to maintaining the furnace wall temperature below a level that could create  
10 volatilization problems, it is also important that this not be accomplished at the expense of the desired average stream temperature. The maximum average stream temperature must be maintained at a high enough level so that the particles will have a desired high density. The maximum average stream temperature should, however, generally be a temperature at which a component in the final particles, or an intermediate component from which a component  
15 in the final particles is at least partially derived, would exert a vapor pressure not exceeding about 100 millitorr, preferably not exceeding about 50 millitorr, and most preferably not exceeding about 25 millitorr.

So long as the maximum wall temperature and the average stream temperature are kept below the point at which detrimental volatilization occurs, it is generally desirable to heat the  
20 stream as fast as possible and to remove resulting particles from the furnace immediately after the maximum stream temperature is reached in the furnace. With the present invention, the average residence time in the heating zone of the furnace may typically be maintained at shorter than about 4 seconds, preferably shorter than about 2 seconds, more preferably shorter than about 1 second, still more preferably shorter than about 0.5 second, and most

preferably shorter than about 0.2 second.

Another significant issue with respect to operating the process of the present invention, which includes high aerosol flow rates, is loss within the system of materials intended for incorporation into the final particulate product. Material losses in the system can be quite high if the system is not properly operated. If system losses are too high, the process would not be practical for use in the manufacture of particulate products of many materials. This has typically not been a major consideration with laboratory-scale systems.

One significant potential for loss with the process of the present invention is thermophoretic losses that occur when a hot aerosol stream is in the presence of a cooler surface. In that regard, the use of the quench cooler, as previously described, with the process of the present invention provides an efficient way to cool the particles without unreasonably high thermophoretic losses. There is also, however, significant potential for losses occurring near the end of the furnace and between the furnace and the cooling unit.

It has been found that thermophoretic losses in the back end of the furnace can be significantly controlled if the heating zone of the furnace is operated such that the maximum stream temperature is not attained until near the end of the heating zone in the furnace, and at least not until the last third of the heating zone. When the heating zone includes a plurality of heating sections, the maximum average stream temperature should ordinarily not occur until at least the last heating section. Furthermore, the heating zone should typically extend to as close to the exit of the furnace as possible. This is counter to conventional thought which is to typically maintain the exit portion of the furnace at a low temperature to avoid having to seal the furnace outlet at a high temperature. Such cooling of the exit portion of the furnace, however, significantly promotes thermophoretic losses. Furthermore, the potential for operating problems that could result in thermophoretic losses at the back end of the

furnace are reduced with the very short residence times in the furnace for the present invention, as discussed previously.

Typically, it would be desirable to instantaneously cool the aerosol upon exiting the furnace. This is not possible. It is possible, however, to make the residence time between the furnace outlet and the cooling unit as short as possible. Furthermore, it is desirable to insulate the aerosol conduit occurring between the furnace exit and the cooling unit entrance. Even more preferred is to insulate that conduit and, even more preferably, to also heat that conduit so that the wall temperature of that conduit is at least as high as the average stream temperature of the aerosol stream. Furthermore, it is desirable that the cooling unit operate in a manner such that the aerosol is quickly cooled in a manner to prevent thermophoretic losses during cooling. The quench cooler, described previously, is very effective for cooling with low losses. Furthermore, to keep the potential for thermophoretic losses very low, it is preferred that the residence time of the aerosol stream between attaining the maximum stream temperature in the furnace and a point at which the aerosol has been cooled to an average stream temperature below about 200°C is shorter than about 2 seconds, more preferably shorter than about 1 second, and even more preferably shorter than about 0.5 second and most preferably shorter than about 0.1 second. In most instances, the maximum average stream temperature attained in the furnace will be greater than about 800°C. Furthermore, the total residence time from the beginning of the heating zone in the furnace to a point at which the average stream temperature is at a temperature below about 200°C should typically be shorter than about 5 seconds, preferably shorter than about 3 seconds, more preferably shorter than about 2 seconds, and most preferably shorter than about 1 second.

Another part of the process with significant potential for thermophoretic losses is after particle cooling until the particles are finally collected. Proper particle collection is very

important to reducing losses within the system. The potential for thermophoretic losses is significant following particle cooling because the aerosol stream is still at an elevated temperature to prevent detrimental condensation of water in the aerosol stream. Therefore, cooler surfaces of particle collection equipment can result in significant thermophoretic losses.

To reduce the potential for thermophoretic losses before the particles are finally collected, it is important that the transition between the cooling unit and particle collection be as short as possible. Preferably, the output from the quench cooler is immediately sent to a particle separator, such as a filter unit or a cyclone. In that regard, the total residence time of the aerosol between attaining the maximum average stream temperature in the furnace and the final collection of the particles is preferably shorter than about 2 seconds, more preferably shorter than about 1 second, still more preferably shorter than about 0.5 second and most preferably shorter than about 0.1 second. Furthermore, the residence time between the beginning of the heating zone in the furnace and final collection of the particles is preferably shorter than about 6 seconds, more preferably shorter than about 3 seconds, even more preferably shorter than about 2 seconds, and most preferably shorter than about 1 second. Furthermore, the potential for thermophoretic losses may further be reduced by insulating the conduit section between the cooling unit and the particle collector and, even more preferably, by also insulating around the filter, when a filter is used for particle collection. The potential for losses may be reduced even further by heating of the conduit section between the cooling unit and the particle collection equipment, so that the internal equipment surfaces are at least slightly warmer than the aerosol stream average stream temperature. Furthermore, when a filter is used for particle collection, the filter could be heated. For example, insulation could be wrapped around a filter unit, with electric heating

inside of the insulating layer to maintain the walls of the filter unit at a desired elevated temperature higher than the temperature of filter elements in the filter unit, thereby reducing thermophoretic particle losses to walls of the filter unit.

Even with careful operation to reduce thermophoretic losses, some losses will still occur. For example, some particles will inevitably be lost to walls of particle collection equipment, such as the walls of a cyclone or filter housing. One way to reduce these losses, and correspondingly increase product yield, is to periodically wash the interior of the particle collection equipment to remove particles adhering to the sides. In most cases, the wash fluid will be water, unless water would have a detrimental effect on one of the components of the particles. For example, the particle collection equipment could include parallel collection paths. One path could be used for active particle collection while the other is being washed. The wash could include an automatic or manual flush without disconnecting the equipment. Alternatively, the equipment to be washed could be disconnected to permit access to the interior of the equipment for a thorough wash. As an alternative to having parallel collection paths, the process could simply be shut down occasionally to permit disconnection of the equipment for washing. The removed equipment could be replaced with a clean piece of equipment and the process could then be resumed while the disconnected equipment is being washed.

For example, a cyclone or filter unit could periodically be disconnected and particles adhering to interior walls could be removed by a water wash. The particles could then be dried in a low temperature dryer, typically at a temperature of lower than about 50°C.

In one embodiment, wash fluid used to wash particles from the interior walls of particle collection equipment includes a surfactant. Some of the surfactant will adhere to the surface of the particles. This could be advantageous to reduce agglomeration tendency of the

particles and to enhance dispersibility of the particles in a thick film past formulation. The surfactant could be selected for compatibility with the specific paste formulation anticipated.

Another area for potential losses in the system, and for the occurrence of potential operating problems, is between the outlet of the aerosol generator and the inlet of the furnace.

- 5 Losses here are not due to thermophoresis, but rather to liquid coming out of the aerosol and impinging and collecting on conduit and equipment surfaces. Although this loss is undesirable from a material yield standpoint, the loss may be even more detrimental to other aspects of the process. For example, water collecting on surfaces may release large droplets that can lead to large particles that detrimentally contaminate the particulate product.
- 10 Furthermore, if accumulated liquid reaches the furnace, the liquid can cause excessive temperature gradients within the furnace tube, which can cause furnace tube failure, especially for ceramic tubes. One way to reduce the potential for undesirable liquid buildup in the system is to provide adequate drains, as previously described. In that regard, it is preferred that a drain be placed as close as possible to the furnace inlet to prevent liquid
- 15 accumulations from reaching the furnace. The drain should be placed, however, far enough in advance of the furnace inlet such that the stream temperature is lower than about 80°C at the drain location.

Another way to reduce the potential for undesirable liquid buildup is for the conduit between the aerosol generator outlet and the furnace inlet be of a substantially constant cross

20 sectional area and configuration. Preferably, the conduit beginning with the aerosol generator outlet, passing through the furnace and continuing to at least the cooling unit inlet is of a substantially constant cross sectional area and geometry.

Another way to reduce the potential for undesirable buildup is to heat at least a portion, and preferably the entire length, of the conduit between the aerosol generator and

the inlet to the furnace. For example, the conduit could be wrapped with a heating tape to maintain the inside walls of the conduit at a temperature higher than the temperature of the aerosol. The aerosol would then tend to concentrate toward the center of the conduit due to thermophoresis. Fewer aerosol droplets would, therefore, be likely to impinge on conduit walls or other surfaces making the transition to the furnace.

Another way to reduce the potential for undesirable liquid buildup is to introduce a dry gas into the aerosol between the aerosol generator and the furnace. Referring now to Fig. 49, one embodiment of the process is shown for adding a dry gas 118 to the aerosol 108 before the furnace 110. Addition of the dry gas 118 causes vaporization of at least a part of the moisture in the aerosol 108, and preferably substantially all of the moisture in the aerosol 108, to form a dried aerosol 119, which is then introduced into the furnace 110.

The dry gas 118 will most often be dry air, although in some instances it may be desirable to use dry nitrogen gas or some other dry gas. If sufficient a sufficient quantity of the dry gas 118 is used, the droplets of the aerosol 108 are substantially completely dried to beneficially form dried precursor particles in aerosol form for introduction into the furnace 110, where the precursor particles are then pyrolyzed to make a desired particulate product. Also, the use of the dry gas 118 typically will reduce the potential for contact between droplets of the aerosol and the conduit wall, especially in the critical area in the vicinity of the inlet to the furnace 110. In that regard, a preferred method for introducing the dry gas 118 into the aerosol 108 is from a radial direction into the aerosol 108. For example, equipment of substantially the same design as the quench cooler, described previously with reference to Figs. 41-43, could be used, with the aerosol 108 flowing through the interior flow path of the apparatus and the dry gas 118 being introduced through perforated wall of the perforated conduit. An alternative to using the dry gas 118 to dry the aerosol 108 would



be to use a low temperature thermal preheater/dryer prior to the furnace 110 to dry the aerosol 108 prior to introduction into the furnace 110. This alternative is not, however, preferred.

Still another way to reduce the potential for losses due to liquid accumulation is to  
5 operate the process with equipment configurations such that the aerosol stream flows in a vertical direction from the aerosol generator to and through the furnace. For smaller-size particles, those smaller than about 1.5  $\mu\text{m}$ , this vertical flow should, preferably, be vertically upward. For larger-size particles, such as those larger than about 1.5  $\mu\text{m}$ , the vertical flow is preferably vertically downward.

10 Furthermore, with the process of the present invention, the potential for system losses is significantly reduced because the total system retention time from the outlet of the generator until collection of the particles is typically shorter than about 10 seconds, preferably shorter than about 7 seconds, more preferably shorter than about 5 seconds and most preferably shorter than about 3 seconds.

15 For the production of gold metal particles according to the present invention, the liquid feed 102 includes at least one gold precursor for preparation of the gold particles 112. The gold precursor may be a substance in either a liquid or solid phase of the liquid feed 102. Typically, the gold precursor will be a gold-containing compound, such as a salt, dissolved in a liquid solvent of the liquid feed 102. The gold precursor may undergo one or more  
20 chemical reactions in the furnace 110 to assist in production of the gold particles 112. Alternatively, the gold precursor may contribute to formation of the gold particles 112 without undergoing chemical reaction. This could be the case, for example, when the liquid feed 102 includes suspended particles as a precursor material.

The liquid feed 102 thus includes the chemical components that will form the gold

particles 112. For example, the liquid feed 102 can comprise a solution containing nitrates, chlorides, sulfates, hydroxides, or oxalates of gold. A preferred precursor to gold metal according to the present invention is gold chloride,  $\text{AuCl}_3$ . Gold chloride is soluble in water and the solutions maintain a low viscosity. It may be desirable to acidify the solution to  
5 increase the solubility, such as by adding hydrochloric acid. The solution preferably has a gold precursor concentration that is unsaturated to avoid the possibility of precipitate formation. The solution preferably includes a soluble precursor to yield a concentration of from about 1 to about 50 weight percent gold, more preferably from about 1 to 10 weight percent gold. The final particle size of the gold particles 112 is also influenced by the  
10 precursor concentration. Generally, lower precursor concentrations will produce particles having a smaller average particle size.

Preferably, the solvent is aqueous-based for ease of operation, although other solvents, such as toluene, may be desirable. The use of organic solvents can lead to carbon in the metal particles. As is disclosed above, the pH of the aqueous-based solutions can be adjusted  
15 to alter the solubility characteristics of the precursor in the solution.

In addition to the foregoing, the liquid feed 102 may also include other additives that contribute to the formation of the particles. If the particles are to be coated or composite gold particles, as is discussed in more detail below, soluble precursors to both the gold particle and the coating or second phase can be used in the precursor solution wherein the  
20 coating or composite precursor is an involatile or volatile species. If the particles are to be metal alloy particles, soluble precursors to each metal in the alloy can be included in the liquid feed.

A carrier gas 104 under controlled pressure is introduced to the aerosol generator to move the droplets away from the generator. The carrier gas 104 may comprise any gaseous

medium in which droplets produced from the liquid feed 102 may be dispersed in aerosol form. Also, the carrier gas 104 may be inert, in that the carrier gas 104 does not participate in formation of the particles 112. Alternatively, the carrier gas 104 may have one or more active component(s) that contribute to formation of the gold particles 112. For the production  
5 of gold particles 112, the preferred carrier gas is air since it is a low cost gas.

According to the present invention for the production of gold metal particles, the stream temperature (reaction temperature) in the heating zone is preferably not greater than about 1065°C, which is approximately the melting point of pure gold metal. More preferably, the maximum temperature in the heating zone is not greater than about 900°C,  
10 such as from about 450°C to about 750°C. Below about 450°C, the gold metal particles tend to be incompletely reacted and may contain some impurities or have a low density. Above about 750°C, it has been found that the gold particles tend to agglomerate.

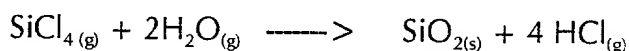
The structural modification that occurs in the particle modifier 360 may be any modification to the crystalline structure or morphology of the particles 112. For example, the  
15 particles 112 may be annealed in the particle modifier 360 to densify the particles 112 or to recrystallize the particles 112 into a polycrystalline or substantially single crystalline form. Also, especially in the case of composite particles 112, the particles may be annealed for a sufficient time to permit redistribution within the particles 112 of different material phases.

To form metal composite particles, the liquid feed can include colloids, for example  
20 metal oxide particles. Particles as large as about 0.3  $\mu\text{m}$  can be suspended in the aerosol droplets using an ultrasonic nebulizer. The suspended colloids can also coat the outer surface of the metal particles (forming a particulate coating), depending on the process conditions and the selected materials. The particles can also be formed such that the metal uniformly coats a core of the non-metal.

To form substantially uniform coatings on the surface of the gold metal particles such as those discussed above, a reactive gas composition can be contacted with the gold particles at an elevated temperature after the particles have been formed. For example, the reactive gas can be introduced into the heated reaction zone at the distal end so that the desired  
5 compound deposits on the surface of the particle.

More specifically, the droplets can enter the heated reaction zone at a first end such that the precursor droplets move through the heating zone and form the metal particle. At the opposite end of the heating zone, a reactive gas composition can be introduced such that the reactive gas composition contacts the gold metal particles at an elevated temperature.  
10 Alternatively, the reactive gas composition can be contacted with the heated particles in a separate heating zone located downstream from the heated reaction zone.

For example, precursors to metal oxide coatings can be selected from volatile metal acetates, chlorides, alkoxides or halides. Such precursors are known to react at high temperatures to form the corresponding metal oxides and eliminate supporting ligands or  
15 ions. For example,  $\text{SiCl}_4$  can be used as a precursor to  $\text{SiO}_2$  coatings where water vapor is present:



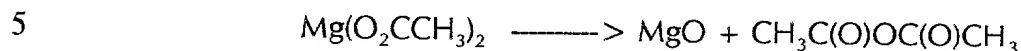
$\text{SiCl}_4$  also is highly volatile and is a liquid at room temperature, which makes transport into  
20 the reactor more controllable.

Metal alkoxides can be used to produce metal oxide films by hydrolysis. The water molecules react with the alkoxide M-O bond resulting in clean elimination of the corresponding alcohol with the formation of M-O-M bonds:



Most metal alkoxides have a reasonably high vapor pressure and are therefore well suited as coating precursors.

Metal acetates are also useful as coating precursors since they readily decompose upon thermal activation by acetic anhydride elimination:



Metal acetates are advantageous as coating precursors since they are water stable and are reasonably inexpensive.

Coatings can be generated on the particle surface by a number of different mechanisms. One or more precursors can vaporize and fuse to the hot particle surface and thermally react resulting in the formation of a thin-film coating by chemical vapor deposition (CVD). Preferred coatings deposited by CVD include metal oxides and elemental metals. Further, the coating can be formed by physical vapor deposition (PVD) wherein a coating material physically deposits on the surface of the particles. Preferred coatings deposited by PVD include organic materials and elemental metals. Alternatively, the gaseous precursor can react in the gas phase forming small particles, for example less than about 5 nanometers in size, which then diffuse to the larger particle surface and sinter onto the surface, thus forming a coating. This method is referred to as gas-to-particle conversion (GPC). Whether such coating reactions occur by CVD, PVD or GPC is dependent on the reactor conditions, such as temperature, precursor partial pressure, water partial pressure and the concentration of particles in the gas stream. Another possible surface coating method is surface conversion of the surface of the particles by reaction with a vapor phase reactant to convert the surface of the particles to a different material than that originally contained in the particles.

In addition, a volatile coating material such as  $\text{PbO}$ ,  $\text{MoO}_3$  or  $\text{V}_2\text{O}_5$  can be introduced into the reactor such that the coating deposits on the particles by condensation. Highly

volatile metals, such as silver, can also be deposited by condensation. Further, the particles can be coated using other techniques. For example, a soluble precursor to both the gold powder and the coating can be used in the precursor solution wherein the coating precursor is involatile, (e.g.  $\text{Al}(\text{NO}_3)_3$ ) or volatile (e.g.  $\text{Sn}(\text{OAc})_4$  where Ac is acetate). In another 5 embodiment, a colloidal precursor and a soluble gold precursor can be used to form a particulate colloidal coating on the gold particle. It will be appreciated that multiple coatings can be deposited on the surface of the metal particles if such multiple coatings are desirable.

The coatings are preferably as thin as possible while maintaining conformity about particle such that the metal is not substantially exposed. For example, coatings can have an 10 average thickness of less than about 200 nanometers, preferably less than about 100 nanometers, and more preferably less than about 50 nanometers. For most applications, the coating should have an average thickness of at least about 5 nanometers.

The present invention is directed to gold powder batches wherein the gold particles constituting the powder batch have a spherical morphology, a small average particle size and 15 a narrow particle size distribution. The powders of the present invention offer numerous advantages over conventional gold powders. The gold powders according to the present invention are particularly useful for a number of applications including use in microelectronic applications, particularly for thick film pastes used to make electrically conductive surfaces.

The gold metal powder batches according to the present invention include a 20 commercially useful quantity of gold particles. The gold particles preferably include a gold metal phase. According to one embodiment, the gold particles preferably include at least about 50 weight percent gold metal, and depending upon the application, preferably include at least about 80 weight percent gold metal and even more preferably at least about 90 weight percent gold metal.

For many applications, the gold metal particles can be metal alloy particles wherein gold metal is alloyed with one or more alloying elements including, but not limited to, palladium (Pd), silver (Ag), nickel (Ni), copper (Cu), tungsten (W), molybdenum (Mo), tin (Sn) and platinum (Pt). Particularly preferred are alloys of gold with platinum or palladium. As  
5 used herein, the term metal alloy includes intermetallic compounds of gold and another metal(s).

The gold metal alloy particles according to this embodiment of the present invention are preferably homogeneous well mixed on the atomic level and have substantially no phase segregation of the different metals in the particle, when the alloy is capable of forming.  
10 However, it may be desirable for some applications that the particles consist of distinct metal phases that are segregated. Depending upon the intended application, the alloying element can preferably be included in an amount of from about 0.1 to about 40 weight percent, such as from about 1 to about 15 weight percent, based on the total amount of metal.

Such alloying elements can modify the properties of the metal particles in several  
15 ways, as compared to pure gold particles. These modifications can include an increased or decreased sintering temperature, which is the temperature at which individual particles begin to coalesce due to softening and diffusion. The melting temperature can also be increased or decreased. The vaporization of the metal at the synthesis temperature can be inhibited, which reduces the formation of ultrafine particles from the vapors. Such ultrafine  
20 particles can be detrimental to the dispersion properties of the powder. The rheological properties can be modified for better dispersion in organic and water-based pastes. If the metal alloy includes an oxidizable element, the oxidation resistance can be improved such as by increasing the temperature at which oxidation begins or by reducing the total amount of metal that will oxidize at a given temperature and partial pressure of oxygen. The adhesion

of the gold metal to ceramics can also be improved. Further, the reactivity of the powders can be increased or decreased, such as when the powder is used for catalytic purposes.

The metal alloy particles can be formed in accordance with the methodology described above. Typically, the metal alloy will be formed from a liquid solution which includes both  
5 a gold metal precursor and a precursor for the alloying element. The alloying level can easily be adjusted by adjusting the relative ratios of gold metal precursor and alloying element precursor in the liquid solution. For example, gold/palladium alloy particles can be formed from a solution of gold chloride and palladium nitrate.

The gold metal powders according to the present invention include particles having  
10 a small average particle size. Although the preferred average size of the particles will vary according to the particular application of the powder, the weight average particle size of the metal particles is preferably at least about 0.05  $\mu\text{m}$  and more preferably is at least about 0.1  $\mu\text{m}$ . Further, the average particle size is preferably not greater than about 5  $\mu\text{m}$ . For most applications, the weight average particle size is more preferably not greater than about 3  $\mu\text{m}$   
15 and even more preferably is not greater than about 2  $\mu\text{m}$ , such as from about 0.3  $\mu\text{m}$  to about 1.5  $\mu\text{m}$ .

Gold metal powders having such an average particle size are particularly useful in microelectronic applications wherein conductive metal powders are dispersed in a thick film paste which is applied to a substrate and heated to form a gold metal film or line. Utilizing  
20 gold metal powder having such a small average particle size enables the formation of conductive lines having a narrower width and films having a decreased average thickness.

According to a preferred embodiment of the present invention, the powder batch of gold metal particles has a narrow particle size distribution, such that the majority of particles are about the same size. Preferably, at least about 90 weight percent and more preferably at



least about 95 weight percent of the particles are not larger than twice the weight average particle size. Thus, when the average particle size is about 1  $\mu\text{m}$ , it is preferred that at least about 90 weight percent of the particles are not larger than 2  $\mu\text{m}$  and it is more preferred that at least about 95 weight percent of the particles are not larger than 2  $\mu\text{m}$ . Further, it is preferred that at least about 90 weight percent and more preferably at least about 95 weight percent of the particles are not larger than about 1.5 times the weight average particle size. Thus, when the average particle size is about 1  $\mu\text{m}$ , it is preferred that at least about 90 weight percent of the particles are not larger than 1.5  $\mu\text{m}$  and it is more preferred that at least about 95 weight percent of the particles are not larger than 1.5  $\mu\text{m}$ .

10 It is also possible according to the present invention to provide a gold metal powder batch having a bimodal particle size distribution. That is, the powder batch can include gold metal particles having two distinct and different average particle sizes. A bimodal particle size distribution can enhance the packing efficiency of the gold metal powder.

15 The gold metal particles of the present invention can be substantially single crystal particles or may be comprised of a number of crystallites. Particles having a high crystallinity, i.e. large average crystallite size, enhance the electrical properties of devices formed from the gold metal powder due to increased conductivity of the particles, and also increases the oxidation and corrosion resistance of the powder by reducing the ratio of crystallite surface area to total particle volume.

20 According to one embodiment of the present invention, it is preferred that the average crystallite size is close to the average particle size such that the particles are mostly single crystals or are composed of only a few large crystals. The average crystallite size is preferably at least about 40 nanometers, more preferably is at least about 60 nanometers, even more preferably is at least about 80 nanometers, and most preferably is at least about 100

nanometers. In one embodiment, the average particle size is at least about 200 nanometers. As it relates to the average particle size, the average crystallite size is preferably at least about 20 percent of the average particle size, more preferably is at least about 40 percent of the average particle size and even more preferably is at least about 60 percent of the average particle size. Gold metal powders having such high crystallinity advantageously have enhanced electrical properties, including enhanced conductivity, as compared to gold metal powders having lower crystallinity, i.e., a smaller average crystallite size. As the average crystallite size approaches the average particle size, the gold metal particles remain substantially spherical, but can appear faceted on the outer surface of the particle.

The gold metal particles produced according to the present invention also have a high degree of purity and it is preferred that the particles include not greater than about 0.1 atomic percent impurities and more preferably not greater than about 0.01 atomic percent impurities. Since no milling of the particles is required to achieve small average particle sizes, there are substantially no undesired impurities such as alumina, zirconia or high carbon steel in the powder batch.

The gold metal particles according to the present invention are preferably dense (e.g. not hollow or porous), as measured by helium pycnometry. Preferably, the gold metal particles according to the present invention have a particle density of at least about 80% of the theoretical value (at least about 15.9 g/cm<sup>3</sup> for pure gold), more preferably at least about 90% of the theoretical value (at least about 17.4 g/cm<sup>3</sup> for pure gold) and even more preferably at least about 95% of the theoretical value (at least about 18.3 g/cm<sup>3</sup> for pure gold). In one embodiment, the particle density is at least about 99% of the theoretical value. The theoretical density can be easily calculated for multi-phase compositions, including alloys and composites, based on the relative percentages of each component. High density particles

provide many advantages over porous particles, including reduced shrinkage during sintering.

The gold metal particles according to a preferred embodiment of the present invention are also substantially spherical in shape. That is, the particles are not jagged or irregular in shape. Spherical particles are particularly advantageous because they are able to disperse  
5 more readily in a paste or slurry and impart advantageous flow characteristics to paste compositions. Although substantially spherical, the particles may become faceted as the crystallite size increases and is close to the average particle size.

In addition, the gold metal powder according to the present invention has a low surface area. The particles are substantially spherical, which reduces the total surface area for  
10 a given mass of powder. Further, the elimination of larger particles from the powder batch eliminates the porosity that is associated with open pores on the surface of such larger particles. Due to the elimination of the larger particles, the powder advantageously has a lower surface area. Surface area is typically measured using the BET nitrogen adsorption method which is indicative of the surface area of the powder, including the surface area of  
15 accessible pores on the surface of the particles. For a given particle size distribution, a lower value of surface area per unit mass of powder generally indicates solid or non-porous particles. According to one embodiment of the present invention, the gold metal powder has a specific surface area of not greater than about 3 m<sup>2</sup>/g, more preferably not greater than about 2 m<sup>2</sup>/g. Decreased surface area reduces the susceptibility of the powders to adverse surface  
20 reactions, such as corrosion. This characteristic can advantageously extend the shelf life of such powders and the reactivity of the powders can be reduced.

The surfaces of the gold metal particles according to the present invention are typically smooth and clean with a minimal deposition of ultrafine particles (e.g., less than about 40 nanometers) on the particle surface. It is believed that such ultrafine particles can inhibit the

ability of the particles to adequately disperse in a paste composition. Further, the outer surfaces of the gold metal particles are substantially free of surfactants or other organic contaminants. Metals made by liquid precipitation routes are often contaminated with residual surfactants from the manufacturing process. Such surfactants can hinder the dispersibility of the powder in a paste.

In addition, the powder batches of gold metal particles according to the present invention are substantially unagglomerated, that is, they include substantially no hard agglomerates of particles. Hard agglomerates are physically coalesced lumps of two or more particles that behave as one large particle. Agglomerates are disadvantageous in most applications. For example, when agglomerated powders are used in a thick-film paste, the sintered metal film that is formed can contain lumps that lead to a defective product. It is preferred that no more than about 1.0 weight percent of the gold metal particles in the powder batch of the present invention are in the form of hard agglomerates. More preferably, no more than about 0.5 weight percent of the particles are in the form of hard agglomerates.

According to one embodiment of the present invention, the gold metal particles are metal composite particles wherein the individual particles include a metal phase and at least one non-metallic phase associated with the metal phase, such as one that is dispersed throughout the metal phase. For example, the metal composite particles can include a metal oxide dispersed throughout a gold metal phase. Preferred simple metal oxides can include, but are not limited to, NiO, SiO<sub>2</sub>, Cu<sub>2</sub>O, CuO, B<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, ZrO<sub>2</sub>, Bi<sub>2</sub>O<sub>3</sub>, PbO, SnO<sub>2</sub>, CeO<sub>2</sub>, Ce<sub>2</sub>O<sub>3</sub>, V<sub>2</sub>O<sub>5</sub> and Al<sub>2</sub>O<sub>3</sub>. In addition, the non-metallic phase can comprise a simple or complex glass, such as a lead borosilicate glass. Also, the metal composite particles can include a metal phase and a non-metallic phase comprising carbon. Such a metal/carbon composite can be formed by dispersing a particulate carbon precursor in a gold precursor and

forming the particles as is described above.

Such oxides can advantageously modify the sintering characteristics or other properties of the gold metal particles. Metal oxides can also improve the adhesion of the metal to a ceramic substrate. Further, oxides can be used as an inexpensive filler material, reducing the  
5 volume of the expensive gold metal that is used without substantially reducing conductivity.

Depending upon the application of the powder, the metal composite particles preferably include at least about 0.1 weight percent of the non-metallic phase and more preferably from about 0.2 to about 50 weight percent of the non-metallic phase, and even more preferably from about 0.2 to about 35 weight percent of the non-metallic phase. For  
10 some applications, it is preferred to incorporate from about 0.2 to about 5 weight percent of the non-metallic phase. More than one non-metallic phase can also be included in the particles. The morphology and distribution of the metal and non-metallic phase can vary, but it is preferred that the non-metallic phase is homogeneously dispersed throughout the metal phase.

15 When the gold particles are to be used to form a conductive film, such as on a ceramic substrate, it is also preferred to include a ceramic compound dispersed in the gold metal composite particles that is the same or has similar thermal expansion characteristics as the ceramic used to form the ceramic substrate. For example, a particularly preferred embodiment for the fabrication of multilayer ceramics utilizes alumina as the ceramic  
20 substrate and the gold metal composite particles include gold metal and an alumina second phase. Where the gold metal is in contact with a ceramic dielectric, such as a titanate or zirconate, the non-metallic phase can advantageously include the dielectric compound.

According to another embodiment of the present invention, the gold metal particles are coated particles that include a particulate coating (Fig. 47d) or non-particulate (film)

coating (Fig. 47a) that substantially encapsulates the outer surface of the particles. The coating can be a metal or a non-metallic compound. Preferably, the coating is very thin and has an average thickness of not greater than about 200 nanometers, more preferably not greater than about 100 nanometers, and even more preferably not greater than about 50 nanometers. While the coating is thin, the coating should substantially encapsulate the entire particle such that substantially no metal is exposed. Accordingly, the coating preferably has an average thickness of at least about 5 nanometers.

The coating can be a metal, metal oxide or other inorganic compound, or can be an organic compound. For example, the gold particles can be coated with another metal to utilize the surface properties of the metal coating. Alternatively, a metal oxide coating can advantageously be used, such as a metal oxide selected from the group consisting of  $\text{ZrO}_2$ ,  $\text{NiO}$ ,  $\text{SiO}_2$ ,  $\text{TiO}_2$ ,  $\text{Cu}_2\text{O}$ ,  $\text{CuO}$ ,  $\text{Bi}_2\text{O}_3$ ,  $\text{PbO}$ ,  $\text{SnO}_2$ ,  $\text{CeO}_2$ ,  $\text{Ce}_2\text{O}_3$ ,  $\text{V}_2\text{O}_5$  and  $\text{Al}_2\text{O}_3$ . Among these,  $\text{SiO}_2$  and  $\text{Al}_2\text{O}_3$  are often preferred. Metal oxide coatings can inhibit the sintering of the metal particles and can also improve the dispersibility of the particles in a paste. The coatings can also increase the corrosion resistance in a variety of conditions. The metal particles can include more than one coating, if multiple coatings are desirable.

Further, the gold metal particles of the present invention can be coated with an organic compound, for example a surfactant to provide improved dispersion which will result in smoother prints having lower lump counts when applied as a paste. The organic coating can advantageously be placed onto a previously formed metal oxide layer which coats the metal particle, as is discussed above. The organic compound for coating the metal particles can be selected from organic compounds such as PMMA (polymethylmethacrylate), polystyrene or the like. The organic coating preferably has an average thickness of not greater than about 100 nanometers, and more preferably not greater than about 50 nanometers. The organic

coating is substantially dense and continuous about the particle. The organic coatings can advantageously reduce corrosion of the particles and also can improve the dispersion characteristics of the particles.

The coating can also be comprised of one or more monolayer coatings, such as from about 1 to 3 monolayer coatings. A monolayer coating is formed by the reaction of an organic or an inorganic molecule with the surface of the particles to form a coating layer that is essentially one molecular layer thick. In particular, the formation of a monolayer coating by reaction of the surface of the metal particle with a functionalized organo silane such as halo- or amino-silanes, for example hexamethyldisilazane or trimethylsilylchloride, can be used to modify the hydrophobicity and hydrophilicity of the powders. Such coatings allow for greater control over the dispersion characteristics of the powder in a wide variety of paste compositions.

The monolayer coatings can also be applied to gold powders that have already been coated with an organic or inorganic coating thus providing better control over the corrosion characteristics (through the use of the thicker coating) as well as dispersibility (through the use of a monolayer coating) of the particles.

The gold metal powder batches according to the present invention, including powder batches comprising composite particles and coated particles, are useful in a number of applications and can be used to fabricate a number of novel devices and intermediate products. Such devices and intermediate products are included within the scope of the present invention.

One preferred class of intermediate products according to the present invention are thick film paste compositions, also referred to as thick film inks. These pastes are particularly useful in the microelectronics industry for the application of conductors, resistors and

dielectrics onto a substrate and in the flat panel display industry for applying conductors, dielectrics and phosphors onto a substrate.

In the thick film process, a viscous paste that includes a functional particulate phase (metals, dielectrics, metal oxides, etc...) is screen printed onto a substrate. A porous screen fabricated from stainless steel, polyester, nylon or similar inert material is stretched and attached to a rigid frame. A predetermined pattern is formed on the screen corresponding to the pattern to be printed. For example, a UV sensitive emulsion can be applied to the screen and exposed through a positive or negative image of the design pattern. The screen is then developed to remove portions of the emulsion in the pattern regions.

The screen is then affixed to a screen printing device and the thick film paste is deposited on top of the screen. The substrate to be printed is then positioned beneath the screen and the paste is forced through the screen and onto the substrate by a squeegee that traverses the screen. Thus, a pattern of traces and/or pads of the paste material is transferred to the substrate. The substrate with the paste applied in a predetermined pattern is then subjected to a drying and firing treatment to solidify and adhere the paste to the substrate.

Thick film pastes have a complex chemistry and generally include a functional phase, a binder phase and an organic vehicle phase. The functional phase include the gold metal powders of the present invention which provide high conductivity traces and surfaces. The binder phase is typically a mixture of metal oxide or glass frit powders. For example, PbO based glasses are commonly used as binders. The function of the binder phase is to control the sintering of the film and assist the adhesion of the functional phase to the substrate and/or assist in the sintering of the functional phase. Reactive compounds can also be included in the paste to promote adherence of the functional phase to the substrate. For example, 0.1 to 1 percent CuO or CdO can be included in a metal paste applied to an alumina substrate. The



CuO or CdO reacts to form an aluminate which provides improved adhesion of the metal film.

Thick film pastes also include an organic vehicle phase that is a mixture of solvents, polymers, resins and other organics whose main function is to provide the appropriate rheology (flow properties) to the paste. The liquid solvent assists in mixing of the components into a homogenous paste and substantially evaporates upon application of the paste to the substrate. Usually the solvent is a volatile liquid such as methanol, ethanol, terpineol, butyl carbitol, butyl carbitol acetate, aliphatic alcohols, esters, acetone and the like. The other organic vehicle components can include thickeners (sometimes referred to as organic binders), stabilizing agents, surfactants, wetting agents and the like. Thickeners provide sufficient viscosity to the paste and also acts as a binding agent in the unfired state. Examples of thickeners include ethyl cellulose, polyvinyl acetates, resins such as acrylic resin, cellulose resin, polyester, polyamide and the like. The stabilizing agents reduce oxidation and degradation, stabilize the viscosity or buffer the pH of the paste. For example, triethanolamine is a common stabilizer. Wetting agents and surfactants are well known in the thick film paste art and can include triethanolamine and phosphate esters.

The different components of the thick film paste are mixed in the desired proportions in order to produce a substantially homogenous blend wherein the functional phase is well dispersed throughout the paste. Typically, the thick film paste will include from about 5 to about 95 weight percent of the functional phase, such as from about 60 to 85 weight percent, including the gold metal powders of the present invention.

Some applications of thick film pastes require higher tolerances than can be achieved using standard thick-film technology, as is described above. As a result, some thick film pastes have photo-imaging capability to enable the formation of lines and traces with

decreased width and pitch. In this type of process, a photoactive thick film paste is applied to a substrate substantially as is described above. The paste is then dried and exposed to ultraviolet light through a photomask and the exposed portions of the paste are developed to remove unwanted portions of the paste. This technology permits higher density interconnections and conductive traces to be formed. The combination of the foregoing technology with the gold powders of the present invention permits the fabrication of devices with increased circuit density and tolerances as compared to conventional technologies using conventional powders.

Examples of thick film pastes are disclosed in U.S. Patent Nos. 4,172,733; 3,803,708; 4,140,817; and 3,816,097 all of which are incorporated herein by reference in their entirety.

Gold metal is particularly advantageous for many applications of thick film pastes. Gold has a high conductivity and is resistant to adverse surface reactions such as oxidation and corrosion. Gold metal thick film pastes are commonly used in high reliability in microelectronic devices, as is discussed in more detail hereinbelow.

Metal powders for use in thick-film pastes should have good dispersibility and flow properties. As is discussed above, the gold metal powders according to the present invention are preferably spherical in shape, have a narrow particle size distribution and have a clean particle surface that is not contaminated with surfactants or other organic impurities. Due to this unique combination of properties, the powders disperse and flow in a paste better than conventional gold metal powders which are not small and spherical, or which include contaminants.

One of the limitations for the application thick-film pastes by screen printing is the difficulty creating lines of narrow width and fine pitch (distance between lines from center to center) and of reduced thickness. The continuing demand for microelectronic components

having a reduced size has made these limitations critical in component design. One of the obstacles to screen-printing surfaces having these properties is that conventional metal powders include an undesirable percentage of large particles and also include agglomerates of particles. Either of these conditions can produce conductive traces having an uneven width and an uneven thickness profile. The unpredictable width and thickness of the conductive traces forces manufacturers to design microelectronic devices to account for such variations, which can occupy valuable space on the surface of the device and waste considerable amounts of paste.

One use for such thick film pastes is in the manufacture of multilayer ceramics or multichip modules. The packaging of integrated circuits (IC's) typically utilizes a chip carrier of module to which one or more integrated circuit chips are attached. The module can then be joined to a printed circuit board which is placed into a device, such as a computer. Such modules can advantageously incorporate multiple wiring layers within the module itself. Multilayer ceramic modules are typically formed by laminating and sintering a stack of printed ceramic sheets.

Typically, unfired (green) ceramic sheets are punched with via holes, screened with a thick film metal paste, laminated into a three dimensional structure and sintered in a furnace. The ceramic and metal densify simultaneously in the same sintering cycle. Alternatively, the multilayer ceramic can be built sequentially wherein alternate layers of metallurgy and dielectric are deposited on the substrate and fired.

A schematic illustration of a multichip module is illustrated in Fig. 50. The module includes two integrated circuit devices 391 and 392. A number of electrically conductive traces, such as traces 393 and 394, are formed on and through the various layers of the device. Interconnection between the two integrated circuit devices 391 and 392 or exterior

devices is made by the conductive traces and vias, which can terminate, for example, at wire bonding pads, such as pad 395, or at conductive pins, such as pin 396. The multichip module can include any number of layers, and many such modules include 20 or more such layers for interconnection. The layers are typically formed from a dense ceramic substrate, such as an alumina substrate.

A top view of a multichip module is illustrated in Fig. 51. The electrically conductive traces 401 and 402 are printed on a ceramic substrate 403 in parallel spaced relation. The conductive traces in such a relation have a design pitch, that is, an average center-to-center spacing between the conductive traces. Manufacturers of such devices desire the linewidth and pitch to be as small as possible to conserve available space on the surface of the device. However, presently available gold metal powders for forming the conductive traces, which contain agglomerates and/or a wide particle size distribution of particles, do not permit the reliable manufacture of conductive traces having a narrow linewidth and pitch. For example, the linewidth and pitch for such traces is typically not smaller than about 100  $\mu\text{m}$ . There is a demand in the industry to significantly reduce the linewidth and pitch to significantly lower levels, such as less than about 25  $\mu\text{m}$  and even less than about 15  $\mu\text{m}$ .

To achieve such narrow linewidths and fine pitch, thick film pastes will have to be modified to have improved rheology and more reliable characteristics. The thick film pastes incorporating the gold metal powders of the present invention consistently produce a finer width line due to the spherical morphology, small particle size and narrow particle size distribution of the gold metal powders, as well as the unagglomerated state of the powder. These properties will advantageously permit the design of microelectronic devices with conductive traces having a narrower line width and pitch, and thus reduce the overall size of the device. Thick film pastes utilizing the gold metal powders of the present invention can

advantageously be used to produce conductive traces having a reduced linewidth and pitch, such as not greater than about 25  $\mu\text{m}$ , and even less than about 15  $\mu\text{m}$ .

The gold metal powders of the present invention are also advantageous for use in thick-film pastes due to the increased conductivity and other improved electrical properties  
5 due to the high crystallinity of the powders.

The gold metal powders according to the present invention can be used in the foregoing devices and intermediate products, as well as other devices and products. For example, the gold metal powders can be used in conductive adhesive compositions, which  
10 are commonly used for surface mount devices in portable electronics. Such adhesives can replace solders in many applications. The conductivity and adhesive strength of the adhesive is improved through use of the powders of the present invention due to the high crystallinity, spherical morphology and surface properties of the powders.

## EXAMPLES

In order to demonstrate the teachings of the present invention, a number of examples were prepared. For each of the following examples, a laboratory scale setup utilizing a single ultrasonic atomizer operating at a frequency of about 1.6 MHz was used. The solutions were atomized and air was used as the carrier gas at a rate of 5 to 10 liters per minute. No impactor was used to classify the aerosol.

### A. Precursor Type

Two gold precursors were evaluated for producing gold metal powder, gold hydroxide ( $\text{Au}(\text{OH})_3$ ) and gold chloride ( $\text{AuCl}_3$ ). However, attempts to mix aqueous solutions containing gold hydroxide were unsuccessful, since gold hydroxide was not sufficiently soluble in water,

nor was it soluble upon the addition of concentrated nitric acid. Gold chloride was readily soluble in water at a concentration of 5 weight percent Au with the addition of concentrated HCl.

In a first example, one gram of  $\text{AuCl}_3$  was mixed with 12 milliliters of deionized water and 20 drops of concentrated HCl to produce a 5 weight percent gold solution. An attempt to make a 10 weight percent gold solution found that much more HCl was required to solubilize the gold chloride. High concentrations of HCl produce a solution that is too acidic and tends to corrode the components of the system. Further, the particles produced from a 10 weight percent gold solution began to show signs of agglomeration.

#### **B. Effect of Temperature**

In order to determine the effect of reactor temperature on the morphology and properties of the gold metal particles, the reactor temperature was varied from 500°C to 1100°C at 200°C intervals. All other reactor conditions remained constant.

The density of the particles was high and was reasonably constant with varying temperature. For all temperatures from 500°C to 1100°C, the only material phase detected by x-ray diffraction was gold metal.

Fig. 52 illustrates the morphology of the gold particles produced at a reaction temperature of 500°C. The particles are dense and predominately non-agglomerated. At higher temperatures, the particles showed some signs of necking and agglomeration.

In order to minimize the amount of agglomeration, a quench of air at a rate of about 25 liters per minute was introduced at the back end of the reactor. The additional air increases the distance between the particles and lowers the probability of two particles interacting with one another. Further, the additional gas cools the particles and hot gases so that they have slower kinetics for reaction upon interaction. Thus, if the particles do interact

with one another, they would likely form a soft agglomerate rather than a hard agglomerate.

Fig. 53 illustrates the morphology of particles produced at 500°C with an air quench.

While various embodiments of the present invention have been described in detail, it is apparent that modifications and adaptations of those embodiments will occur to those skilled in the art. However, it is to be expressly understood that such modifications and adaptations are within the spirit and scope of the present invention.

**What is Claimed is:**

1. A powder batch comprising gold particles, wherein said particles are substantially spherical, have a weight average particle size of not greater than about 5  $\mu\text{m}$  and a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size and wherein said particles comprise crystallites having an average crystallite size of at least about 40 nanometers.

2. A powder batch as recited in Claim 1, wherein said particles comprise at least about 50 weight percent gold metal.

3. A powder batch as recited in Claim 1, wherein said particles comprise at least about 80 weight percent gold metal.

4. A powder batch as recited in Claim 1, wherein at least about 95 weight percent of said metal particles are not larger than twice said average particle size.

5. A powder batch as recited in Claim 1, wherein at least about 90 weight percent of said metal particles are not larger than 1.5 times said average particle size.

6. A powder batch as recited in Claim 1, wherein at least about 95 weight percent of said metal particles are not larger than 1.5 times said average particle size.

7. A powder batch as recited in Claim 1, wherein said average crystallite size is at least about 20 percent of said average particle size.

8. A powder batch as recited in Claim 1, wherein said average crystallite size is at least about 40 percent of said average particle size.

9. A powder batch as recited in Claim 1, wherein said average crystallite size is at least about 60 nanometers.

10. A powder batch as recited in Claim 1, wherein said average crystallite size is at least about 100 nanometers.



11. A powder batch as recited in Claim 1, wherein said particles have a particle density of at least about 15.9 g/cm<sup>3</sup>.

12. A powder batch as recited in Claim 1, wherein said particles have a particle density of at least about 17.4 g/cm<sup>3</sup>.

13. A powder batch as recited in Claim 1, wherein said particles have a particle density of at least about 18.3 g/cm<sup>3</sup>.

14. A powder batch as recited in Claim 1, wherein said average particle size is from about 0.1  $\mu$ m to about 3  $\mu$ m.

15. A powder batch as recited in Claim 1, wherein said average particle size is from about 0.3  $\mu$ m to about 1.5  $\mu$ m.

16. A powder batch as recited in Claim 1, wherein not greater than about 1 weight percent of said particles are in the form of hard agglomerates.

17. A powder batch as recited in Claim 1, wherein said particles comprise a substantially uniform coating substantially encapsulating an outer surface thereof.

18. A powder batch as recited in Claim 1, wherein said particles are metal composite particles comprising a non-metallic phase dispersed throughout a metal phase.

19. A powder batch as recited in Claim 1, wherein said particles are metal composite particles comprising a metal oxide dispersed throughout a metal phase.

20. A powder batch as recited in Claim 1, wherein said powder batch has a specific surface area of not greater than about 3 m<sup>2</sup>/g.

21. A powder batch as recited in Claim 1, wherein said particles comprise no more than about 0.1 atomic percent impurities.

22. A powder batch comprising gold metal particles, wherein said metal particles comprise at least about 50 weight percent gold metal and wherein said metal particles have a weight average particle size of from about 0.3  $\mu\text{m}$  to about 3  $\mu\text{m}$  and wherein said metal particles comprise metal crystallites having an average crystallite size of at least about 40 nanometers and said particles have a particle density of at least about 17.4  $\text{g}/\text{cm}^3$ .

23. A powder batch as recited in Claim 22, wherein said metal particles comprise at least about 90 weight percent gold metal.

24. A powder batch as recited in Claim 22, wherein at least about 90 weight percent of said particles are not larger than twice said average particle size.

25. A powder batch as recited in Claim 22, wherein said particle density is at least about 18.3  $\text{g}/\text{cm}^3$ .

26. A powder batch as recited in Claim 22, wherein said particles are substantially spherical.

27. A powder batch as recited in Claim 22, wherein said average crystallite size is at least about 60 nanometers.

28. A powder batch comprising metal alloy particles, said metal alloy particles comprising gold metal and at least a first metal alloying element, wherein said metal alloy particles have a weight average particle size of from about 0.1  $\mu\text{m}$  to about 5  $\mu\text{m}$  and a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size.

29. A powder batch as recited in Claim 28, wherein said particles are substantially spherical.

30. A powder batch as recited in Claim 28, wherein said first metal alloying element is selected from the group consisting of palladium, silver, nickel, copper, tungsten, molybdenum, tin and platinum.

31. A powder batch as recited in Claim 28, wherein said first metal alloying element is selected from platinum and palladium.

32. A powder batch as recited in Claim 28, wherein said metal alloy particles comprise at least about 50 weight percent gold metal and said first metal alloying element is homogeneously alloyed with said gold metal with substantially no phase segregation.

33. A powder batch as recited in Claim 28, wherein said metal alloy particles comprise from about 1 to about 40 weight percent of said first metal alloying element.

34. A powder batch as recited in Claim 28, wherein said metal alloy particles comprise from about 1 to about 15 weight percent of said first metal alloying element.

35. A powder batch as recited in Claim 28, wherein said average particle size is from about 0.3  $\mu\text{m}$  to about 1.5  $\mu\text{m}$ .

36. A powder batch as recited in Claim 28, wherein said metal alloy particles comprise crystallites having an average crystallite size of at least about 40 nanometers.

37. A powder batch as recited in Claim 28, wherein said metal alloy particles

comprise crystallites having an average crystallite size of at least about 60 nanometers.

38. A powder batch as recited in Claim 28, wherein said metal alloy particles comprise crystallites having an average crystallite size that is at least about 20 percent of said average particle size.

39. A powder batch as recited in Claim 28, wherein said metal alloy particles have a lower sintering temperature than pure gold metal particles.

40. A powder batch as recited in Claim 28, wherein said metal alloy particles have a higher sintering temperature than pure gold metal particles.

41. A powder batch comprising coated metal particles, said metal particles comprising at least about 50 weight percent gold metal and having a weight average particle size of not greater than about 5  $\mu\text{m}$  and further comprising at least a first coating substantially encapsulating an outer surface of said particles.

42. A powder batch as recited in Claim 41, wherein said coated metal particles comprise crystallites having an average crystallite size that is at least about 20 percent of said average particle size.

43. A powder batch as recited in Claim 41, wherein said average particle size is not greater than about 3  $\mu\text{m}$ .

44. A powder batch as recited in Claim 41, wherein said average particle size is from about 0.3  $\mu\text{m}$  to about 1.5  $\mu\text{m}$ .

45. A powder batch as recited in Claim 41, wherein said coated metal particles have a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size.

46. A powder batch as recited in Claim 41, wherein said coated metal particles have a particle size distribution wherein at least about 95 weight percent of said particles are not larger than twice said average particle size.

47. A powder batch as recited in Claim 41, wherein said coated metal particles are substantially spherical.

48. A powder batch as recited in Claim 41, wherein said first coating has an average thickness of not greater than about 100 nanometers.

49. A powder batch as recited in Claim 41, wherein said first coating comprises a metal oxide.

50. A powder batch as recited in Claim 41, wherein said first coating comprises a

metal oxide selected from the group consisting of  $\text{ZrO}_2$ ,  $\text{NiO}$ ,  $\text{SiO}_2$ ,  $\text{B}_2\text{O}_5$ ,  $\text{TiO}_2$ ,  $\text{Cu}_2\text{O}$ ,  $\text{CuO}$ ,  $\text{Bi}_2\text{O}_3$ ,  $\text{PbO}$ ,  $\text{SnO}_2$ ,  $\text{CeO}_2$ ,  $\text{Ce}_2\text{O}_3$ ,  $\text{V}_2\text{O}_5$  and  $\text{Al}_2\text{O}_3$ .

51. A powder batch as recited in Claim 41, wherein said first coating comprises a metal.

52. A powder batch as recited in Claim 41, wherein said first coating comprises an organic compound.

53. A powder batch as recited in Claim 41, wherein said first coating is a particulate coating.

54. A powder batch as recited in Claim 41, wherein said first coating is a non-particulate coating.

55. A powder batch as recited in Claim 41, wherein said first coating increases the sintering temperature of said metal particles.

56. A powder batch as recited in Claim 41, wherein said first coating improves the dispersibility of said metal particles in a thick film paste.

57. A powder batch comprising metal composite particles, said composite particles having a weight average particle size of not greater than about 5  $\mu\text{m}$  and a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size, wherein said particles comprise a metal phase having at least about 50 weight percent gold metal and further comprise at least a first non-metallic phase.

58. A powder batch as recited in Claim 57, wherein said first non-metallic phase is dispersed throughout said metal phase.

59. A powder batch as recited in Claim 57, wherein said composite particles are substantially spherical.

60. A powder batch as recited in Claim 57, wherein said composite particles have an average particle size of not greater than about 3  $\mu\text{m}$ .

61. A powder batch as recited in Claim 57, wherein at least about 95 weight percent of said composite particles are not larger than twice said average particle size.

62. A powder batch as recited in Claim 57, wherein said metal phase comprises crystallites having an average crystallite size of at least about 40 nanometers.

63. A powder batch as recited in Claim 57, wherein said first non-metallic phase comprises a metal oxide.

64. A powder batch as recited in Claim 57, wherein said first non-metallic phase comprises a metal oxide selected from the group consisting of NiO, SiO<sub>2</sub>, Cu<sub>2</sub>O, CuO, B<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, ZrO<sub>2</sub>, Bi<sub>2</sub>O<sub>3</sub>, PbO, SnO<sub>2</sub>, CeO<sub>2</sub>, Ce<sub>2</sub>O<sub>3</sub>, V<sub>2</sub>O<sub>5</sub> and Al<sub>2</sub>O<sub>3</sub>.

65. A powder batch as recited in Claim 57, wherein said first non-metallic phase is Al<sub>2</sub>O<sub>3</sub>.

66. A powder batch as recited in Claim 57, wherein said first non-metallic phase is a dielectric compound selected from the group consisting of titanates, zirconates, silicates,

aluminates, tantalates and niobates.

67. A powder batch as recited in Claim 57, wherein said composite particles comprise at least about 0.1 weight percent of said first non-metallic phase.

68. A powder batch as recited in Claim 57, wherein said composite particles comprise from about 0.2 to about 35 weight percent of said first non-metallic phase.

69. A powder batch as recited in Claim 57, wherein said composite particles comprise from about 0.2 to about 5 weight percent of said first non-metallic phase.

70. A powder batch as recited in Claim 57, wherein said composite particles have a higher sintering temperature than pure gold metal particles.

71. A powder batch as recited in Claim 57, wherein said first non-metallic phase is carbon.



72. A thick-film paste composition suitable for screen printing onto a substrate, comprising:

- a) a binder phase;
- b) an organic vehicle phase; and
- c) a functional phase, said functional phase comprising gold metal particles,

wherein said gold metal particles have a weight average particle size of not greater than about  $5\text{ }\mu\text{m}$  and an average crystallite size of at least about 40 nanometers.

73. A paste composition as recited in Claim 72, wherein said gold metal particles have a particle size distribution wherein at least about 90 weight percent of said metal particles are not larger than twice said average particle size.

74. A paste composition as recited in Claim 72, wherein said particles are substantially spherical.

75. A paste composition as recited in Claim 72, wherein said average particle size is from about  $0.3\text{ }\mu\text{m}$  to about  $1.5\text{ }\mu\text{m}$ .

76. A paste composition as recited in Claim 72, wherein said binder phase comprises a glass frit.

77. A paste composition as recited in Claim 72, wherein said organic vehicle phase comprises a polymer dissolved in a solvent.

78. A paste composition as recited in Claim 72, wherein said organic vehicle phase comprises a polymer dissolved in a solvent, wherein said polymer is selected from the group consisting of ethyl cellulose, polyvinyl acetate and acrylic resin and said solvent is selected from the group consisting of terpeneol, butyl carbitol, butyl carbitol acetate, kerosene, mineral spirits, dibutylphthalate, hexylene glycol and alcohols.

79. A paste composition as recited in Claim 72, wherein said paste composition

comprises from about 5 to about 95 weight percent of said gold metal particles.

80. A paste composition as recited in Claim 72, wherein said paste composition comprises from about 60 to about 85 weight percent of said gold metal particles.

81. A paste composition as recited in Claim 72, wherein said gold metal particles are composite particles comprising a gold metal phase and a non-metallic phase.

82. A paste composition as recited in Claim 72, wherein said gold metal particles are composite particles comprising a gold metal phase and a metal oxide dispersed throughout said gold metal phase.

83. A paste composition as recited in Claim 72, wherein said gold metal particles are coated particles comprising a coating substantially encapsulating said particles.

84. A thick-film paste composition suitable for screen printing onto a substrate, comprising:

- a) a binder phase;
- b) an organic vehicle phase; and
- c) a functional phase, said functional phase comprising composite metal particles having a weight average particle size of not greater than about 5  $\mu\text{m}$ , said particles comprising a metal phase having at least about 50 weight percent gold metal and further comprising at least a first non-metallic phase.

85. A paste composition as recited in Claim 84, wherein said composite metal particles have a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size.

86. A paste composition as recited in Claim 84, wherein said particles are substantially spherical.

87. A paste composition as recited in Claim 84, wherein said metal phase comprises at least about 90 weight percent gold metal.

88. A paste composition as recited in Claim 84, wherein said first non-metallic phase comprises a metal oxide.

89. A paste composition as recited in Claim 84, wherein said first non-metallic phase is dispersed throughout said metal phase.

90. A paste composition as recited in Claim 84, wherein said first non-metallic phase is a metal oxide selected from the group consisting of  $\text{Al}_2\text{O}_3$  and  $\text{SiO}_2$ .

91. A powder batch as recited in Claim 84, wherein said first non-metallic phase is a dielectric compound selected from the group consisting of titanates, zirconates, silicates, aluminates, tantalates and niobates.

92. A powder batch as recited in Claim 84, wherein said first non-metallic phase comprises carbon.

93. A paste composition as recited in Claim 84, wherein said composite gold metal particles comprise at least about 0.1 weight percent of a metal oxide.

94. A paste composition as recited in Claim 84, wherein said composite gold metal particles comprise from about 0.2 to about 35 weight percent of a metal oxide.

95. A thick-film paste composition suitable for screen printing onto a substrate, comprising:

- a) a binder phase;
- b) an organic vehicle phase; and
- c) a functional phase, said functional phase comprising coated metal particles having a weight average particle size of not greater than about 5  $\mu\text{m}$ , said particles comprising a metal phase having at least about 50 weight percent gold metal and further comprising at least a first coating substantially encapsulating said particles.

96. A paste composition as recited in Claim 95, wherein said coated metal particles have a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size.

97. A paste composition as recited in Claim 95, wherein said particles are substantially spherical.

98. A paste composition as recited in Claim 95, wherein said metal phase comprises at least about 90 weight percent gold metal.

99. A paste composition as recited in Claim 95, wherein said first coating comprises a metal oxide.

100. A paste composition as recited in Claim 95, wherein said first coating is a metal coating.

101. A paste composition as recited in Claim 95, wherein said first coating comprises an organic compound.

102. A paste composition as recited in Claim 95, wherein said first coating comprises a monolayer coating.

103. A paste composition as recited in Claim 95, wherein said first coating improves

the dispersion of said particles in said paste.

104. A method for the production of gold metal particles, comprising the steps of:

a) generating an aerosol of droplets from a liquid wherein said liquid comprises a gold metal precursor and wherein said droplets have a size distribution such that at least about 80 weight percent of said droplets have a size of from about 1  $\mu\text{m}$  to about 5  $\mu\text{m}$ ;

b) moving said droplets in a carrier gas; and

c) heating said droplets to remove liquid therefrom and form gold metal particles comprising at least about 50 weight percent gold metal.

105. A method as recited in Claim 104, wherein said carrier gas is air.

106. A method as recited in Claim 104, wherein said heating step comprises passing said droplets through a heating zone having a temperature of not greater than about 1065° C.

107. A method as recited in Claim 104, wherein said heating step comprises passing said droplets through a heating zone having a temperature of from about 450° C to about 750° C.

108. A method as recited in Claim 104, wherein said metal particles have a particle density of at least about 15.9 g/cm<sup>3</sup>.

109. A method as recited in Claim 104, wherein said metal particles have a particle density of at least about 17.4 g/cm<sup>3</sup>.

110. A method as recited in Claim 104, wherein said droplets in said aerosol have a size distribution such that no greater than about 20 weight percent of the droplets in said aerosol are larger than about twice the weight average droplet size.

111. A method as recited in Claim 104, further comprising the step of removing a portion of droplets from said aerosol, wherein said removed droplets have an aerodynamic

diameter greater than a preselected maximum diameter.

112. A method as recited in Claim 104, further comprising the step of removing a second portion of said droplets from said aerosol, wherein said second portion of droplets have an aerodynamic diameter less than a preselected minimum diameter.

113. A method as recited in Claim 104, wherein said liquid is a solution comprising a gold metal precursor selected from the group consisting of gold nitrate, gold chloride, gold sulfate and gold oxalate.

114. A method as recited in Claim 104, wherein said liquid is a solution comprising gold chloride.

115. A method as recited in Claim 104, wherein said liquid comprises a precursor for at least one metal alloying element.

116. A method as recited in Claim 104, wherein said liquid comprises a precursor for at least one metal alloying element selected from the group consisting of palladium, silver, nickel, copper and platinum.

117. A method as recited in Claim 104, further comprising the step of coating an outer surface of said gold metal particles.

118. A method as recited in Claim 104, further comprising the step of coating an outer surface of said gold metal particles with a metal oxide coating.

119. A method as recited in Claim 104, further comprising the step of coating an outer surface of said gold metal particles with an organic coating.

120. A method as recited in Claim 104, wherein said gold metal particles further comprise a non-metallic phase.

121. A method as recited in Claim 104, wherein said gold metal particles further comprise a metal oxide phase.



122. A method for the production of composite metal particles, comprising the steps of:

- a) forming a liquid solution comprising a gold metal precursor and a non-metallic second phase precursor;
- b) generating an aerosol of droplets from said liquid solution;
- c) moving said droplets in a carrier gas;
- d) heating said droplets to remove liquid therefrom and form metal composite particles comprising gold metal and a non-metallic second phase.

123. A method as recited in Claim 122, wherein said carrier gas comprises air.

124. A method as recited in Claim 122, wherein said heating step comprises passing said droplets through a heating zone having a temperature of less than about 1065°C.

125. A method as recited in Claim 122, wherein said heating step comprises passing said droplets through a heating zone having a temperature of from about 450° C to about 750° C.

126. A method as recited in Claim 122, wherein said metal particles have a particle density of at least about 90 percent of the theoretical density for said composite particles.

127. A method as recited in Claim 122, wherein said aerosol droplets have an average size of from about 1  $\mu\text{m}$  to about 5  $\mu\text{m}$  and wherein not greater than about 20 weight percent of said droplets have a size greater than about twice said average droplet size.

128. A method as recited in Claim 122, further comprising the step of removing at least a first portion of droplets from said aerosol wherein said droplets in said removed first portion have an aerodynamic diameter greater than a preselected maximum diameter.

129. A method as recited in Claim 122, further comprising the step of removing a second portion of said droplets from said aerosol, wherein said droplets in said removed

second portion have an aerodynamic diameter less than a preselected minimum diameter.

130. A method as recited in Claim 122, wherein said gold metal precursor is selected from the group consisting of gold nitrate, gold hydroxide, gold chloride, gold sulfate and gold oxalate.

131. A method as recited in Claim 122, wherein said gold metal precursor is gold chloride.

132. A method as recited in Claim 122, wherein said non-metallic second phase precursor comprises a metal salt dissolved in said liquid solution.

133. A method as recited in Claim 122, wherein said non-metallic second phase precursor comprises a colloidal suspension.

134. A method as recited in Claim 122, wherein said non-metallic second phase is a metal oxide.

135. A method as recited in Claim 122, wherein said non-metallic second phase is a metal oxide selected from the group consisting of NiO, SiO<sub>2</sub>, Cu<sub>2</sub>O, CuO, B<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, ZrO<sub>2</sub>, Bi<sub>2</sub>O<sub>3</sub>, PbO, SnO<sub>2</sub>, CeO<sub>2</sub>, Ce<sub>2</sub>O<sub>3</sub>, V<sub>2</sub>O<sub>5</sub> and Al<sub>2</sub>O<sub>3</sub>.

136. A method as recited in Claim 122, wherein said composite metal particles comprise gold metal and from about 0.2 to about 35 weight percent of a non-metallic second phase.

137. A method as recited in Claim 122, further comprising the step of coating an outer surface of said composite metal particles.

138. A method for the production of metal alloy particles, comprising the steps of:

a) forming a liquid solution comprising a gold metal precursor and a second metal precursor;

b) generating an aerosol of droplets from said liquid solution;

c) moving said droplets in a carrier gas;

d) heating said droplets to remove liquid therefrom and form metal alloy particles comprising gold metal and a second metal.

139. A method as recited in Claim 138, wherein said carrier gas comprises air.

140. A method as recited in Claim 138, wherein said heating step comprises passing said droplets through a heating zone having a temperature of less than about 1065° C.

141. A method as recited in Claim 138, wherein said heating step comprises passing said droplets through a heating zone having a temperature of from about 450° C to about 750° C.

142. A method as recited in Claim 138, wherein said metal alloy particles have a particle density of at least about 90 percent of the theoretical density for said metal alloy particles.

143. A method as recited in Claim 138, wherein said aerosol droplets have an average droplet size of from about 1  $\mu\text{m}$  to about 5  $\mu\text{m}$  and wherein not greater than about 20 weight percent of said droplets have a size greater than about twice said average droplet size.

144. A method as recited in Claim 138, further comprising the step of removing at least a first portion of droplets from said aerosol wherein said droplets in said removed first portion have an aerodynamic diameter greater than a preselected maximum diameter.

145. A method as recited in Claim 138, further comprising the step of removing a

second portion of said droplets from said aerosol, wherein said droplets in said removed second portion have an aerodynamic diameter less than a preselected minimum diameter.

146. A method as recited in Claim 138, wherein said gold metal precursor is selected from the group consisting of gold nitrate, gold chloride, gold sulfate and gold oxalate.

147. A method as recited in Claim 138, wherein said gold metal precursor is gold chloride.

148. A method as recited in Claim 138, wherein said second metal phase is selected from the group consisting of palladium, silver, nickel, copper, tungsten, molybdenum, tin and platinum.

149. A method as recited in Claim 138, wherein said second metal phase is selected from the group consisting of palladium and platinum.

150. A method as recited in Claim 138, wherein said metal alloy particles comprise gold metal and up to about 40 weight percent of said second metal phase.

151. A method as recited in Claim 138, wherein said metal alloy particles are homogeneously alloyed with substantially no phase segregation of said gold metal and said second metal.

152. A method as recited in Claim 138, further comprising the step of coating an outer surface of said metal alloy particles.

153. A method for the production of coated metal particles, comprising the steps of:

- a) forming a liquid solution comprising a gold metal precursor;
- b) generating an aerosol of droplets from said liquid solution;
- c) moving said droplets in a carrier gas;
- d) heating said droplets to remove liquid therefrom and form metal particles comprising gold metal; and

- e) coating an outer surface of said gold metal particles.

154. A method as recited in Claim 153, wherein said coating step comprises contacting said metal particles with a volatile coating precursor.

155. A method as recited in Claim 153, wherein said coating step comprises contacting said metal particles with a volatile coating precursor selected from the group consisting of metal chlorides, metal acetates and metal alkoxides.

156. A method as recited in Claim 153, wherein said carrier gas comprises hydrogen.

157. A method as recited in Claim 153, wherein said heating step comprises passing said droplets through a heating zone having a temperature of not greater than about 1065° C.

158. A method as recited in Claim 153, wherein said heating step comprises passing said droplets through a heating zone having a temperature of from about 450° C to about 750° C.

159. A method as recited in Claim 153, wherein said metal particles have a particle density of at least about 90 percent of the theoretical density for said metal particles.

160. A method as recited in Claim 153, wherein said aerosol droplets have an average size of from about 1  $\mu\text{m}$  to about 5  $\mu\text{m}$  and wherein not greater than about 20 weight percent of said droplets have a size greater than about twice said average droplet size.

161. A method as recited in Claim 153, further comprising the step of removing at least a first portion of droplets from said aerosol wherein said droplets in said removed first portion have an aerodynamic diameter greater than a preselected maximum diameter.

162. A method as recited in Claim 153, further comprising the step of removing a second portion of said droplets from said aerosol, wherein said droplets in said removed second portion have an aerodynamic diameter less than a preselected minimum diameter.

163. A method as recited in Claim 153, wherein said gold metal precursor is selected from the group consisting of gold nitrate, gold chloride, gold sulfate and gold oxalate.

164. A method as recited in Claim 153, wherein said gold metal precursor is gold chloride.

165. A method as recited in Claim 153, wherein said coating is a metal oxide.

166. A method as recited in Claim 153, wherein said coating has an average thickness of not greater than about 100 nanometers.

167. A method as recited in Claim 153, wherein said coating is a metal oxide selected from the group consisting of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{ZrO}_2$ ,  $\text{B}_2\text{O}_5$ ,  $\text{TiO}_2$ ,  $\text{Cu}_2\text{O}$ ,  $\text{CuO}$ ,  $\text{PbO}$ ,  $\text{SnO}_2$ ,  $\text{CeO}_2$ ,  $\text{Ce}_2\text{O}_3$ ,  $\text{V}_2\text{O}_5$  and  $\text{Bi}_2\text{O}_3$ .

168. An intermediate component for a microelectronic device, wherein said component comprises an insulative substrate and a thick film paste disposed on said substrate, said thick film paste comprising gold metal particles having a weight average particle size of not greater than about 5  $\mu\text{m}$  and a particle size distribution wherein at least about 90 weight percent of said particles are not larger than twice said average particle size and wherein said gold metal particles comprise crystallites having an average crystallite size of at least about 40 nanometers.

169. An intermediate component as recited in Claim 168, wherein said substrate comprises a ceramic.

170. An intermediate component as recited in Claim 168, wherein said substrate is a green ceramic sheet.

171. An intermediate component as recited in Claim 168, wherein said substrate is a sintered ceramic substrate.

172. An intermediate component as recited in Claim 168, wherein said weight average particle size is from about 0.3  $\mu\text{m}$  to about 1.5  $\mu\text{m}$ .

173. An intermediate component as recited in Claim 168, wherein said thick film paste is adapted to form a plurality of conductive traces disposed in substantially parallel relation and having an average pitch of not greater than about 25  $\mu\text{m}$ .

174. An intermediate component as recited in Claim 168, wherein said microelectronic device is a multichip module.

175. An intermediate component as recited in Claim 168, wherein said microelectronic device is an oxygen sensor and wherein said substrate comprises a ceramic compound selected from the group consisting of  $\text{ZrO}_2$  and  $\text{TiO}_2$ .

## ABSTRACT

Gold powders and methods for producing gold powders. The powders preferably have a small particle size, narrow size distribution and a spherical morphology. The method includes forming the particles by a spray pyrolysis technique. The invention also includes novel devices and products formed from the gold powders.

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FIG. 1 is a schematic diagram of a system for generating an aerosol stream.

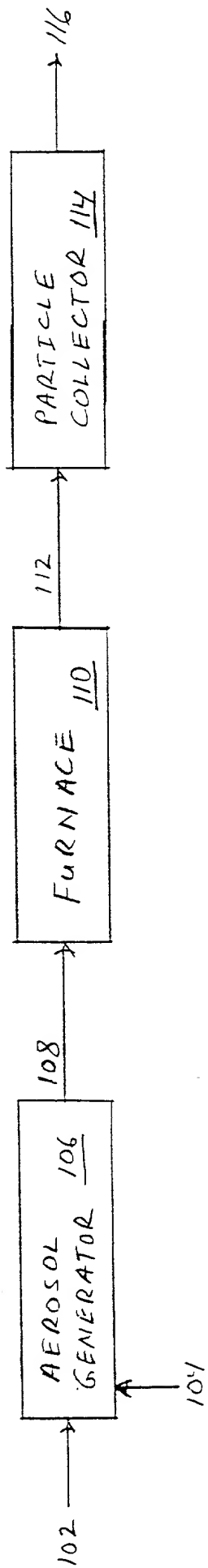


Fig. 1

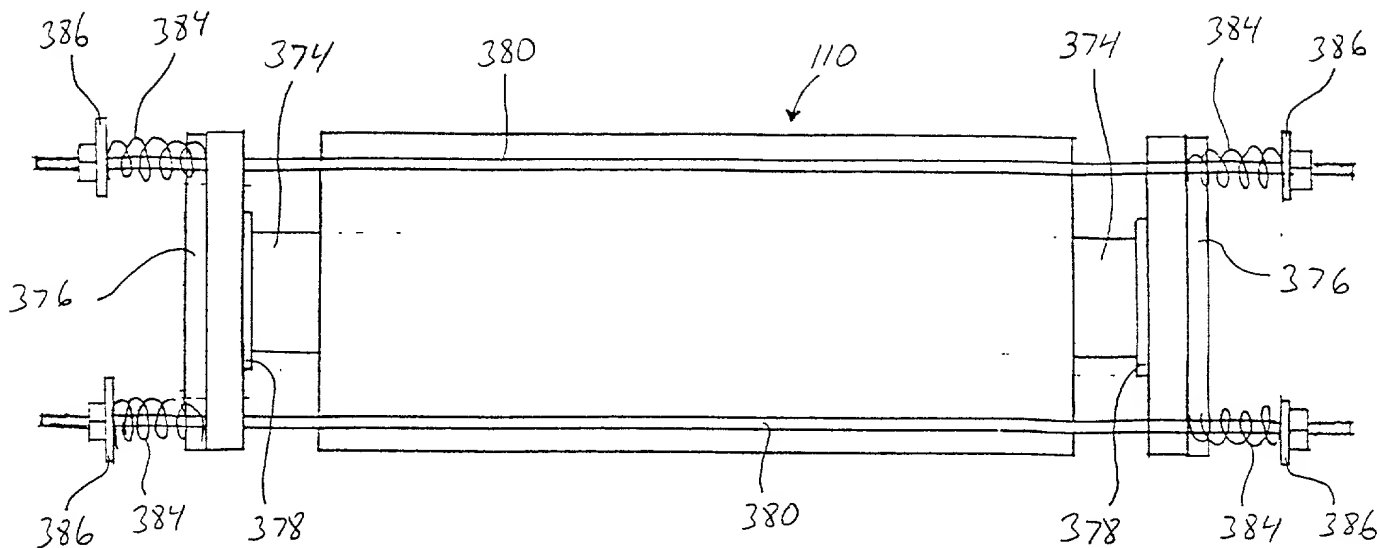


Fig. 2

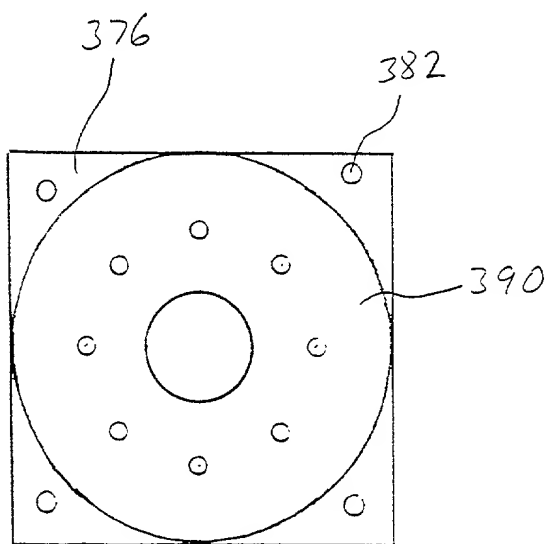


Fig. 3

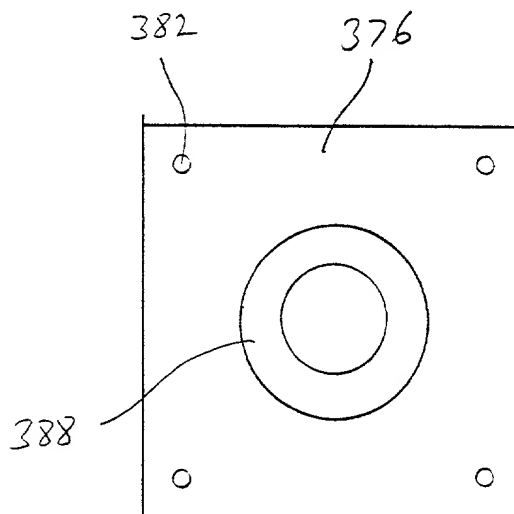


Fig. 4

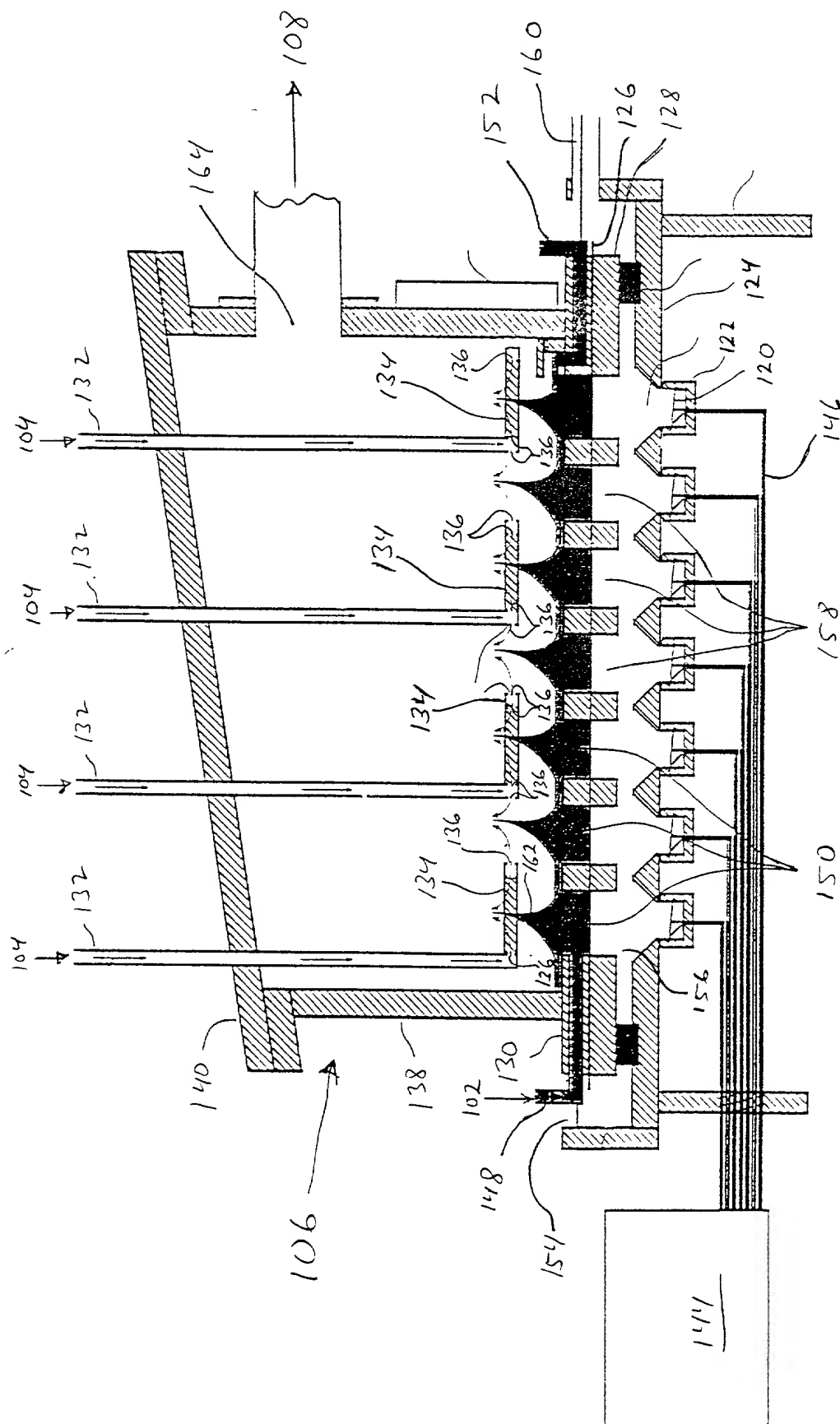


Fig. 5

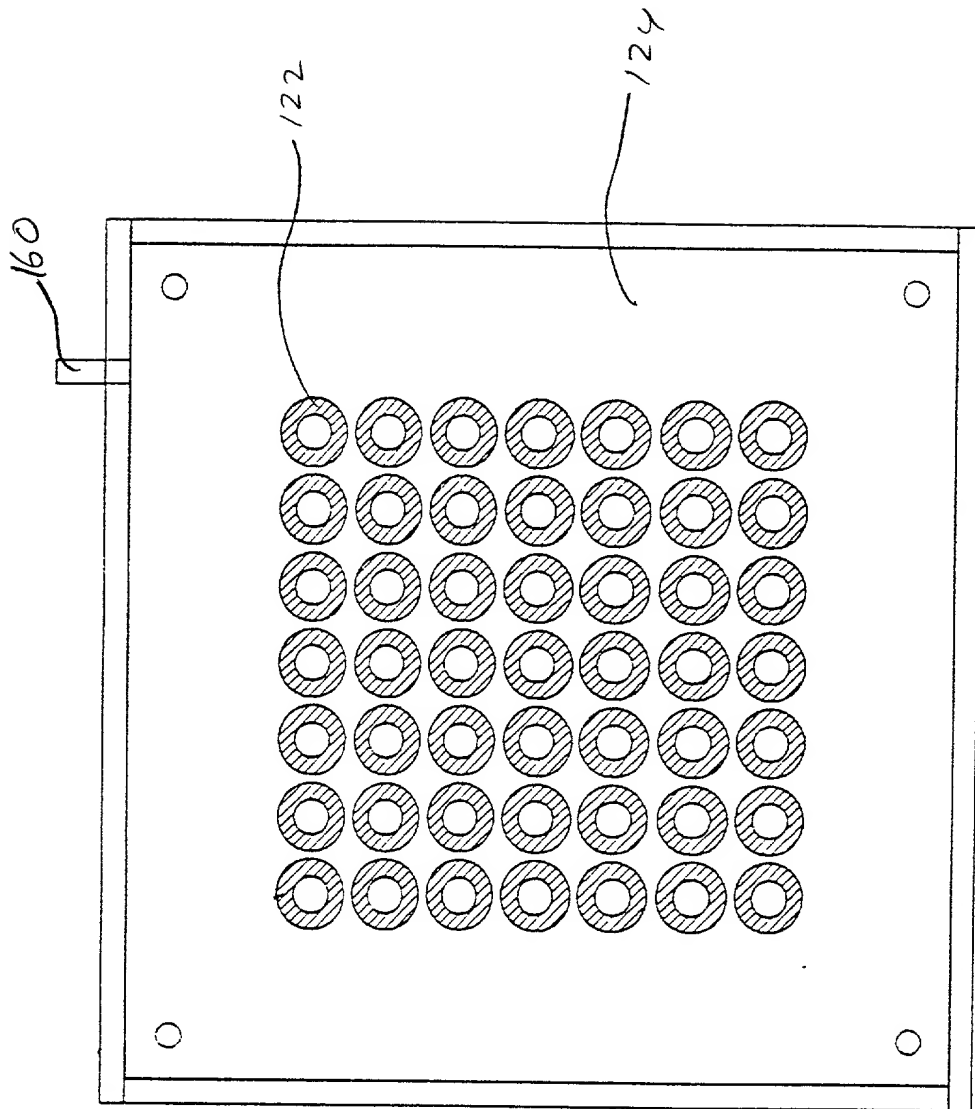


Fig 6

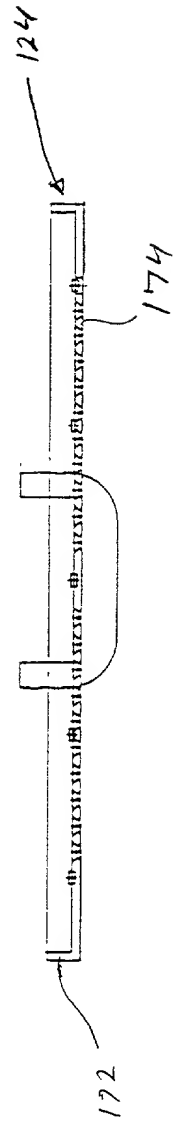
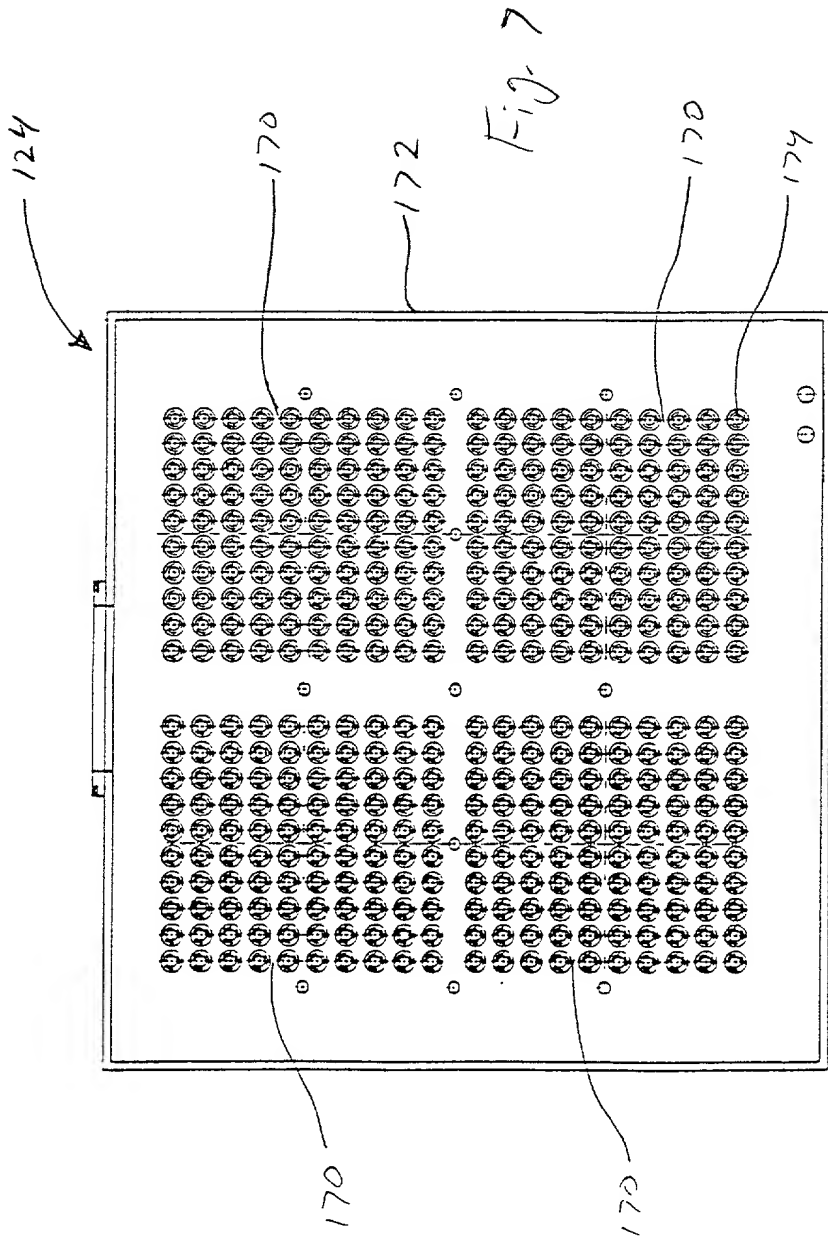


Fig. 8

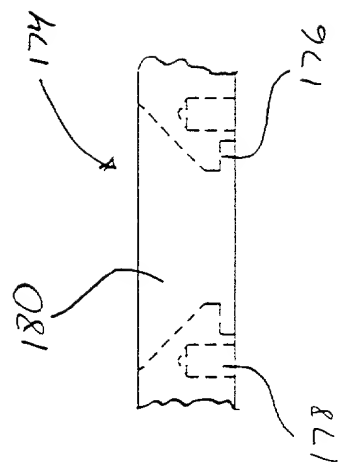


Fig. 9

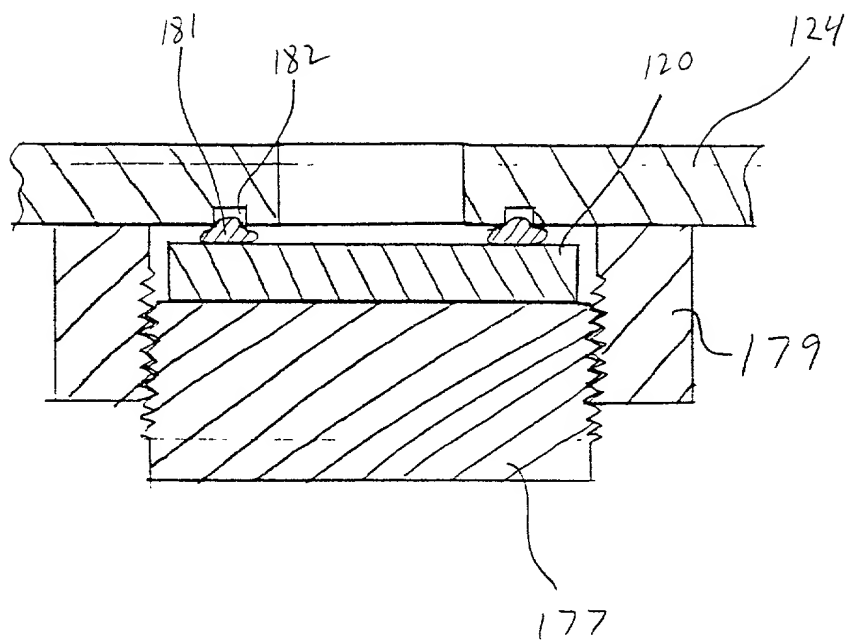
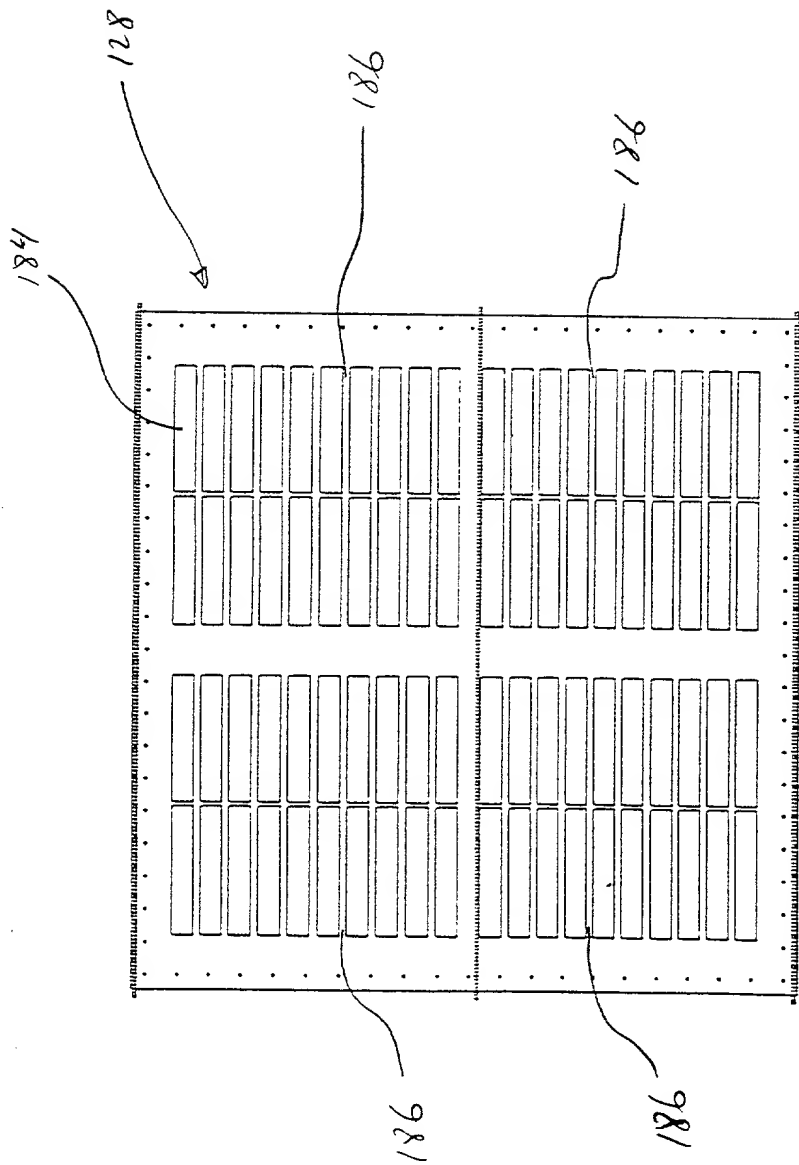


Fig. 10.





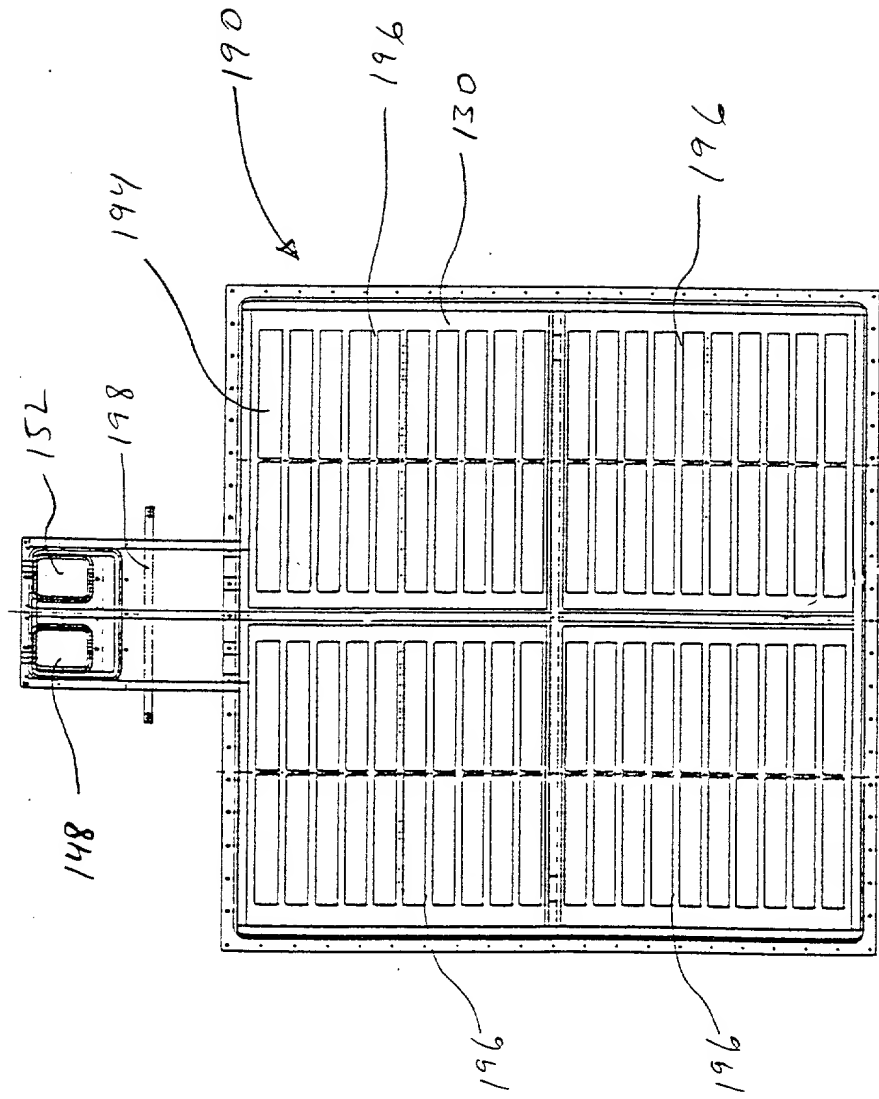


Fig. 12

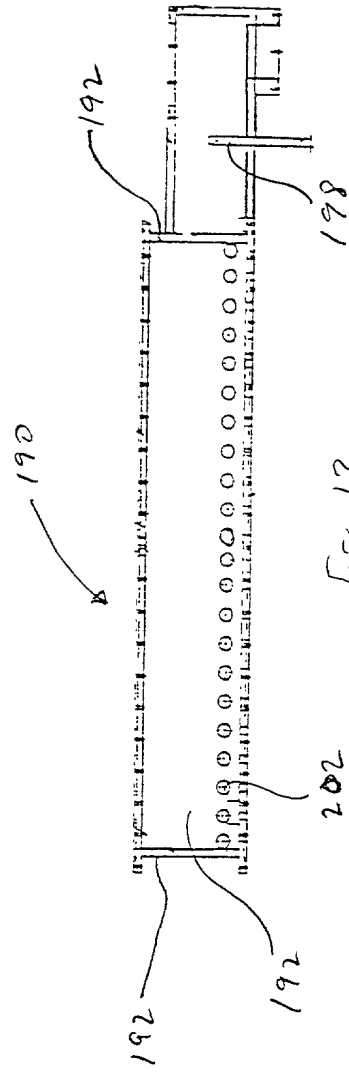


Fig. 13

136

208

Fig 14

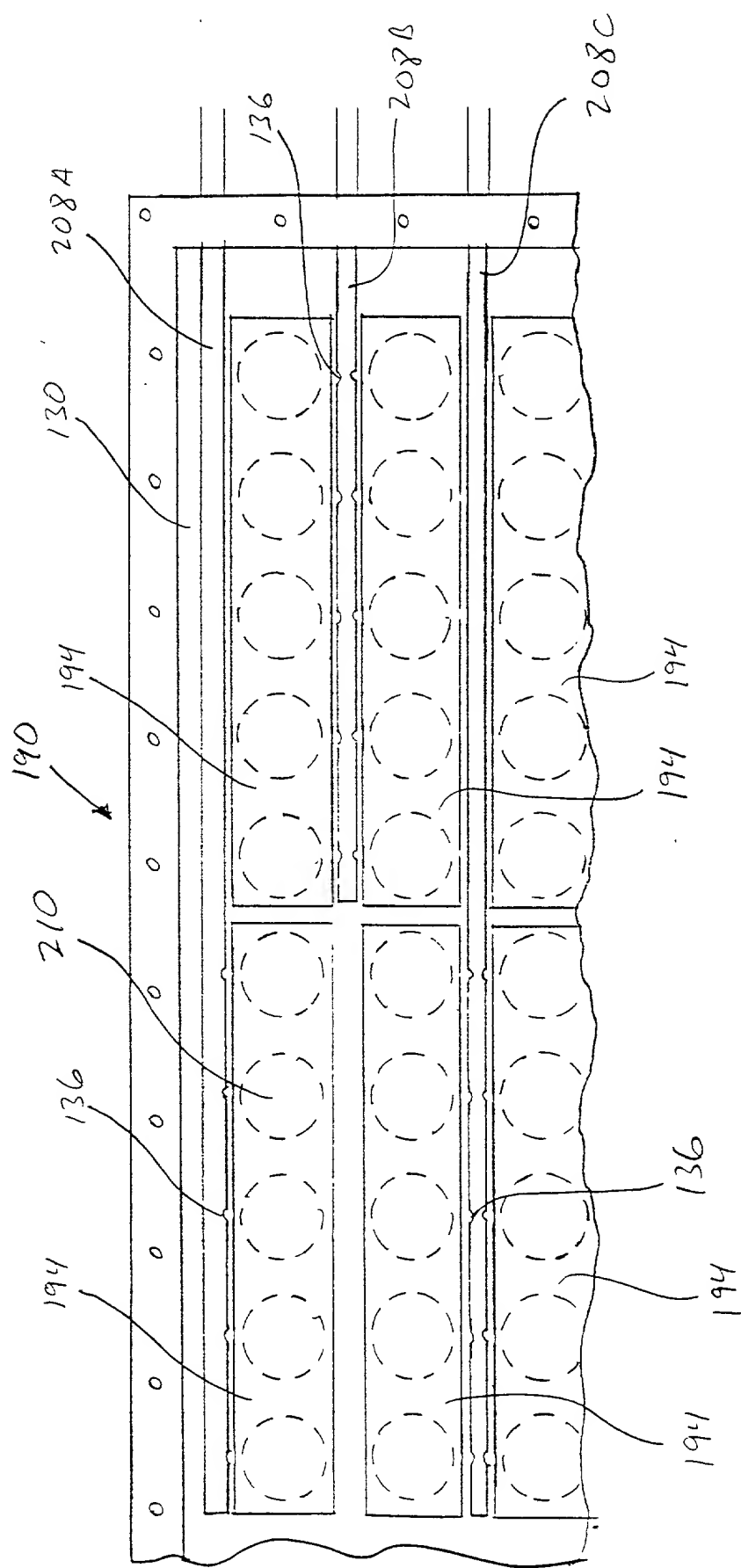


Fig-15

212

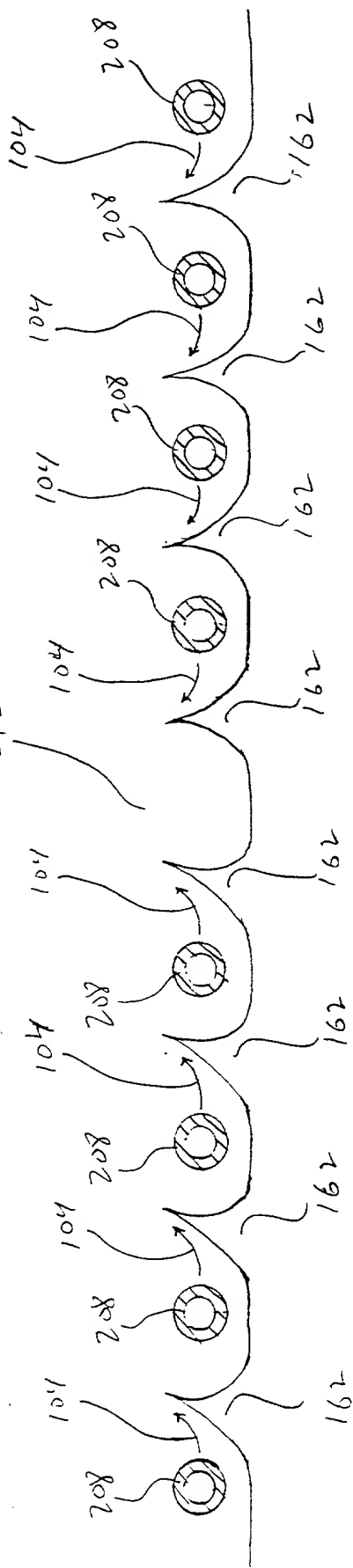


Fig. 17

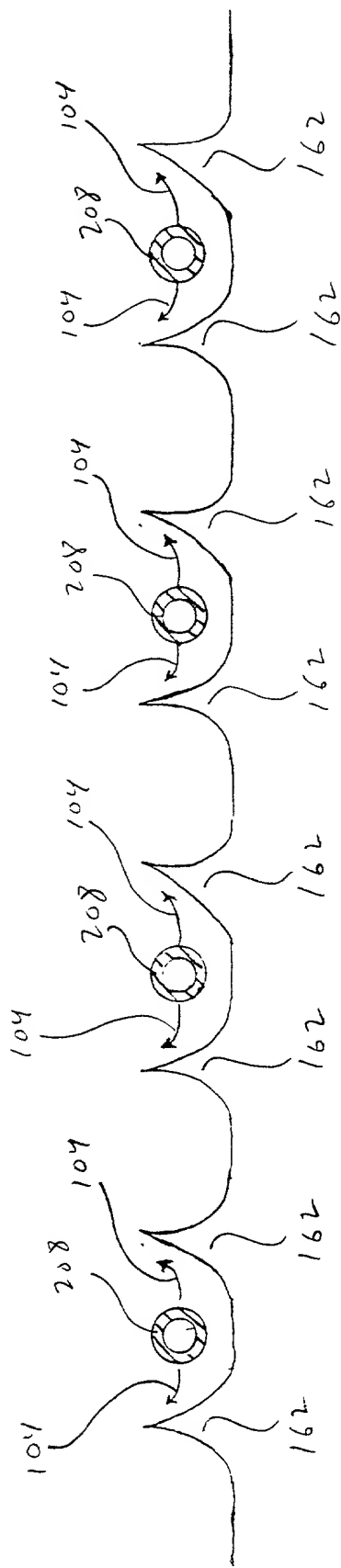


Fig. 16

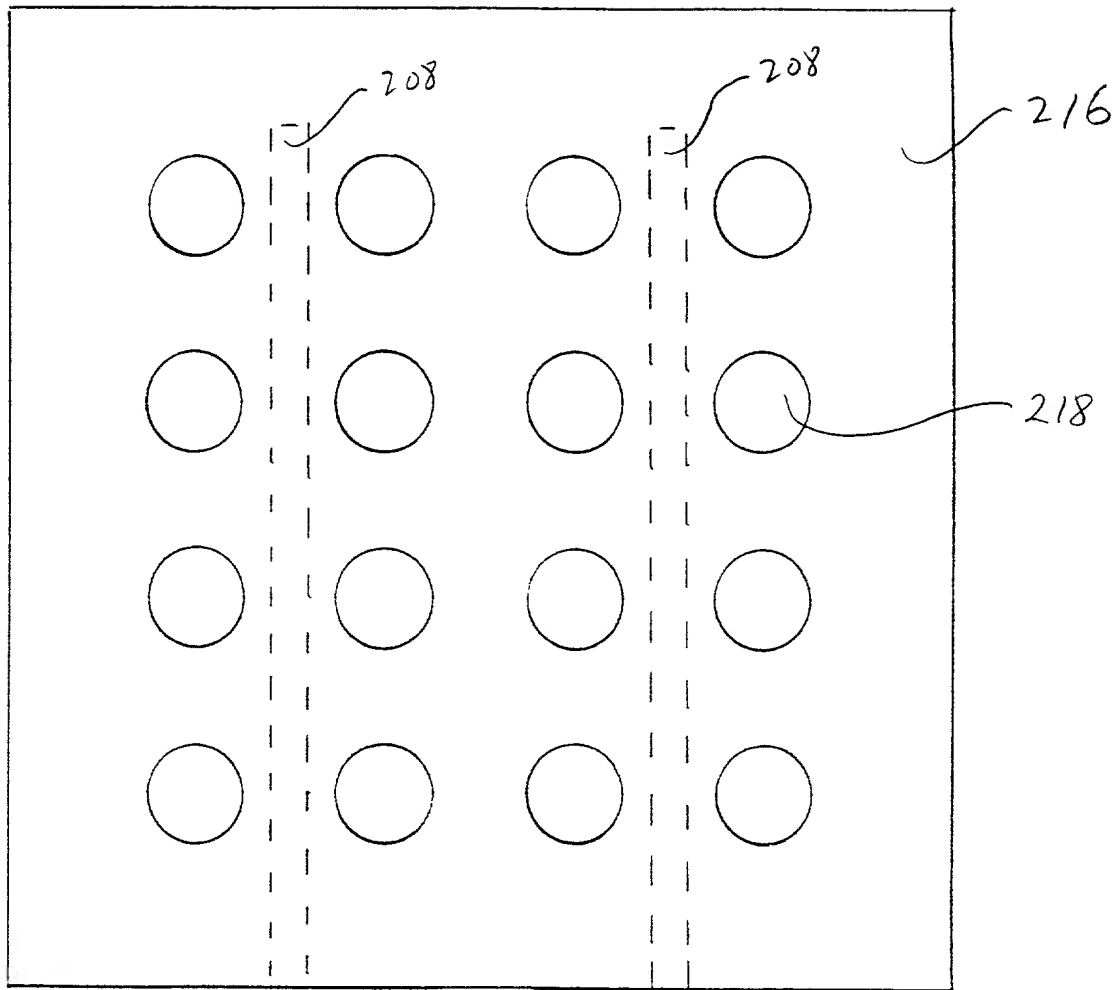


FIG. 18

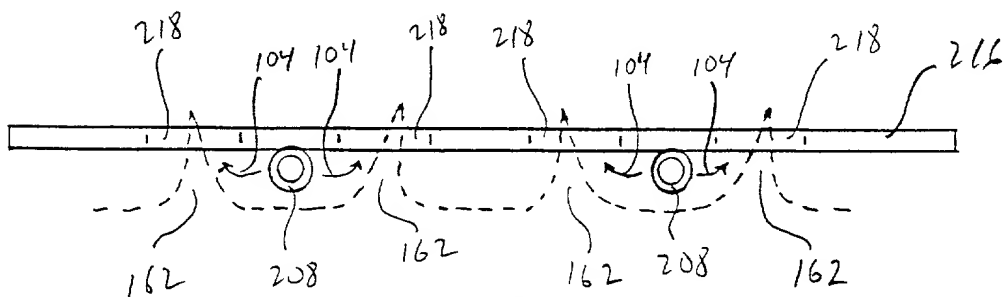


FIG. 19

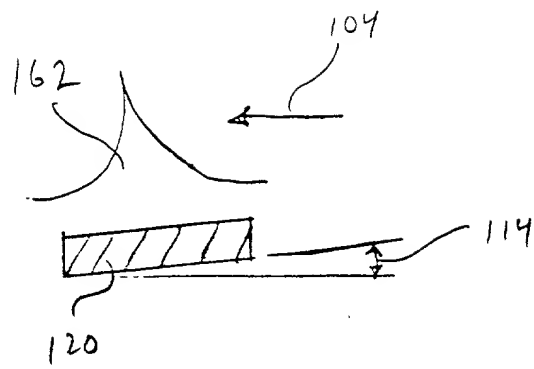


Fig. 20

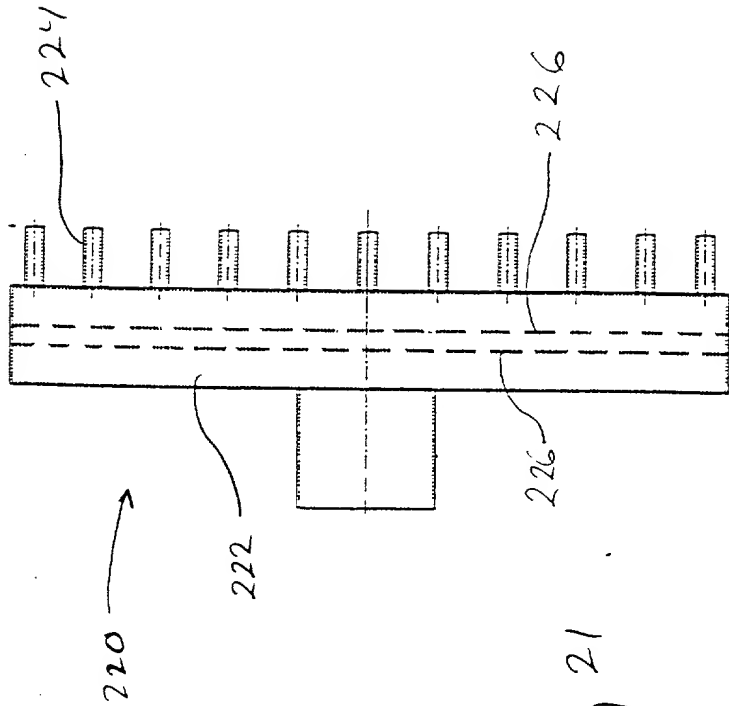


Fig 21

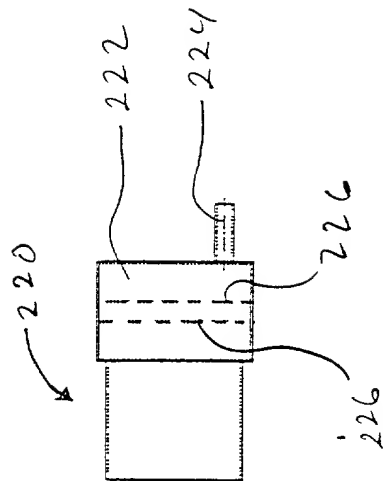
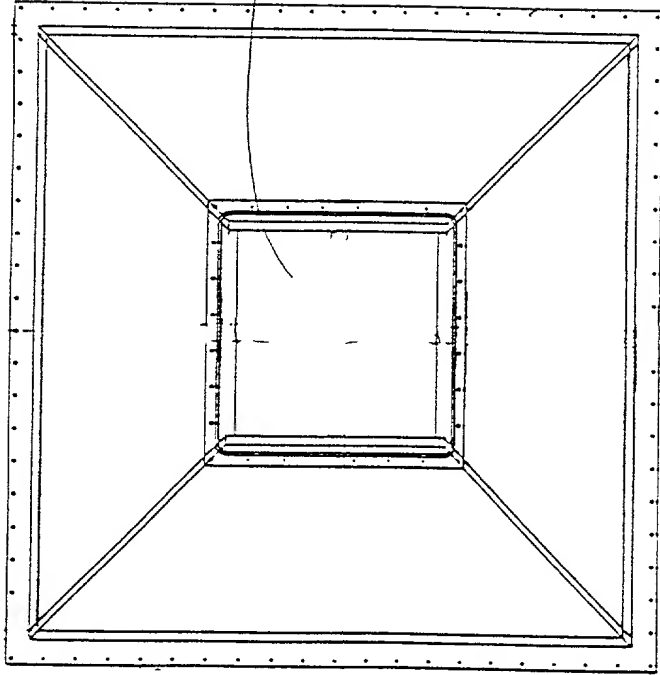


Fig 22

140



164

Fig. 23

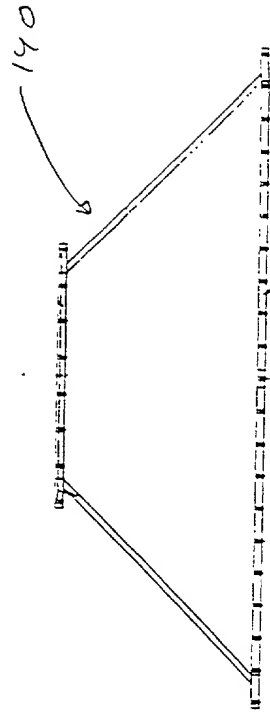


Fig. 24



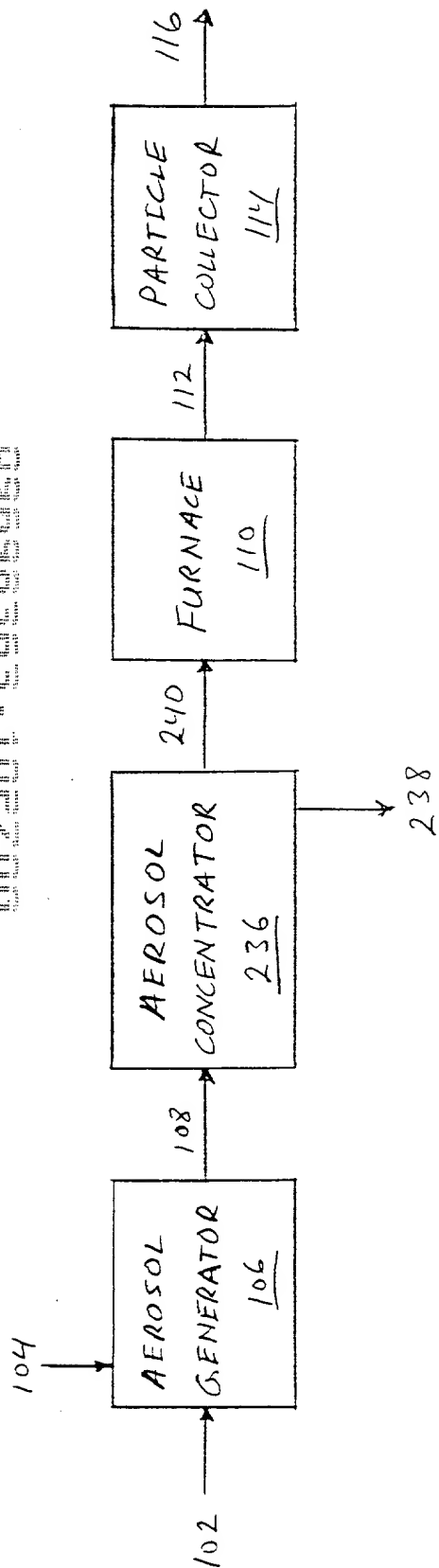


Fig 25

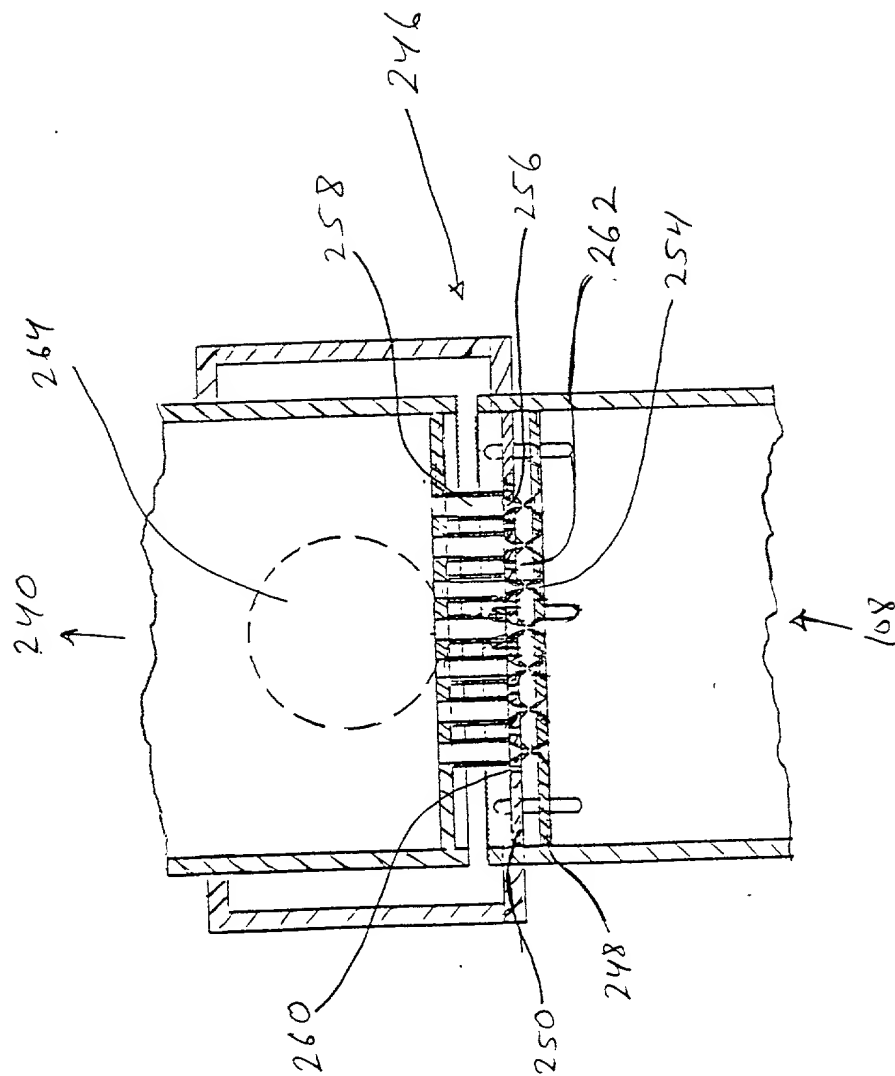


Fig 26

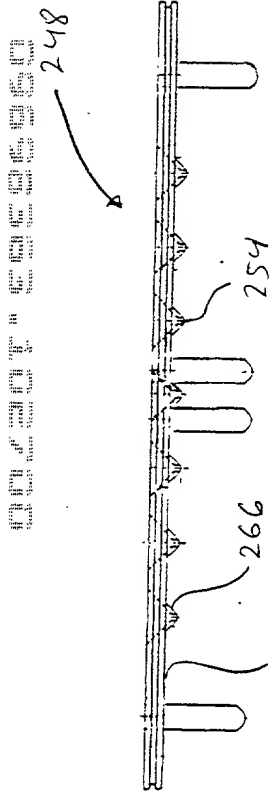


Fig. 28

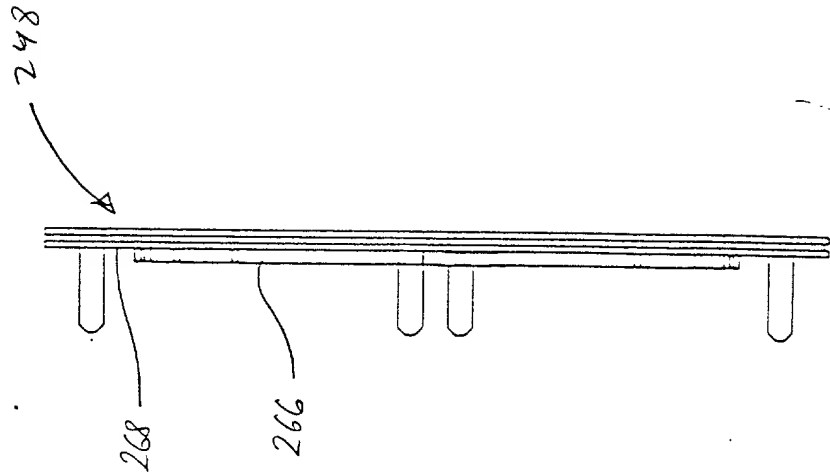


Fig. 29

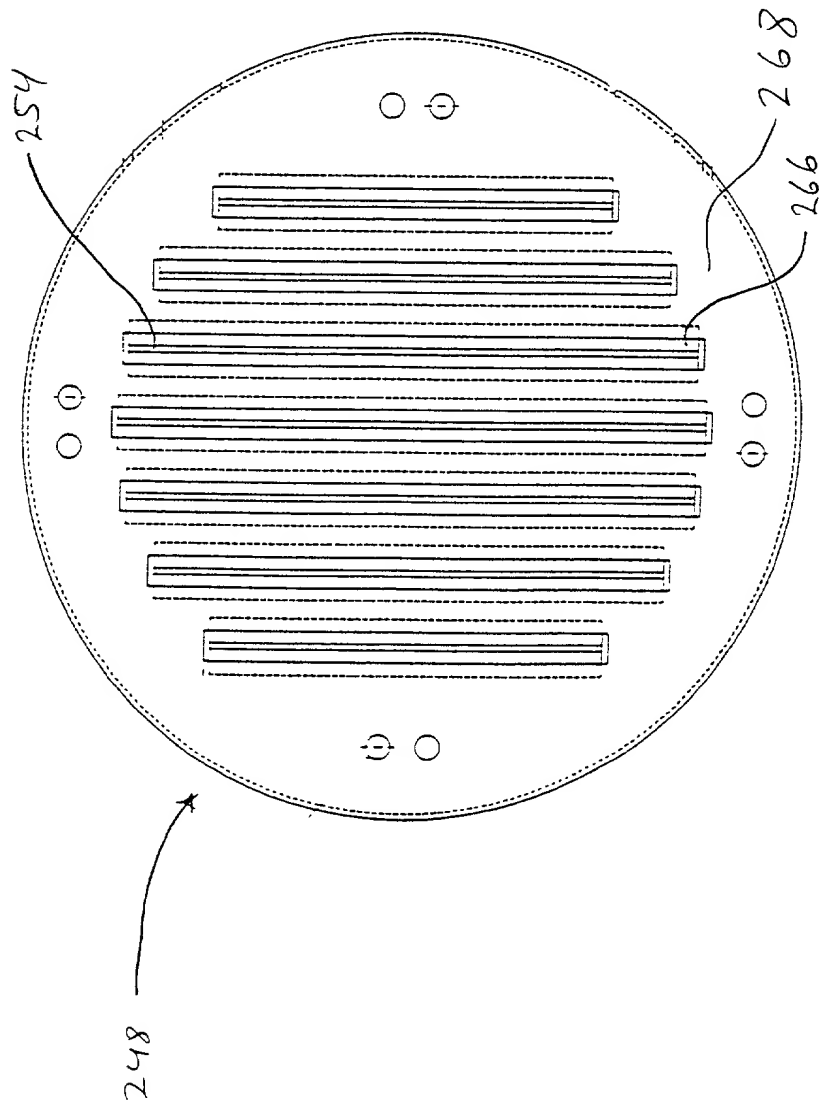
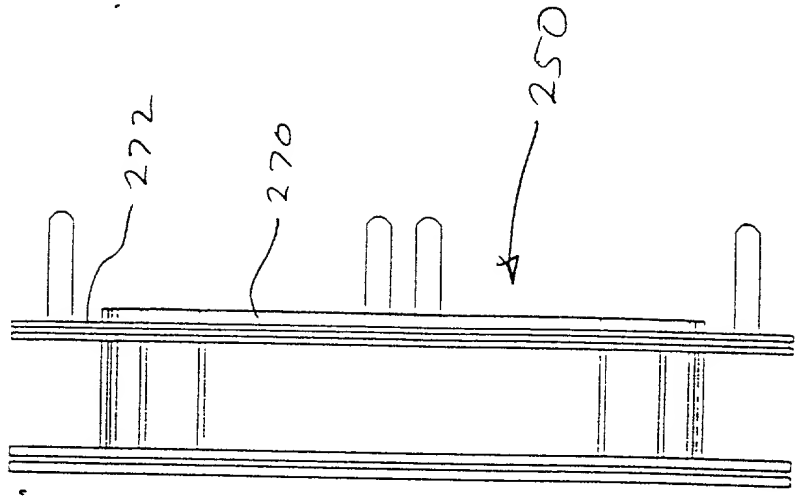
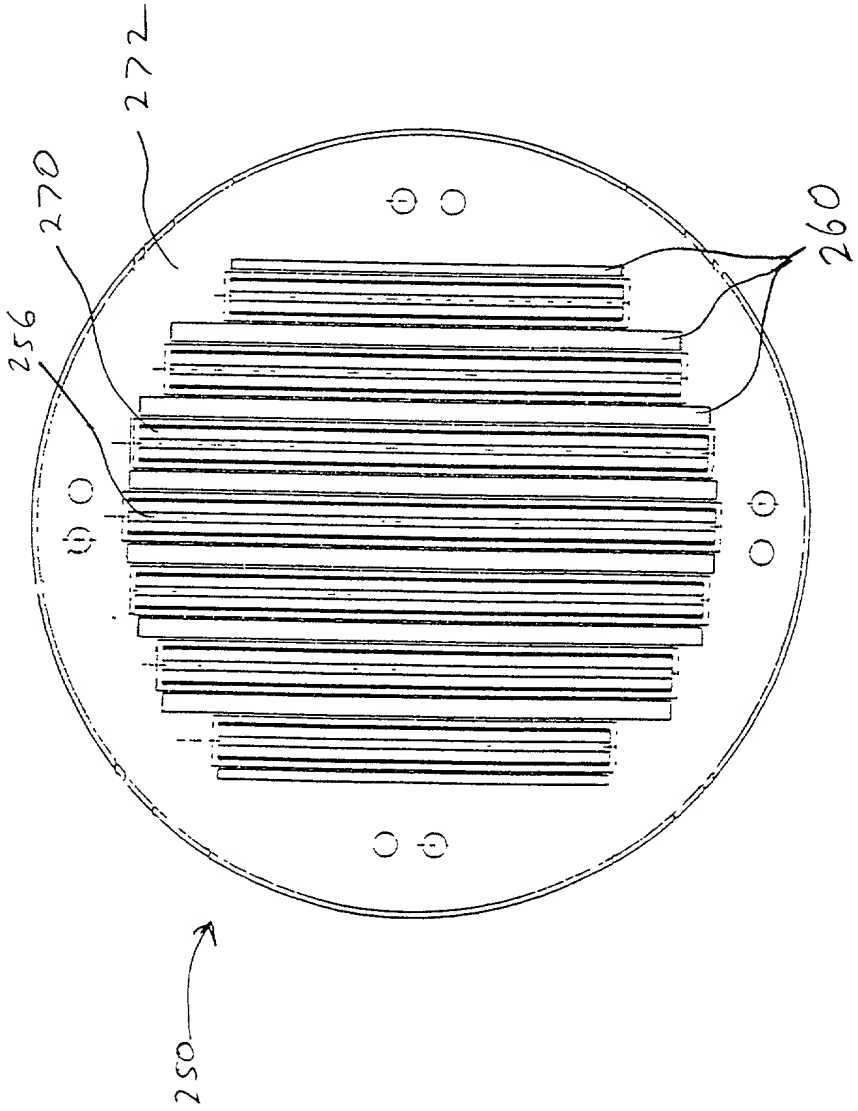
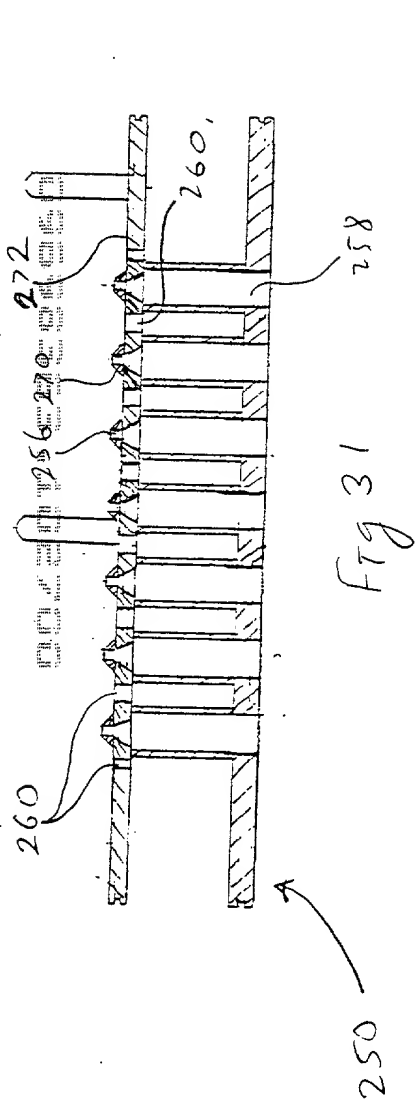


Fig. 27



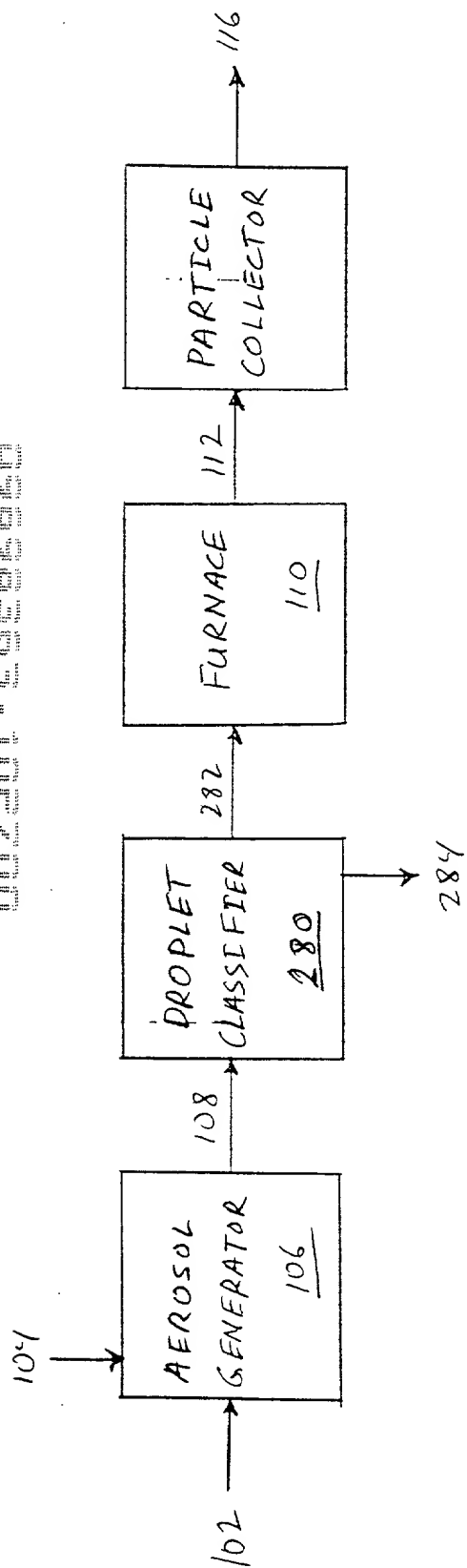


Fig 33

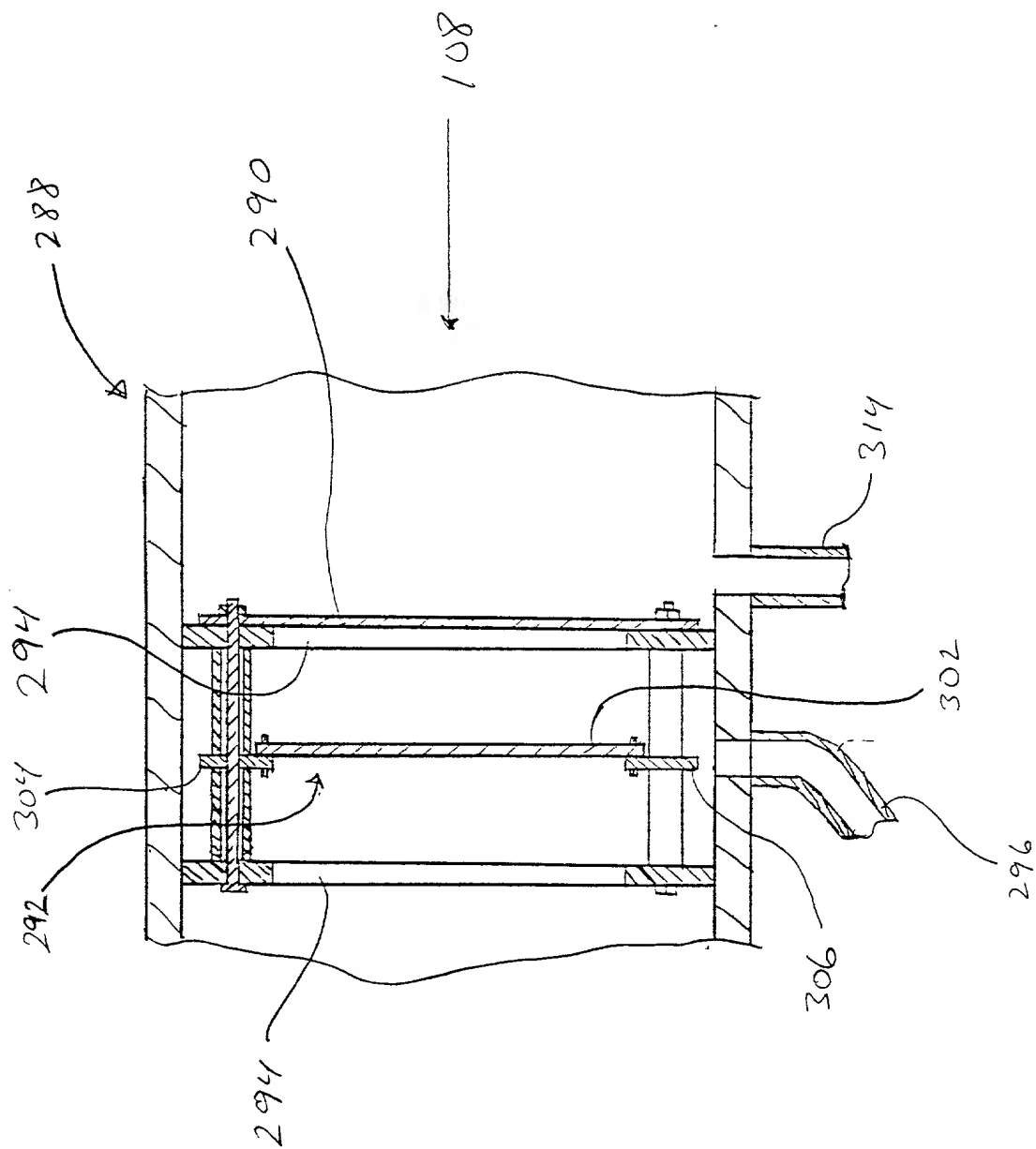


Fig 34

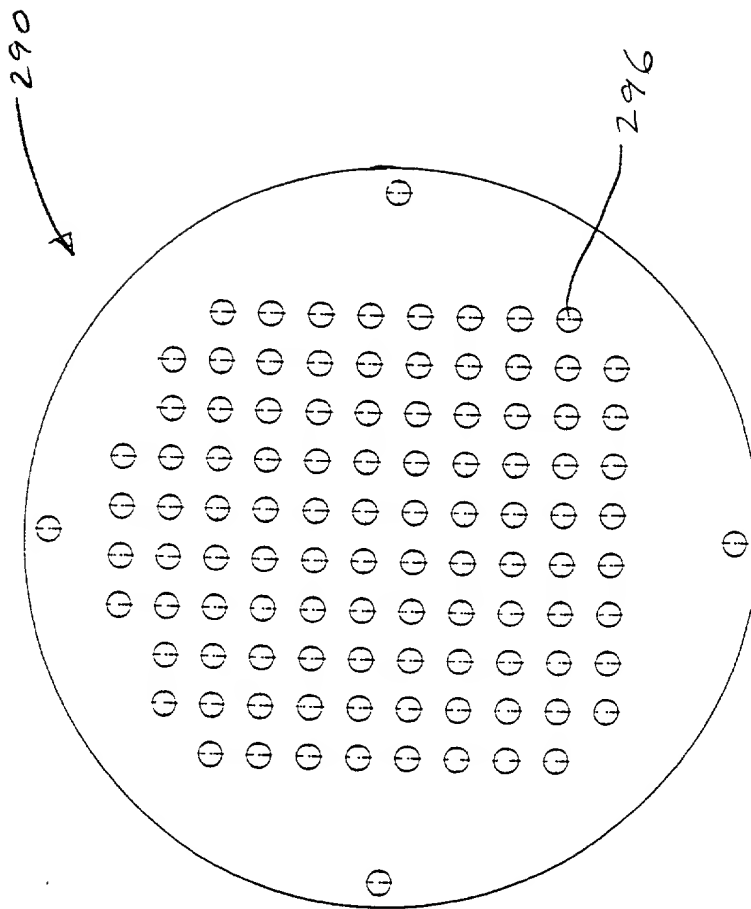


Fig 35

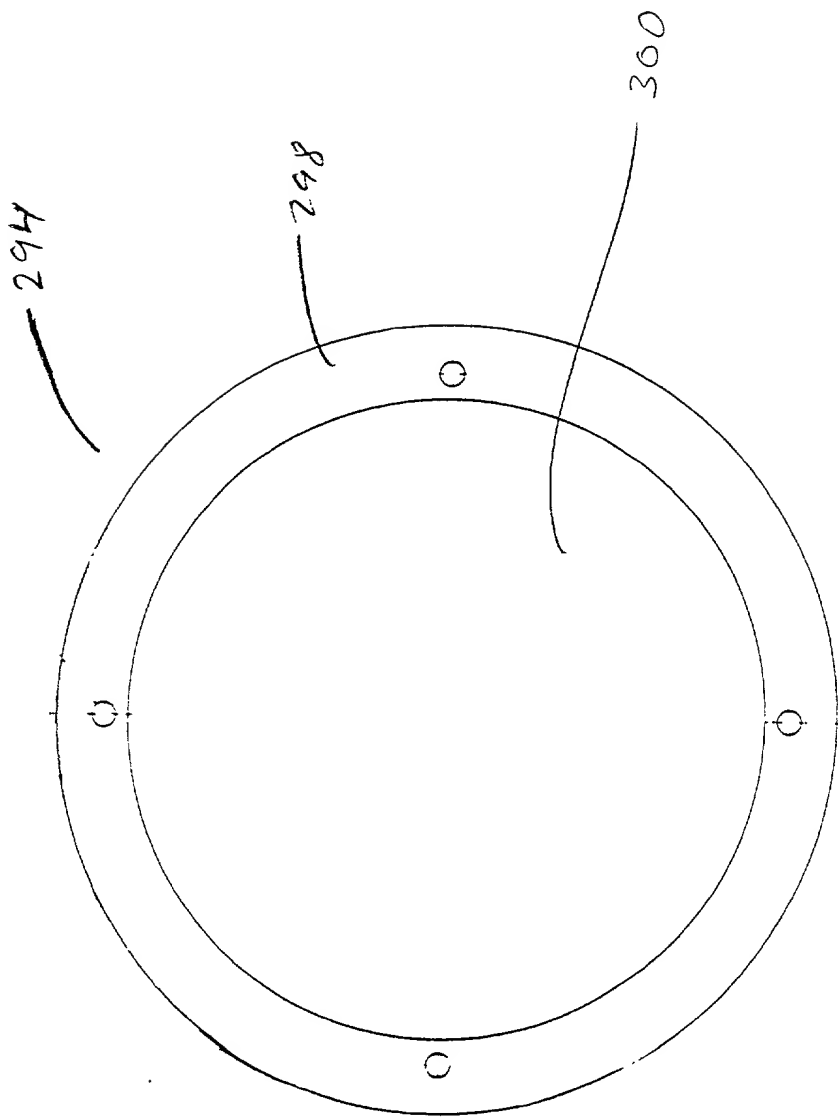


Fig. 36





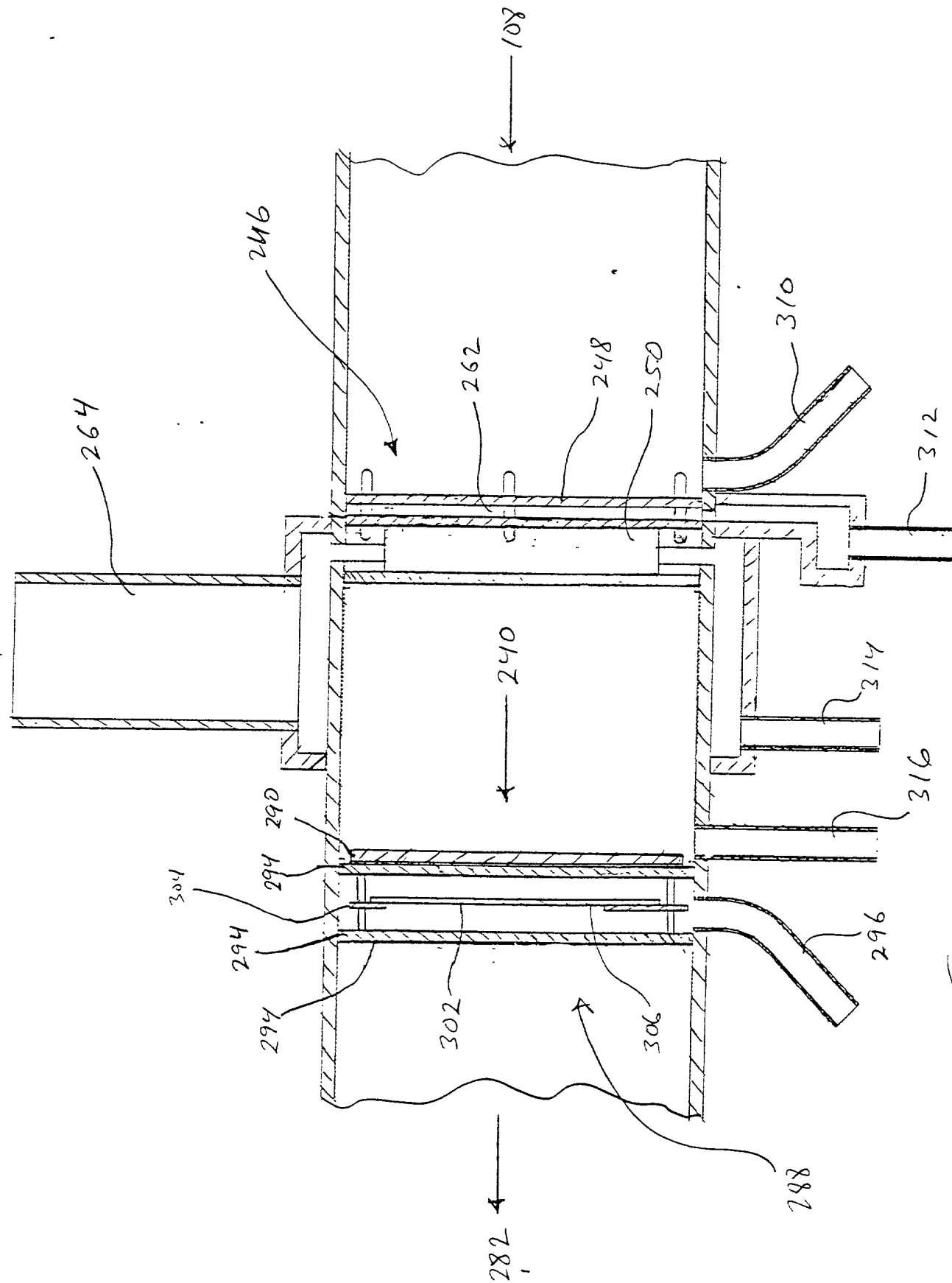


Fig. 39

FIG. 410 is a schematic diagram of a system for producing a particulate material. The system includes an aerosol generator 106, a furnace 110, a particle cooler 320, and a particle collector 114. The aerosol generator 106 receives an input 102 and produces an aerosol stream 108. The aerosol stream 108 is heated in the furnace 110, then cooled in the particle cooler 320, and finally collected in the particle collector 114. The output of the particle collector 114 is a particulate material 116.

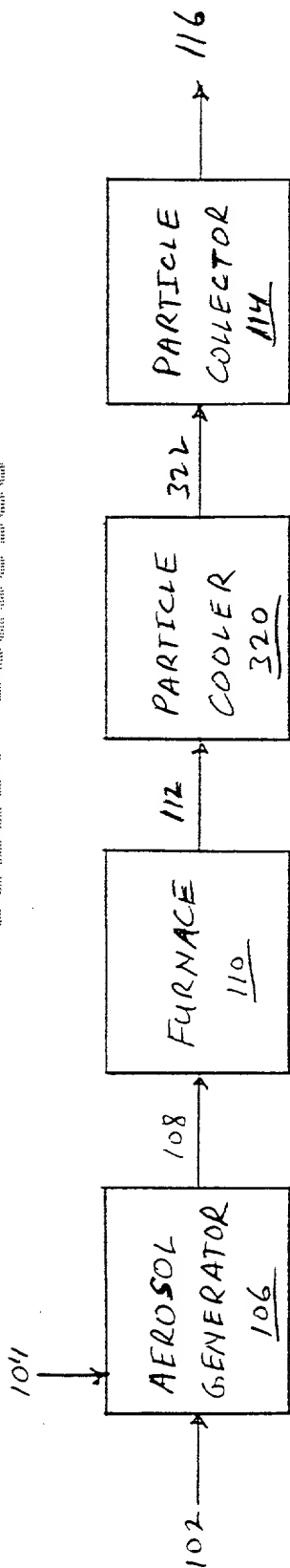
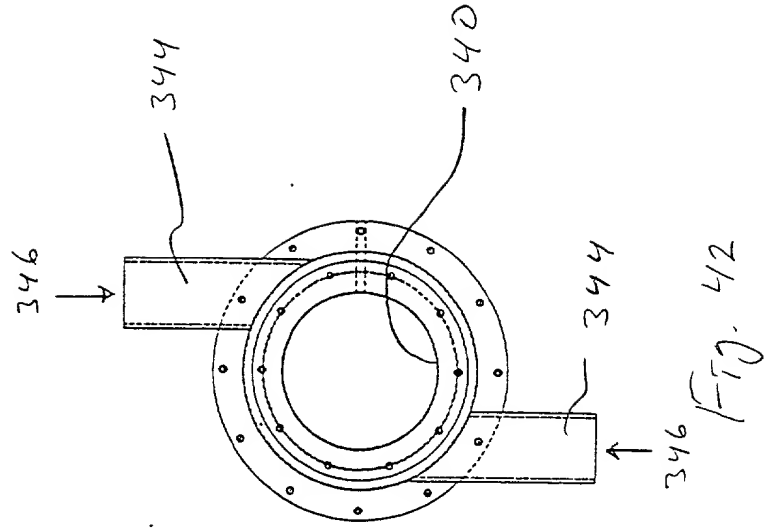
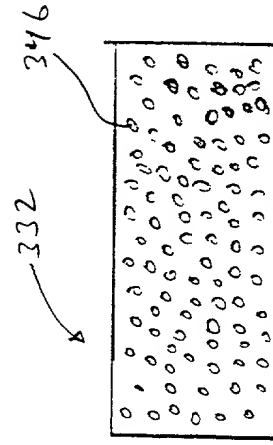
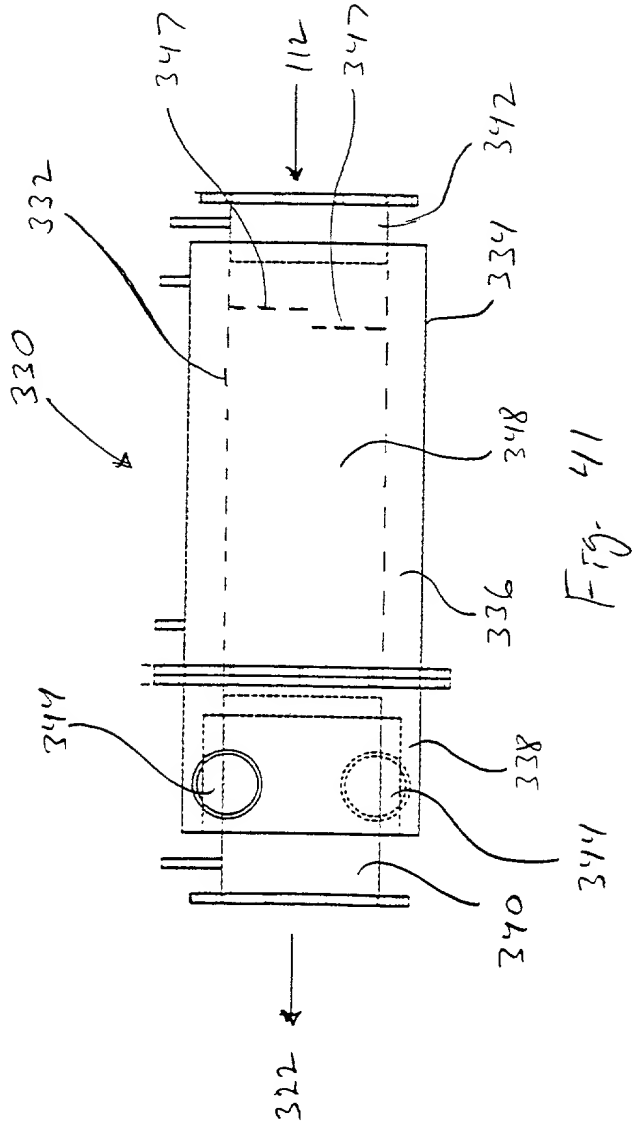


Fig. 410



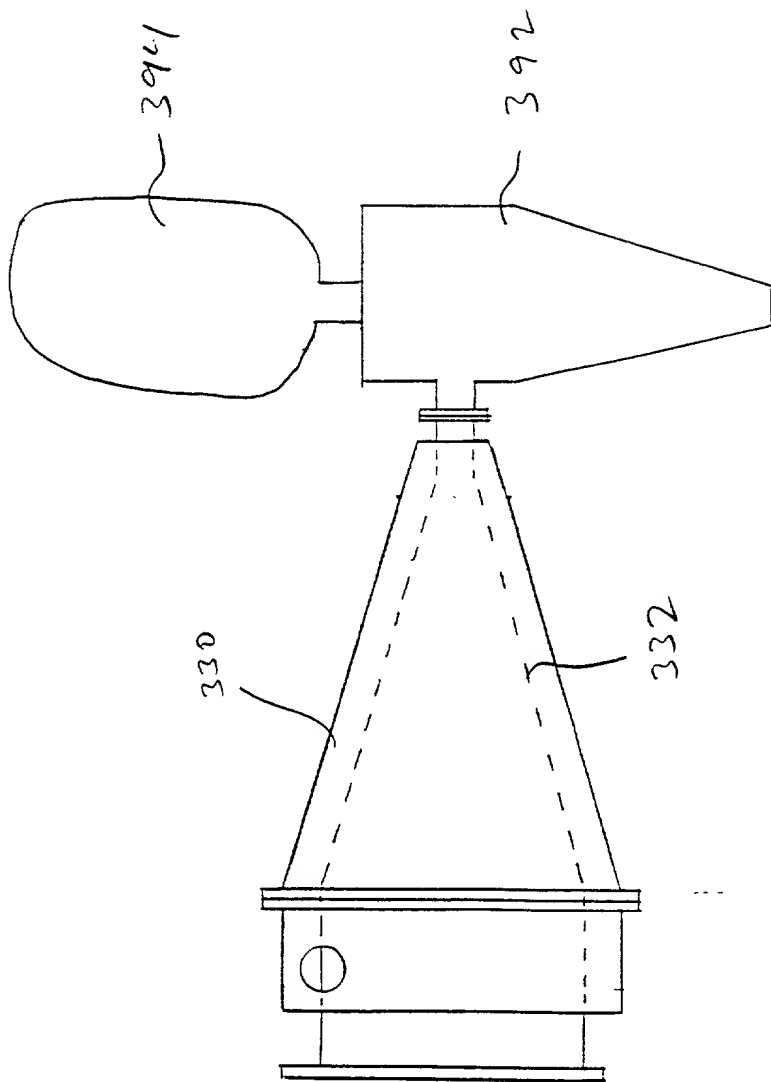


Fig 44

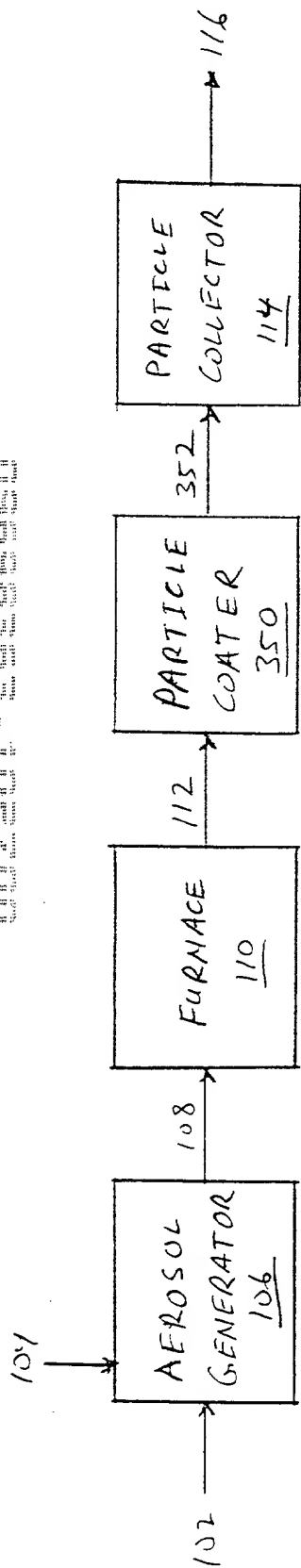


Fig 45

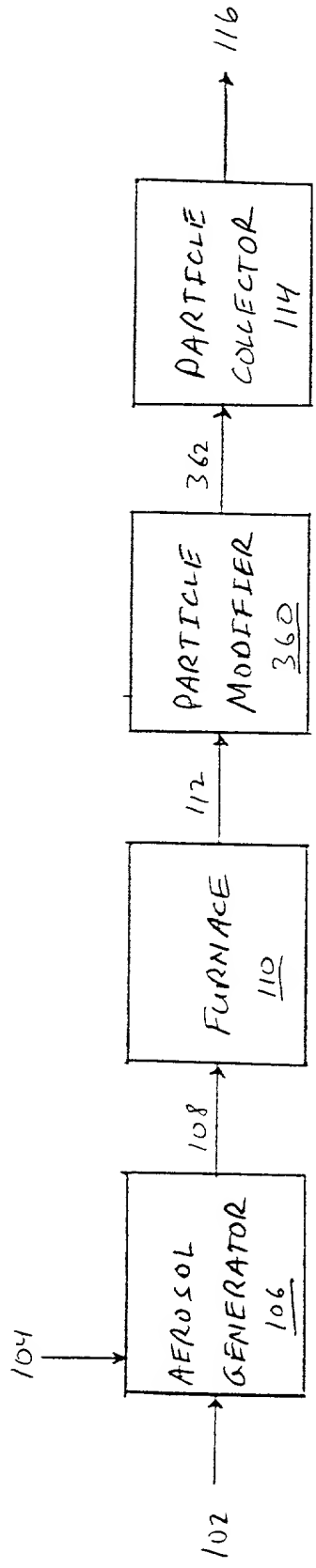


Fig. 46

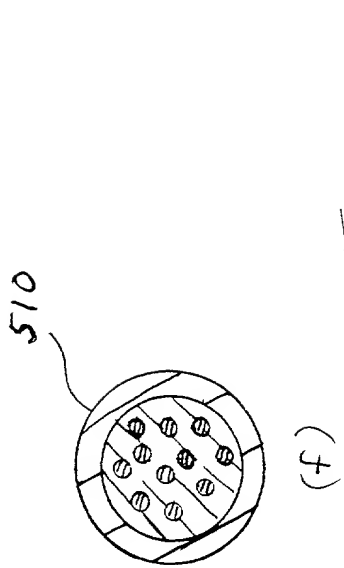
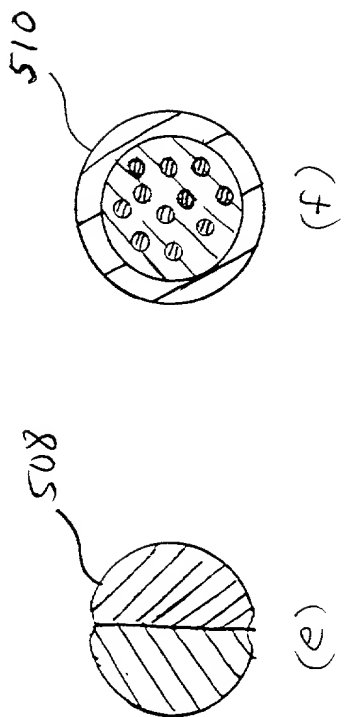
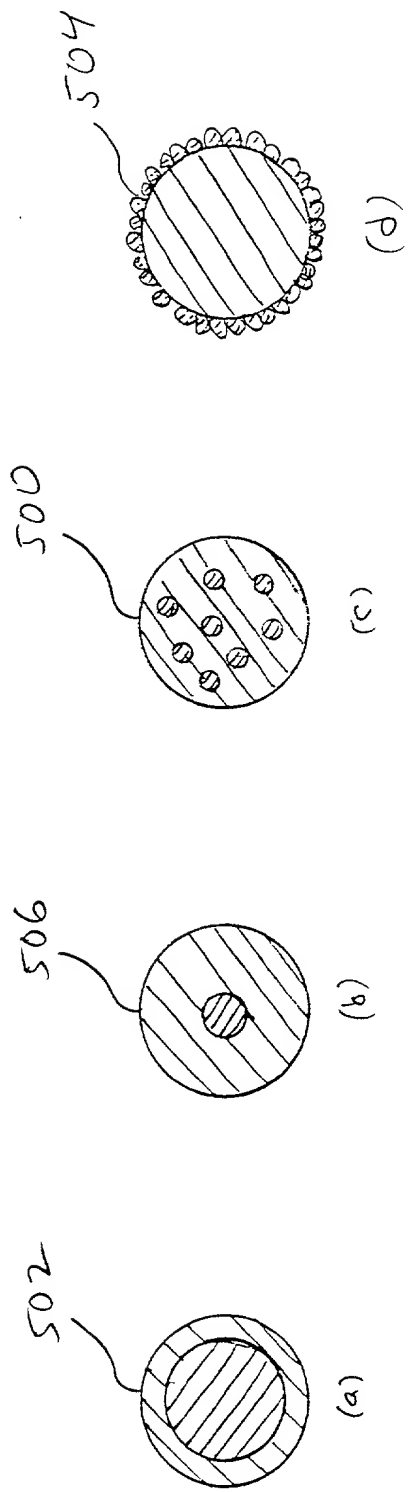


Fig. 47



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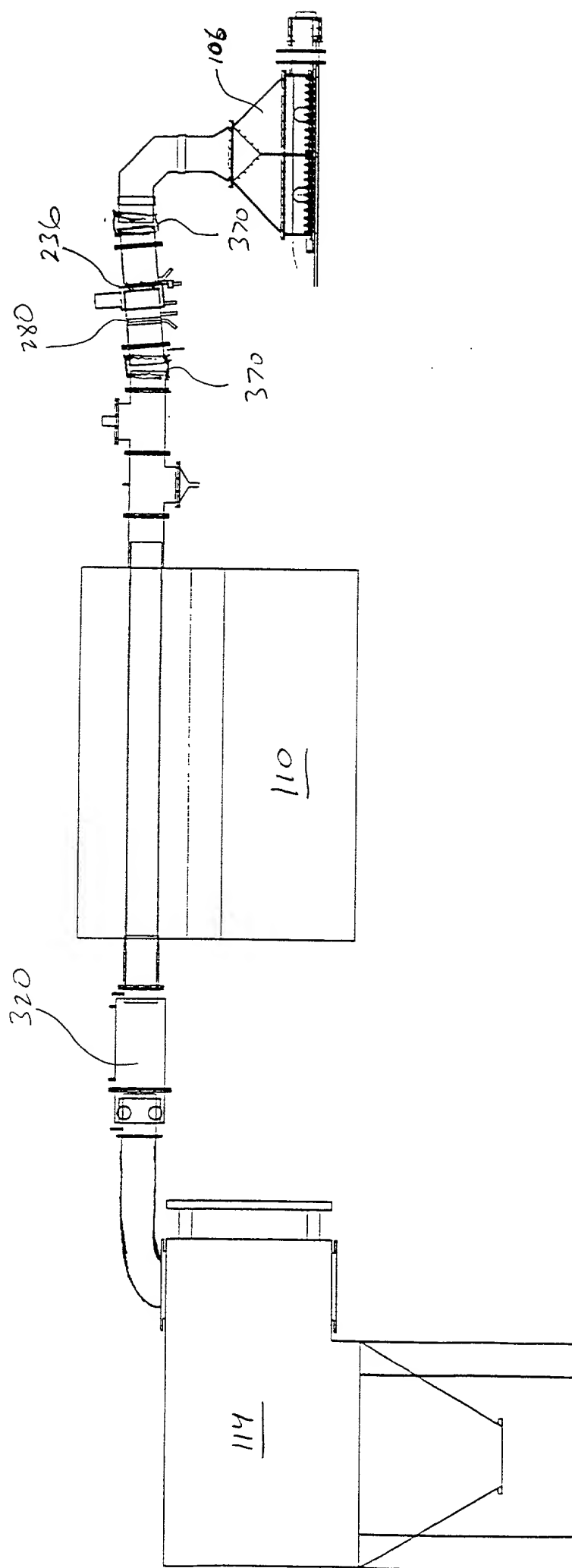


Fig. 48

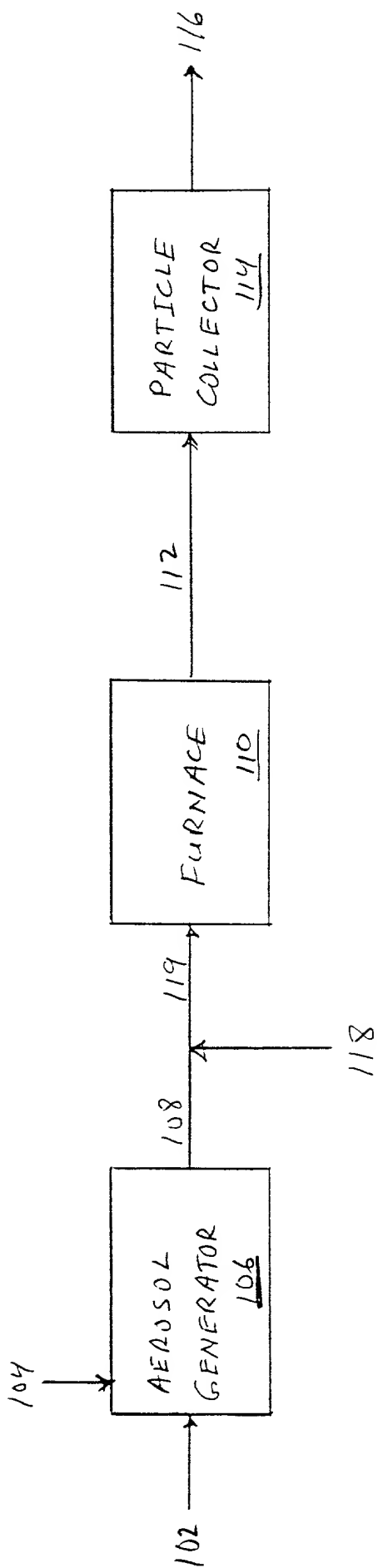


Fig. 49

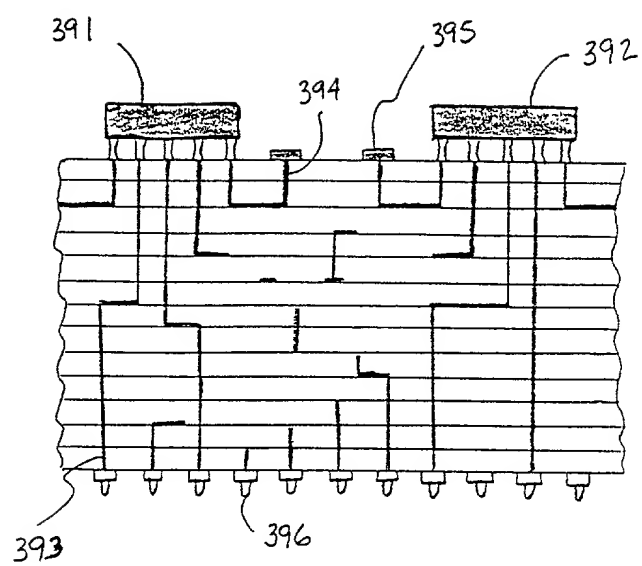


Fig. 50

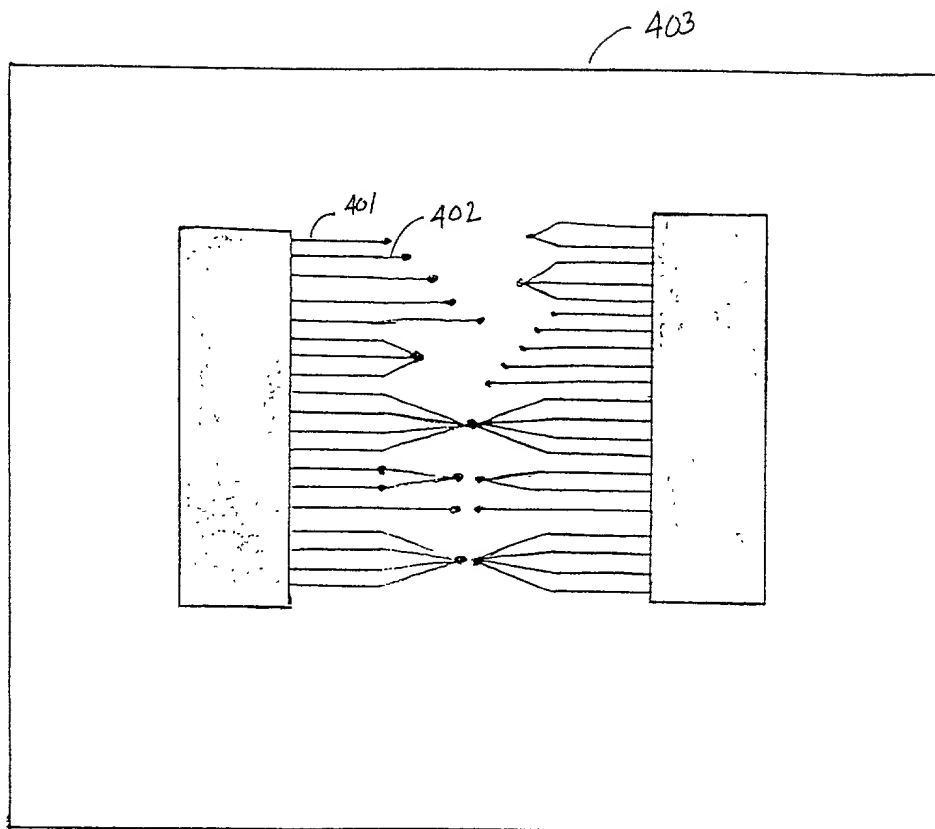


Fig. 51

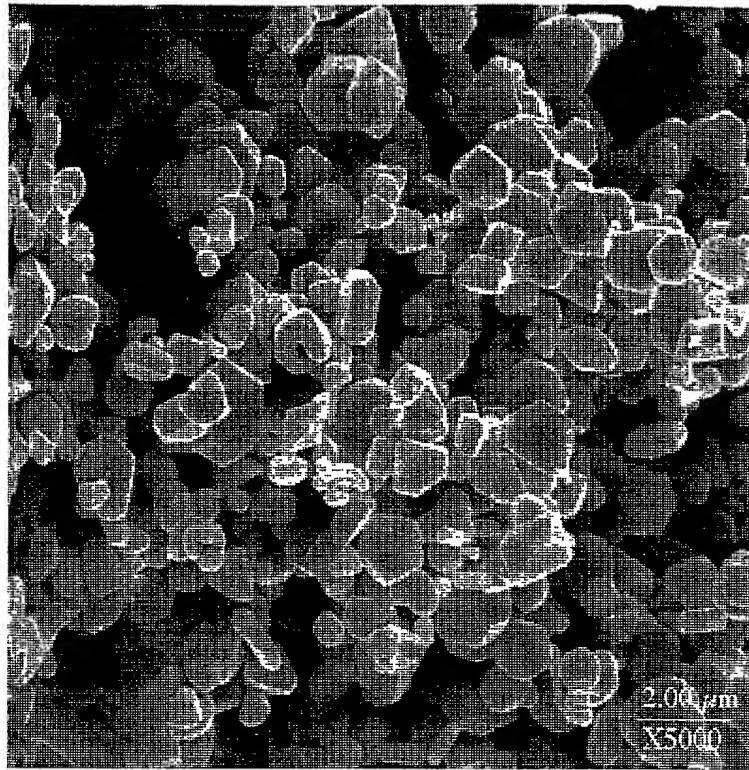


Fig. 52

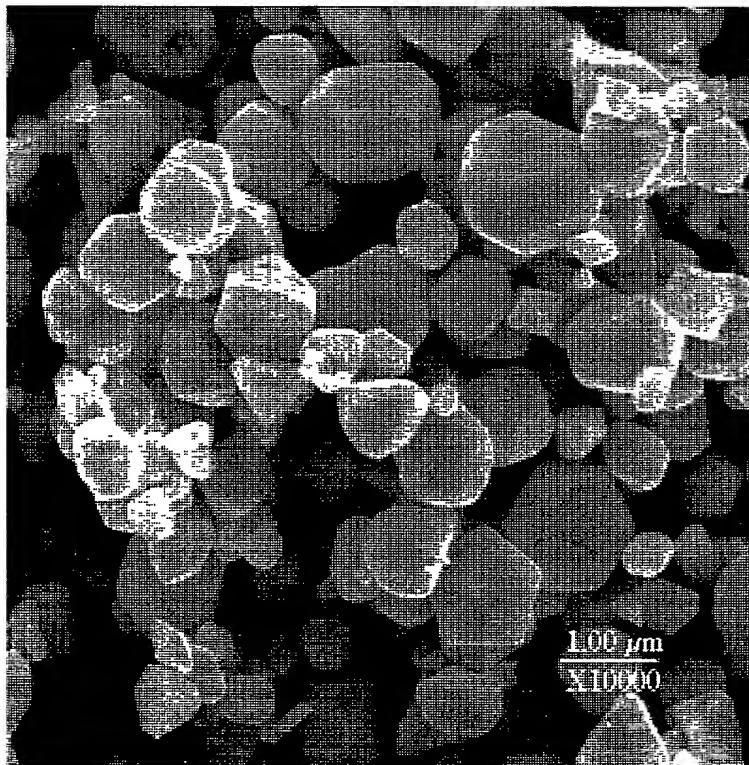


Fig. 53

RULE 63 (37 CFR 1.63)  
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1) Inventor's Signature Toivo T. Kodas Date 3/30/98

Inventor's Name (typed): Toivo T. Kodas

Citizenship: U.S.A.

Residence: 11102 San Rafael Dr NE  
Albuquerque, NM 87122

Post Office Address\*: Same as Residence

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1) Inventor's Signature Mark J. Hampden-Smith Date 3-27-98

Inventor's Name (typed): Mark J. Hampden-Smith

Citizenship: Britain

Residence: 2901 Maximillian NW  
Albuquerque, NM 87131

Post Office Address\*: Same as Residence

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1) Inventor's Signature James Caruso Date 3/25/28.

Inventor's Name (typed): James Caruso

Citizenship: U.S.A.

Residence: 7721 Cedar Canyon Court NE  
Albuquerque, NM 87122

Post Office Address\*: Same as Residence

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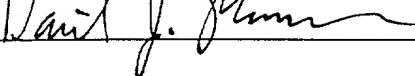
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1) Inventor's Signature  Date 3/24/98

Inventor's Name (typed): Daniel J. Skamser

Citizenship: U.S.A.

Residence: 10327 Hackamore Place SW  
Albuquerque, NM 87121

Post Office Address\*: Same as Residence

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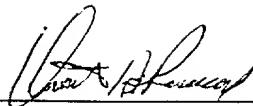
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1) Inventor's Signature  Date 3-24-78

Inventor's Name (typed): Quint H. Powell

Citizenship: U.S.A.

Residence: 14336 Grand Avenue NE  
Albuquerque, NM 87123

Post Office Address\*: Same as Residence

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FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe that, together with Mark Hampden-Smith, Toivo T. Kodas, James Caruso, Quint H. Powell and Daniel J. Skamser, I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "GOLD POWDERS, METHODS FOR PRODUCING POWDERS AND DEVICES FABRICATED FROM SAME", the specification of which has been prepared and filed on February 24, 1998, receiving Serial No. 09/028,901, and further identified as Attorney File No. NAN-004-4.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability in accordance with 37 CFR 1.56(a) and (b) as set forth on the attached sheet indicated Page 3 hereof and which I have read.

I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)		Priority Claimed
<u>Number</u>	<u>Country</u>	<u>Yes</u> <u>No</u>

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information material to patentability in accordance with 37 CFR 1.56(a) and (b) which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
60/038,258	2/24/97	Provisional
60/039,450	2/24/97	Provisional

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1) Inventor's Signature  Date MAY 20 1998

Inventor's Name (typed): Clive D. Chandler

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\*Complete Post Office Address in full if different from Residence, otherwise indicate that the Post Office Address is "Same as Residence."

37 CFR §1.56(a) and (b)  
DUTY TO DISCLOSE INFORMATION MATERIAL  
TO PATENTABILITY

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application,

and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of a patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.\*

\*Note, 37 CFR §1.97(h)-states: "The filing of an information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b)."